Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

A For the 2013 calendar year, or tax year beginning Jul 1, 2013 and ending Jun 30, 2014

B Name of organization

AMERICAN HEART ASSOCIATION, INC.

C Employer identification number

13-5613797

D Telephone number

214-373-6300

E Gross receipts

1,134,072,952

F Name and address of principal officer

NANCY BROWN

SAME AS C ABOVE

G Tax-exempt status

X 501(c)(3) 501(c) ( ) □ (insert no.) 4947(a)(1) or 527

H Is this a group return

X Yes □ No

H Are all subordinates included

Yes □ No

I Website

WWW.HEART.ORG

J Form of organization

Corporation

K Year of formation

1924

L State of legal domicile

NY

Part I

Summary

1 Briefly describe the organization's mission or most significant activities: BUILDING HEALTHIER LIVES, FREE OF CARDIOVASCULAR DISEASES AND STROKE.

2 Check this box □ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) 3

4 Number of independent voting members of the governing body (Part VI, line 1b) 4

5 Total number of individuals employed in calendar year 2013 (Part V, line 2a) 3915

6 Total number of volunteers (estimate if necessary) 22,000,000

7a Total unrelated business revenue from Part VIII, column (O), line 12 228,267

7b Net unrelated business taxable income from Form 990-T, line 34 13,092

Revenue

8 Contributions and grants (Part VIII, line 1h) 520,544,964

9 Program service revenue (Part VIII, line 2g) 24,755,463

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 25,594,870

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 61,740,320

12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 632,638,617

13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 129,694,113

14 Benefits paid to or for members (Part IX, column (A), line 4) 0

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 248,595,158

16 Professional fundraising fees (Part IX, column (A), line 11e) 2,230,739

17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 41,295,444

18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 220,550,692

19 Revenue less expenses. Subtract line 18 from line 12 412,087,925

Expenses

19 Revenue less expenses. Subtract line 18 from line 12 412,087,925

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

SUNDER JOSHI, CHIEF FINANCIAL OFFICER

type or print name and title

Preparer's signature

Date

12/02/14

Check if self-employed

X

PTIN

00652612

Preparer

MORGAN SOUZA

Preparer's address

717 N. HARWOOD STREET, SUITE 3100

DALLAS, TX 75201

Preparer's EIN

13-5565207

Phone no.

(214) 840-2000

May the IRS discuss this return with the preparer shown above? (see instructions)

X Yes □ No

Form 990 (2013)
Form 8433-EO

Duration of the Treaty

Part I

Type of Return and Return Information (Whole Dollars Only)

Check the box for the type of return being filed with Form 8433-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 1b, 2a, 2b, 3a, or 3b below and the amount on that line of the return being filed with this form was blank, then leave line 1b, 2a, 2b, 3a, or 3b, whichever is applicable, blank (do not enter 0). If you entered 0 on the return, then enter 0 on the applicable line below. Do not complete more than one line per Part I.

1a. Form 990 check here □ □ □ Total revenue, if any (Form 990, Part VIII, column (A), line 12) 1b $755,958

1b. Form 990 check here □ □ □ Total revenue, if any (Form 990-EE, line 9) 1b $0

2a. Form 1-20-POL check here □ □ □ Total tax (Form 1-20-POL, line 22) 2a $0

2b. Form 990-PF check here □ □ □ Tax based on investment income (Form 990-PF, Part VI, line 5) 2b $0

3a. Form 990 check here □ □ □ Amount due (Form 868, Part I, line 3e or Part II, line 8e) 3a $0

Part II

Declaration of Officer

[Signature]

Under penalties of perjury, I declare that I am the officer of the entity named on the return and that I have examined a copy of the return for 2013 and all returns and other documents and information and know and understand the contents thereof, and that I have signed this form under the penalties of perjury. I hereby make the returns of the entity, which are true, complete, and correct. Under penalties of perjury, I declare that I have examined a copy of the returns and other documents and information and know and understand the contents thereof, and that I have signed this form under the penalties of perjury. I hereby make the returns of the entity, which are true, complete, and correct.

Part III

Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)

I declare that I have reviewed the above organization's return and that the entries on Form 8433-EO are complete and correct to the best of my knowledge, if I am only a preparer, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return.

Preparer's name: Morgan L. Souza

Preparer's signature:

[Signature]

Date: 11/18/11

Check if self-employed:

 Pradesh's EIN: 11 5523627

Phone: (211) 878-2082

LHA Form 8433-EO (2013)
**Part III**  Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III .................................................. X

1 Briefly describe the organization’s mission:

BUILDING HEALTHIER LIVES, FREE OF CARDIOVASCULAR DISEASES AND STROKE.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ................................................................. Yes □ No ☑

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?............. Yes □ No ☑

If "Yes," describe these changes on Schedule O.

4 Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

<table>
<thead>
<tr>
<th>4a</th>
<th>(Code: ) (Expenses $ 137,579,251. including grants of $ 129,457,162. ) (Revenue $ )</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SEE SCHEDULE O</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4b</th>
<th>(Code: ) (Expenses $ 242,592,035. including grants of $ 4,287,699. ) (Revenue $ 4,084,045. )</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SEE SCHEDULE O</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4c</th>
<th>(Code: ) (Expenses $ 82,165,943. including grants of $ 3,554,866. ) (Revenue $ 97,465,703. )</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SEE SCHEDULE O</td>
</tr>
</tbody>
</table>

4d Other program services (Describe in Schedule O.)

|----------------------------------|

4e Total program service expenses 502,765,523.

SEE SCHEDULE O FOR CONTINUATION(S)
### Part IV Checklist of Required Schedules

1. **Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?**
   - Yes: 
   - No: x

2. **Is the organization required to complete Schedule B, Schedule of Contributors?**
   - Yes: 
   - No: x

3. **Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office?**
   - Yes, complete Schedule C, Part I
   - No

4. **Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year?**
   - Yes, complete Schedule C, Part II
   - No

5. **Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19?**
   - Yes, complete Schedule C, Part III
   - No

6. **Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts?**
   - Yes, complete Schedule D, Part I
   - No

7. **Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures?**
   - Yes, complete Schedule D, Part II
   - No

8. **Did the organization maintain collections of works of art, historical treasures, or other similar assets?**
   - Yes, complete Schedule D, Part III
   - No

9. **Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?**
   - Yes, complete Schedule D, Part IV
   - No

10. **Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments?**
    - Yes, complete Schedule D, Part V
    - No

11. **If the organization’s answer to any of the following questions is “Yes,” then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.**
    - a. Did the organization report an amount for land, buildings, and equipment in Part X, line 10? **Yes, complete Schedule D, Part VI**
    - b. Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? **Yes, complete Schedule D, Part VII**
    - c. Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? **Yes, complete Schedule D, Part VIII**
    - d. Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? **Yes, complete Schedule D, Part IX**
    - e. Did the organization report an amount for other liabilities in Part X, line 25? **Yes, complete Schedule D, Part X**
    - f. Did the organization’s separate or consolidated financial statements for the tax year include a footnote that addresses the organization’s liability for uncertain tax positions under FIN 48 (ASC 740)? **Yes, complete Schedule D, Parts XI and XII**

12. **If “Yes,” complete Schedule S, Parts X, XI, and XII:**
    - a. Was the organization included in consolidated, independent audited financial statements for the tax year? **Yes, and if the organization answered “No” to line 12a, then completing Schedule D, Parts XI and XII is optional**
    - b. Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? **Yes, complete Schedule F, Parts I and IV**

13. **Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization?**
    - Yes, complete Schedule F, Parts II and IV
    - No

14. **Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals?**
    - Yes, complete Schedule F, Parts III and IV
    - No

15. **Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e?**
    - Yes, complete Schedule G, Part I
    - No

16. **Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a?**
    - Yes, complete Schedule G, Part III
    - No

17. **Did the organization report an amount for other liabilities in Part X, line 25?**
    - Yes, complete Schedule H
    - No
<table>
<thead>
<tr>
<th>Part IV Checklist of Required Schedules (continued)</th>
</tr>
</thead>
<tbody>
<tr>
<td>21. Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? If &quot;Yes,&quot; complete Schedule I, Parts I and II.</td>
</tr>
<tr>
<td>22. Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If &quot;Yes,&quot; complete Schedule I, Parts I and III.</td>
</tr>
<tr>
<td>23. Did the organization answer &quot;Yes&quot; to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If &quot;Yes,&quot; complete Schedule J.</td>
</tr>
<tr>
<td>24a. Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002?</td>
</tr>
<tr>
<td>24b. Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?</td>
</tr>
<tr>
<td>24c. Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?</td>
</tr>
<tr>
<td>24d. Did the organization act as an &quot;on behalf of&quot; issuer for bonds outstanding at any time during the year?</td>
</tr>
<tr>
<td>25a. Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If &quot;Yes,&quot; complete Schedule L, Part I.</td>
</tr>
<tr>
<td>25b. Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If &quot;Yes,&quot; complete Schedule L, Part I.</td>
</tr>
<tr>
<td>26. Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II.</td>
</tr>
<tr>
<td>27. Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If &quot;Yes,&quot; complete Schedule L, Part III.</td>
</tr>
<tr>
<td>28. Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):</td>
</tr>
<tr>
<td>a. A current or former officer, director, trustee, or key employee? If &quot;Yes,&quot; complete Schedule L, Part IV.</td>
</tr>
<tr>
<td>b. A family member of a current or former officer, director, trustee, or key employee? If &quot;Yes,&quot; complete Schedule L, Part IV.</td>
</tr>
<tr>
<td>c. An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If &quot;Yes,&quot; complete Schedule L, Part IV.</td>
</tr>
<tr>
<td>29. Did the organization receive more than $25,000 in non-cash contributions? If &quot;Yes,&quot; complete Schedule M.</td>
</tr>
<tr>
<td>30. Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If &quot;Yes,&quot; complete Schedule M.</td>
</tr>
<tr>
<td>31. Did the organization liquidate, terminate, or dissolve and cease operations?</td>
</tr>
<tr>
<td>32. Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If &quot;Yes,&quot; complete Schedule N, Part II.</td>
</tr>
<tr>
<td>33. Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?</td>
</tr>
<tr>
<td>34. Was the organization related to any tax-exempt or taxable entity? If &quot;Yes,&quot; complete Schedule R, Part II, III, or IV, and Part V, line 1.</td>
</tr>
<tr>
<td>35a. Did the organization have a controlled entity within the meaning of section 512(b)(13)?</td>
</tr>
<tr>
<td>35b. If &quot;Yes&quot; to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)?</td>
</tr>
<tr>
<td>36. Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?</td>
</tr>
<tr>
<td>37. Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If &quot;Yes,&quot; complete Schedule R, Part VI.</td>
</tr>
<tr>
<td>38. Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?</td>
</tr>
</tbody>
</table>

Note. All Form 990 filers are required to complete Schedule O.
Part V Statements Regarding Other IRS Filings and Tax Compliance

1. Did the organization receive any payments for indoor tanning services during the tax year?

2. Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

3. Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?

4. Did the organization receive any payments for grooming services such as haircuts, manicures, or facial treatments?

5. Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

6. Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?

7. Did the organization receive any payments for hospitality services such as meals, lodging, or transportation?

8. Did the organization receive any payments for consulting services such as legal or accounting advice?

9. Did the organization receive any payments for advertising services such as media placements or public relations campaigns?

10. Did the organization receive any payments for research and development services such as market analysis or product development?

11. Did the organization receive any payments for technical support services such as software maintenance or customer service?

12. Did the organization receive any payments for training and education services such as seminars or workshops?

13. Did the organization receive any payments for professional services such as legal or accounting advice?

14. Did the organization receive any payments for entertainment services such as tickets to concerts or sporting events?

15. Did the organization receive any payments for travel expenses such as airfare or hotel accommodations?

16. Did the organization receive any payments for transportation services such as limousine service or car rental?

17. Did the organization receive any payments for communication services such as phone or internet access?

18. Did the organization receive any payments for real estate services such as property management or real estate transactions?

19. Did the organization receive any payments for insurance services such as property or liability insurance?

20. Did the organization receive any payments for financial services such as banking or investment management?

21. Did the organization receive any payments for legal services such as legal fees or consulting services?

22. Did the organization receive any payments for accounting services such as tax preparation or financial analysis?

23. Did the organization receive any payments for printing and mailing services such as letterhead or catalog printing?

24. Did the organization receive any payments for promotional services such as marketing campaigns or advertising materials?

25. Did the organization receive any payments for environmental services such as waste management or facility maintenance?

26. Did the organization receive any payments for administrative services such as janitorial or security services?

27. Did the organization receive any payments for security services such as surveillance or protective services?

28. Did the organization receive any payments for legal services such as legal fees or consulting services?

29. Did the organization receive any payments for accounting services such as tax preparation or financial analysis?

30. Did the organization receive any payments for printing and mailing services such as letterhead or catalog printing?

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43. Did the organization receive any payments for accounting services such as tax preparation or financial analysis?

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46. Did the organization receive any payments for environmental services such as waste management or facility maintenance?

47. Did the organization receive any payments for administrative services such as janitorial or security services?

48. Did the organization receive any payments for security services such as surveillance or protective services?

49. Did the organization receive any payments for legal services such as legal fees or consulting services?

50. Did the organization receive any payments for accounting services such as tax preparation or financial analysis?
**Section A. Governing Body and Management**

1a. Enter the number of voting members of the governing body at the end of the tax year: 21

b. Enter the number of voting members included in line 1a, above, who are independent: 21

2. Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?: No

3. Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?: No

4. Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?: No

5. Did the organization become aware during the year of a significant diversion of the organization’s assets?: No

6. Did the organization have members or stockholders?: No

7a. Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?: No

b. Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?: No

8. Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:
   a. The governing body?: No
   b. Each committee with authority to act on behalf of the governing body?: No

9. Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization’s mailing address?: No

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

10a. Did the organization have local chapters, branches, or affiliates?: Yes

b. If “Yes,” did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization’s exempt purposes?: Yes

11a. Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?: Yes

d. Describe in Schedule O the process, if any, used by the organization to review this Form 990.: Yes

12a. Did the organization have a written conflict of interest policy?: Yes

b. Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?: Yes

c. Did the organization regularly and consistently monitor and enforce compliance with the policy?: Yes

13. Did the organization have a written whistleblower policy?: Yes

14. Did the organization have a written document retention and destruction policy?: Yes

15. Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?: Yes

a. The organization’s CEO, Executive Director, or top management official?: Yes

b. Other officers or key employees of the organization?: Yes

16a. Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?: No

b. If “Yes,” did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization’s exempt status with respect to such arrangements?: Yes

**Section C. Disclosure**

17. List the states with which a copy of this Form 990 is required to be filed: See Schedule O

18. Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

   - Own website: X
   - Another’s website: X
   - Upon request: X
   - Other (explain in Schedule O): X

19. Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20. State the name, physical address, and telephone number of the person who possesses the books and records of the organization:

   SUNDER JOSHI CFO CAO - (214) 373-6300

   7272 GREENVILLE AVENUE, DALLAS, TX 75231
## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Average hours per week (list any hours for related organizations below line)</th>
<th>(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) BERNARD P. DENNIS</td>
<td>7.00</td>
<td>CHAIRMAN OF THE BOARD</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(2) ALVIN L. ROYSE, JD, CPA</td>
<td>5.00</td>
<td>CHAIRMAN-ELECT</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(3) RON W. HADDICK</td>
<td>4.00</td>
<td>IMMEDIATE PAST CHAIRMAN</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(4) MARIELL JESSUP, MD, FAHA</td>
<td>8.00</td>
<td>PRESIDENT</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(5) DONNA K. ARNETT, PhD, MSPH, BSN</td>
<td>5.00</td>
<td>IMMEDIATE PAST PRESIDENT</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(6) ELLIOTT M. ANTMAN, MD, FAHA</td>
<td>5.00</td>
<td>PRESIDENT-ELECT</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(7) DAVID A. BUSH</td>
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<td>SECRETARY-TREASURER</td>
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</tr>
<tr>
<td>(8) JOYCE BSATTY, MS</td>
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<tr>
<td>(9) MARK A. CREAGER, MD, FAHA</td>
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<tr>
<td>(10) MARY CUSHMAN, MD, MSC, FAHA</td>
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<td>BOARD MEMBER</td>
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</tr>
<tr>
<td>(11) STEVEN R. HOUSER, PHD, FAHA</td>
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<td>BOARD MEMBER</td>
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<td>0.</td>
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<tr>
<td>(12) WILLIE EDWARD LAWRENCE, JR., MD</td>
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<td>BOARD MEMBER</td>
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<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(13) JOHNNY LEE, MD</td>
<td>3.00</td>
<td>BOARD MEMBER</td>
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<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(14) PEQUI MARIDUENA, CMC, MBA</td>
<td>3.00</td>
<td>BOARD MEMBER</td>
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<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(15) JOHN J. MULLENHOLZ</td>
<td>3.00</td>
<td>BOARD MEMBER</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(16) JAMES J. POSTL</td>
<td>3.00</td>
<td>BOARD MEMBER</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(17) BERTRAM L. SCOTT</td>
<td>3.00</td>
<td>BOARD MEMBER</td>
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<td>0.</td>
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</tbody>
</table>
Part VII
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
(continued)

<table>
<thead>
<tr>
<th>(A) Name and title</th>
<th>(B) Average hours per week</th>
<th>(C) Position</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(18) DAVID A. SPINA</td>
<td>3.00</td>
<td>X</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>BOARD MEMBER</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(19) BERNARD J. TYSON</td>
<td>3.00</td>
<td>X</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>BOARD MEMBER</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>(20) RAYMOND P. VARA, JR.</td>
<td>3.00</td>
<td>X</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>BOARD MEMBER</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>(21) HENRY (HANK) J. WASIAK, MBA</td>
<td>3.00</td>
<td>X</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
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<tr>
<td>BOARD MEMBER</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>(22) NANCY BROWN</td>
<td>38.00</td>
<td>X</td>
<td>793,999.</td>
<td>0.</td>
<td>333,146.</td>
</tr>
<tr>
<td>CEO</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>(23) SUNDER JOSHI</td>
<td>38.00</td>
<td>X</td>
<td>396,214.</td>
<td>0.</td>
<td>62,531.</td>
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<tr>
<td>CAO/CFO</td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(24) LYNNE DARROUZET</td>
<td>38.00</td>
<td>X</td>
<td>217,297.</td>
<td>0.</td>
<td>41,206.</td>
</tr>
<tr>
<td>EVP - CORP SEC/GENERAL COUNSEL</td>
<td>38.00</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(25) ROSE MARIE ROBERTSON</td>
<td>38.00</td>
<td>X</td>
<td>653,292.</td>
<td>0.</td>
<td>45,333.</td>
</tr>
<tr>
<td>CHIEF SCIENCE OFFICER</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>(26) MEIGHAN GIRDUS</td>
<td>38.00</td>
<td>X</td>
<td>424,870.</td>
<td>0.</td>
<td>54,659.</td>
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<tr>
<td>CHIEF MISSION OFFICER</td>
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</table>

1b Sub-total 2,487,672. 0. 536,875.

c Total from continuation sheets to Part VII, Section A 5,570,392. 0. 718,536.
d Total (add lines 1b and 1c) 8,058,064. 0. 1,255,411.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization 325

3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual 3 X

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? If "Yes," complete Schedule J for such individual 4 X

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person 5 X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

<table>
<thead>
<tr>
<th>(A) Name and business address</th>
<th>(B) Description of services</th>
<th>(C) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>INFOCISON MANAGEMENT CORP</td>
<td>TELEPHONE MARKETING</td>
<td>2,467,507.</td>
</tr>
<tr>
<td>325 SPRINGSIDE DRIVE, AKRON, OH 44333</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BRIGHAM AND WOMEN’S HOSPITAL</td>
<td>RESEARCH SERVICES</td>
<td>2,094,564.</td>
</tr>
<tr>
<td>75 FRANCIS STREET, BOSTON, MA 02115</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TRU SERVICES LLC, 200 CUMMINGS CENTER, SUITE 272D, BEVERLY, MA 01915</td>
<td>INSURANCE AND RISK MANAGEMENT</td>
<td>1,361,451.</td>
</tr>
<tr>
<td>ORACLE AMERICA INC</td>
<td>DATABASE AND IT SERVICES</td>
<td>1,226,838.</td>
</tr>
<tr>
<td>3180 IRVING BOULEVARD, DALLAS, TX 75287</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MERKEL INC</td>
<td>MARKETING AND CUSTOMER RELATIONS</td>
<td>990,750.</td>
</tr>
<tr>
<td>PO BOX 64897, BALTIMORE, MD 21264</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization 90

SEE PART VII, SECTION A CONTINUATION SHEETS
<table>
<thead>
<tr>
<th>(A) Name and title</th>
<th>(B) Position (check all that apply)</th>
<th>(C) Average hours per week (list any hours for related organizations below line)</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(27) LESLIE UPTON CHIEF DEVELOPMENT OFFICER</td>
<td>X</td>
<td>38.00</td>
<td>385,574.</td>
<td>0.</td>
<td>55,621.</td>
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<tr>
<td>(28) GERALD JOHNSON CHIEF DIVERSITY OFFICER</td>
<td>X</td>
<td>38.00</td>
<td>376,916.</td>
<td>0.</td>
<td>12,375.</td>
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<tr>
<td>(29) MICHAEL WEAMER EVP</td>
<td>X</td>
<td>38.00</td>
<td>481,915.</td>
<td>0.</td>
<td>53,297.</td>
</tr>
<tr>
<td>(30) DAVID MARKIEWICZ EVP</td>
<td>X</td>
<td>38.00</td>
<td>436,883.</td>
<td>0.</td>
<td>72,924.</td>
</tr>
<tr>
<td>(31) ROMAN BOWSER EVP</td>
<td>X</td>
<td>38.00</td>
<td>487,098.</td>
<td>0.</td>
<td>42,078.</td>
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<tr>
<td>(32) KEVIN HARKER EVP</td>
<td>X</td>
<td>38.00</td>
<td>406,037.</td>
<td>0.</td>
<td>70,131.</td>
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<tr>
<td>(33) JEREMY BEAUCHAMP EVP</td>
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<td>38.00</td>
<td>287,528.</td>
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<td>56,681.</td>
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<tr>
<td>(34) MIDGE EPSTEIN EVP</td>
<td>X</td>
<td>38.00</td>
<td>538,510.</td>
<td>0.</td>
<td>53,297.</td>
</tr>
<tr>
<td>(35) NICOLE SAPIO EVP</td>
<td>X</td>
<td>38.00</td>
<td>338,259.</td>
<td>0.</td>
<td>57,702.</td>
</tr>
<tr>
<td>(36) JOANNE MCLAUGLIN AFFILIATE DEVELOPMENT OFFICER</td>
<td>X</td>
<td>38.00</td>
<td>411,679.</td>
<td>0.</td>
<td>31,507.</td>
</tr>
<tr>
<td>(37) JOHN MEINERS EVP</td>
<td>X</td>
<td>38.00</td>
<td>389,496.</td>
<td>0.</td>
<td>59,286.</td>
</tr>
<tr>
<td>(38) KATHLEEN ROGERS EVP - ECC</td>
<td>X</td>
<td>38.00</td>
<td>317,874.</td>
<td>0.</td>
<td>57,594.</td>
</tr>
<tr>
<td>(39) MARK SCHOEBERL EVP - ADVOCACY</td>
<td>X</td>
<td>38.00</td>
<td>293,628.</td>
<td>0.</td>
<td>55,901.</td>
</tr>
<tr>
<td>(40) VIRGINIA GATLIN EVP - STRATEGIC MKTS &amp; CONSUMER BUS</td>
<td>X</td>
<td>38.00</td>
<td>292,584.</td>
<td>0.</td>
<td>34,477.</td>
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<tr>
<td>(41) DAVID LIVINGSTON FORMER EVP/GENERAL COUNSEL</td>
<td>X</td>
<td>0.00</td>
<td>126,411.</td>
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<td>5,665.</td>
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</table>

Total to Part VII, Section A, line 1c ........................................................................ 5,570,392. 718,536.
## Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII  

### Contributions, Gifts, Grants and Other Similar Amounts

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Business Code</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Federated campaigns</td>
<td>900099</td>
<td>$5,741,626</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>Membership dues</td>
<td>900099</td>
<td>$316,291,768</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1c</td>
<td>Fundraising events</td>
<td>900099</td>
<td>$316,291,768</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1d</td>
<td>Related organizations</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1e</td>
<td>Government grants (contributions)</td>
<td></td>
<td>$1,794,011</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1f</td>
<td>All other contributions, gifts, grants, and similar amounts not included above</td>
<td></td>
<td>$242,513,648</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1g</td>
<td>Noncash contributions included in lines 1a-1f</td>
<td></td>
<td>$46,875,758</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1h</td>
<td>Total, Add lines 1a-1f</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$566,341,053</td>
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</table>

### Program Service Revenue

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Business Code</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a</td>
<td>CONFERENCES &amp; SEMINARS</td>
<td>900099</td>
<td>$21,749,775</td>
<td>$21,749,775</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td>MEMBERSHIP DUES</td>
<td>900099</td>
<td>$3,305,154</td>
<td>$3,305,154</td>
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<tr>
<td>2c</td>
<td>FEES &amp; GRANTS</td>
<td>900099</td>
<td>$755,100</td>
<td>$755,100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2d</td>
<td></td>
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</tr>
<tr>
<td>2e</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2f</td>
<td>All other program service revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2g</td>
<td>Total, Add lines 2a-2f</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$25,810,029</td>
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</table>

### Investment Income (including dividends, interest, and other similar amounts)

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>Investment income</td>
<td></td>
<td>$19,348,345</td>
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<tr>
<td>4</td>
<td>Income from investment of tax-exempt bond proceeds</td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Royalties</td>
<td></td>
<td>$25,355,516</td>
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</tr>
</tbody>
</table>

### Gross Rents

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>6a</td>
<td>Gross rents</td>
<td></td>
<td>$1,528,925</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6b</td>
<td>Less: rental expenses</td>
<td></td>
<td>$132,121</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6c</td>
<td>Rental income or (loss)</td>
<td></td>
<td>$1,396,804</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6d</td>
<td>Net rental income or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Gross Amount from Sales of Assets Other Than Inventory

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>7a</td>
<td>Gross amount from sales of assets other than inventory</td>
<td></td>
<td>$323,331,320</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7b</td>
<td>Less: cost of goods sold</td>
<td></td>
<td>$67,268,365</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7c</td>
<td>Gain or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7d</td>
<td>Net gain or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Gross Income from Fundraising Events (not including $316,291,768 of contributions reported on line 1c). See Part IV, line 18

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>8a</td>
<td>Gross income from fundraising events</td>
<td></td>
<td>$19,774,995</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8b</td>
<td>Less: direct expenses</td>
<td></td>
<td>$18,197,184</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8c</td>
<td>Net income or (loss) from fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Gross Income from Gaming Activities. See Part IV, line 19

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>9a</td>
<td>Gross income from gaming activities</td>
<td></td>
<td>$264,844</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9b</td>
<td>Less: direct expenses</td>
<td></td>
<td>$25,156</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9c</td>
<td>Net income or (loss) from gaming activities</td>
<td></td>
<td>$239,688</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Gross Sales of Inventory, Less Returns and Allowances

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>10a</td>
<td>Gross sales of inventory, less returns and allowances</td>
<td></td>
<td>$77,592,400</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b</td>
<td>Less: cost of goods sold</td>
<td></td>
<td>$15,890,176</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10c</td>
<td>Net income or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Change in Value of SPL

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>11a</td>
<td>CHANGE IN VALUE OF SPL</td>
<td></td>
<td>$4,856,718</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11b</td>
<td>OTHER REVENUE</td>
<td>900099</td>
<td>$3,417,683</td>
<td>$3,356,133</td>
<td></td>
</tr>
<tr>
<td>11c</td>
<td>LOSS ON UNCOLLECTIBLE</td>
<td>900099</td>
<td>$-1,272,236</td>
<td>$-1,272,236</td>
<td></td>
</tr>
<tr>
<td>11d</td>
<td>All other revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11e</td>
<td>Total, Add lines 11a-11d</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Total Revenue

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Total Revenue</th>
<th>(B) Related or Exempt Function Revenue</th>
<th>(C) Unrelated Business Revenue</th>
<th>(D) Revenue Excluded from Tax under Sections 512 - 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>12</td>
<td>Total revenue. See instructions.</td>
<td></td>
<td>$755,958,251</td>
<td>$94,452,868</td>
<td></td>
</tr>
</tbody>
</table>

---

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Page Form 990 (2013)
### Form 990 (2013) Page 10

**Part IX: Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX. [ ]

**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

<table>
<thead>
<tr>
<th></th>
<th>(A) Total expenses</th>
<th>(B) Program service expenses</th>
<th>(C) Management and general expenses</th>
<th>(D) Fundraising expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Grants and other assistance to governments and organizations in the United States. See Part IV, line 21</td>
<td>139,731,795</td>
<td>139,731,795</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Grants and other assistance to individuals in the United States. See Part IV, line 22</td>
<td>522,310</td>
<td>522,310</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16</td>
<td>146,760</td>
<td>146,760</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Benefits paid to or for members</td>
<td>6,979,912</td>
<td>6,979,912</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Compensation of current officers, directors, trustees, and key employees</td>
<td>19,356</td>
<td>19,356</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Other salaries and wages</td>
<td>215,527,617</td>
<td>151,895,923</td>
<td>24,966,516</td>
</tr>
<tr>
<td>8</td>
<td>Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)</td>
<td>17,138,461</td>
<td>12,049,545</td>
<td>1,940,078</td>
</tr>
<tr>
<td>9</td>
<td>Other employee benefits</td>
<td>19,470,857</td>
<td>13,237,983</td>
<td>2,421,876</td>
</tr>
<tr>
<td>10</td>
<td>Payroll taxes</td>
<td>16,564,592</td>
<td>11,303,729</td>
<td>2,414,743</td>
</tr>
<tr>
<td>11</td>
<td>Fees for services (non-employees):</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Management</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Legal</td>
<td>2,330,281</td>
<td>2,330,281</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Accounting</td>
<td>906,342</td>
<td>906,342</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Lobbying</td>
<td>3,258,509</td>
<td>3,258,509</td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Professional fundraising services. See Part IV, line 17</td>
<td>2,951,226</td>
<td>2,951,226</td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Investment management fees</td>
<td>1,780,677</td>
<td>1,780,677</td>
<td></td>
</tr>
<tr>
<td>g</td>
<td>Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)</td>
<td>37,899,802</td>
<td>35,733,553</td>
<td>402,091</td>
</tr>
<tr>
<td>12</td>
<td>Advertising and promotion</td>
<td>2,718,775</td>
<td>2,718,775</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Office expenses</td>
<td>86,399,100</td>
<td>69,675,415</td>
<td>3,349,039</td>
</tr>
<tr>
<td>14</td>
<td>Information technology</td>
<td>12,443,079</td>
<td>8,998,330</td>
<td>1,312,138</td>
</tr>
<tr>
<td>15</td>
<td>Royalties</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>Occupancy</td>
<td>15,317,057</td>
<td>11,054,337</td>
<td>1,611,490</td>
</tr>
<tr>
<td>17</td>
<td>Travel</td>
<td>20,656,266</td>
<td>12,938,859</td>
<td>2,992,820</td>
</tr>
<tr>
<td>18</td>
<td>Payments of travel or entertainment expenses for any federal, state, or local public officials</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>Conferences, conventions, and meetings</td>
<td>19,257,359</td>
<td>16,651,958</td>
<td>974,562</td>
</tr>
<tr>
<td>20</td>
<td>Interest</td>
<td>62,578</td>
<td>62,578</td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Payments to affiliates</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Depreciation, depletion, and amortization</td>
<td>9,206,316</td>
<td>6,847,053</td>
<td>1,064,341</td>
</tr>
<tr>
<td>23</td>
<td>Insurance</td>
<td>1,320,002</td>
<td>459,290</td>
<td>810,007</td>
</tr>
<tr>
<td>24</td>
<td>Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>OTHER EXPENSES</td>
<td>11,093,093</td>
<td>5,541,699</td>
<td>3,051,880</td>
</tr>
<tr>
<td>b</td>
<td>UBI TAX</td>
<td>919</td>
<td>919</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>All other expenses</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>Total functional expenses. Add lines 1 through 24e</td>
<td>643,703,041</td>
<td>502,765,823</td>
<td>59,391,646</td>
</tr>
<tr>
<td>26</td>
<td>Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Check here [ ] following SOP 98-2 (ASC 958-720)</td>
<td>186,132,033</td>
<td>120,313,268</td>
<td>22,352,673</td>
<td>43,466,092</td>
</tr>
</tbody>
</table>
## Balance Sheet

**Part X**

Check if Schedule O contains a response or note to any line in this Part X

### Assets

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cash - non-interest-bearing</td>
<td>32,006,467</td>
<td>35,750,850</td>
</tr>
<tr>
<td>2</td>
<td>Savings and temporary cash investments</td>
<td>18,864,445</td>
<td>355,267</td>
</tr>
<tr>
<td>3</td>
<td>Pledges and grants receivable, net</td>
<td>147,194,713</td>
<td>160,411,086</td>
</tr>
<tr>
<td>4</td>
<td>Accounts receivable, net</td>
<td>14,701,595</td>
<td>12,974,401</td>
</tr>
<tr>
<td>5</td>
<td>Loans and other receivables from current and former officers, directors,</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>trustees, key employees, and highest compensated employees. Complete Part II</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>of Schedule L</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Loans and other receivables from other disqualified persons (as defined</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td></td>
<td>under section 4958(f)(1)), persons described in section 4958(c)(3)(B),</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td></td>
<td>and contributing employers and sponsoring organizations of section 501(c)(9)</td>
<td>9</td>
<td></td>
</tr>
<tr>
<td></td>
<td>voluntary employees’ beneficiary organizations (see instr). Complete Part II</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td></td>
<td>of Sch L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Notes and loans receivable, net</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Inventories for sale or use</td>
<td>7,481,538</td>
<td>4,784,149</td>
</tr>
<tr>
<td>9</td>
<td>Prepaid expenses and deferred charges</td>
<td>14,806,623</td>
<td>10,659,794</td>
</tr>
<tr>
<td>10a</td>
<td>Land, buildings, and equipment: cost or other basis. Complete Part VI of</td>
<td>204,002,171</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Schedule D</td>
<td>10c</td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Less: accumulated depreciation</td>
<td>133,549,204</td>
<td>70,471,207</td>
</tr>
<tr>
<td>11</td>
<td>Investments - publicly traded securities</td>
<td>592,078,694</td>
<td>724,159,258</td>
</tr>
<tr>
<td>12</td>
<td>Investments - other securities. See Part IV, line 11</td>
<td>3,175,173</td>
<td>3,525,013</td>
</tr>
<tr>
<td>13</td>
<td>Investments - program-related. See Part IV, line 11</td>
<td>13</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Intangible assets</td>
<td>14</td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Other assets. See Part IV, line 11</td>
<td>200,946,025</td>
<td>225,098,211</td>
</tr>
<tr>
<td>16</td>
<td><strong>Total assets.</strong> Add lines 1 through 15 (must equal line 34)</td>
<td>1,101,730,480</td>
<td>1,248,170,996</td>
</tr>
<tr>
<td>17</td>
<td>Accounts payable and accrued expenses</td>
<td>48,370,851</td>
<td>64,245,060</td>
</tr>
<tr>
<td>18</td>
<td>Grants payable</td>
<td>264,501,291</td>
<td>275,464,389</td>
</tr>
<tr>
<td>19</td>
<td>Deferred revenue</td>
<td>8,766,535</td>
<td>7,303,746</td>
</tr>
<tr>
<td>20</td>
<td>Tax-exempt bond liabilities</td>
<td>1,205,000</td>
<td>1,025,000</td>
</tr>
<tr>
<td>21</td>
<td>Escrow or custodial account liability. Complete Part IV of Schedule D</td>
<td>21</td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Loans and other payables to current and former officers, directors,</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>trustees, key employees, and highest compensated employees, and disqualified</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>persons. Complete Part II of Schedule L</td>
<td>22</td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Secured mortgages and notes payable to unrelated third parties</td>
<td>23</td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>Unsecured notes and loans payable to unrelated third parties</td>
<td>24</td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>Other liabilities (including federal income tax, payables to related third</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>parties, and other liabilities not included on lines 17-24). Complete Part X</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>of Schedule D</td>
<td>25</td>
<td></td>
</tr>
<tr>
<td>26</td>
<td><strong>Total liabilities.</strong> Add lines 17 through 26</td>
<td>355,392,307</td>
<td>384,566,589</td>
</tr>
</tbody>
</table>

### Liabilities

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>27</td>
<td>Unrestricted net assets</td>
<td>334,136,591</td>
<td>394,368,837</td>
</tr>
<tr>
<td>28</td>
<td>Temporarily restricted net assets</td>
<td>238,441,905</td>
<td>274,471,275</td>
</tr>
<tr>
<td>29</td>
<td>Permanently restricted net assets</td>
<td>173,759,677</td>
<td>194,764,295</td>
</tr>
</tbody>
</table>

### Organizations that follow SFAS 117 (ASC 958), check here [X] and complete lines 27 through 29, and lines 33 and 34.

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>30</td>
<td>Unrestricted net assets</td>
</tr>
<tr>
<td>31</td>
<td>Temporarily restricted net assets</td>
</tr>
<tr>
<td>32</td>
<td>Permanently restricted net assets</td>
</tr>
</tbody>
</table>

**Form 990 (2013)**

**Page 11**
### Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue (must equal Part VIII, column (A), line 12)</td>
<td>755,958,251.</td>
</tr>
<tr>
<td>2</td>
<td>Total expenses (must equal Part IX, column (A), line 25)</td>
<td>643,703,041.</td>
</tr>
<tr>
<td>3</td>
<td>Revenue less expenses. Subtract line 2 from line 1</td>
<td>112,255,210.</td>
</tr>
<tr>
<td>4</td>
<td>Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))</td>
<td>746,338,173.</td>
</tr>
<tr>
<td>5</td>
<td>Net unrealized gains (losses) on investments</td>
<td>6,118,803.</td>
</tr>
<tr>
<td>6</td>
<td>Donated services and use of facilities</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Investment expenses</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Prior period adjustments</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Other changes in net assets or fund balances (explain in Schedule O)</td>
<td>-1,107,779.</td>
</tr>
<tr>
<td>10</td>
<td>Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</td>
<td>863,604,407.</td>
</tr>
</tbody>
</table>

### Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1  Accounting method used to prepare the Form 990:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Cash</td>
<td>☐</td>
<td>☒</td>
</tr>
<tr>
<td>- Accrual</td>
<td>☒</td>
<td></td>
</tr>
<tr>
<td>- Other</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>If the organization changed its method of accounting from a prior year or checked “Other,” explain in Schedule O.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2a  Were the organization’s financial statements compiled or reviewed by an independent accountant?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- If “Yes,” check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- ☐ Separate basis</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>- ☒ Consolidated basis</td>
<td>☒</td>
<td></td>
</tr>
<tr>
<td>- ☐ Both consolidated and separate basis</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>2b  Were the organization’s financial statements audited by an independent accountant?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- If “Yes,” check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- ☑ Separate basis</td>
<td>☑</td>
<td></td>
</tr>
<tr>
<td>- ☐ Consolidated basis</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>- ☐ Both consolidated and separate basis</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>2c  If “Yes” to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3a  As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3b  If &quot;Yes,&quot; did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Name of the organization: AMERICAN HEART ASSOCIATION, INC.
Employer identification number: 13-5613797

**Part I Reason for Public Charity Status**

(All organizations must complete this part.) See instructions.

<p>| | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>A community trust described in section 170(b)(1)(A)(vii). (Complete Part II.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>An organization organized and operated exclusively to test for public safety. See section 509(a)(4).</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11a</td>
<td>Type I</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11b</td>
<td>Type II</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11c</td>
<td>Type III - Functionally integrated</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11d</td>
<td>Type III - Non-functionally integrated</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11e</td>
<td>By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11f</td>
<td>If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11g</td>
<td>Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?</td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>11g(i)</td>
<td>A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?</td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>11g(ii)</td>
<td>A family member of a person described in (i) above?</td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>11g(iii)</td>
<td>A 35% controlled entity of a person described in (i) or (ii) above?</td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>11h</td>
<td>Provide the following information about the supported organization(s).</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>(i) Name of supported organization</th>
<th>(ii) EIN</th>
<th>(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))</th>
<th>(iv) Is the organization in col. (i) listed in your governing document?</th>
<th>(v) Did you notify the organization in col. (i) of your support?</th>
<th>(vi) Is the organization in col. (i) organized in the U.S.?</th>
<th>(vii) Amount of monetary support</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
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</tbody>
</table>

Total

<p>| | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
</table>

LHA For Paperwork Reduction Act Notice, see the Instructions for Schedule A (Form 990 or 990-EZ) 2013
**Section A. Public Support**

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2009</th>
<th>(b) 2010</th>
<th>(c) 2011</th>
<th>(d) 2012</th>
<th>(e) 2013</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1  Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants.&quot;)</td>
<td>531,080,232</td>
<td>514,026,122</td>
<td>532,997,854</td>
<td>523,882,707</td>
<td>569,646,207</td>
<td>2,671,633,122</td>
</tr>
<tr>
<td>2  Tax revenues levied for the organization's benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3  The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4  Total. Add lines 1 through 3</td>
<td>531,080,232</td>
<td>514,026,122</td>
<td>532,997,854</td>
<td>523,882,707</td>
<td>569,646,207</td>
<td>2,671,633,122</td>
</tr>
<tr>
<td>5  The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6  Public support. Subtract line 5 from line 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Section B. Total Support**

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2009</th>
<th>(b) 2010</th>
<th>(c) 2011</th>
<th>(d) 2012</th>
<th>(e) 2013</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>7  Amounts from line 4</td>
<td>531,080,232</td>
<td>514,026,122</td>
<td>532,997,854</td>
<td>523,882,707</td>
<td>569,646,207</td>
<td>2,671,633,122</td>
</tr>
<tr>
<td>8  Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td>34,594,992</td>
<td>36,207,978</td>
<td>41,572,085</td>
<td>43,394,143</td>
<td>46,072,477</td>
<td>201,841,675</td>
</tr>
<tr>
<td>9  Net income from unrelated business activities, whether or not the business is regularly carried on</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10  Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11  Total support. Add lines 7 through 10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12  Gross receipts from related activities, etc. (see instructions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>440,816,544</td>
</tr>
<tr>
<td>13  First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Section C. Computation of Public Support Percentage**

<table>
<thead>
<tr>
<th>(a) 2009</th>
<th>(b) 2010</th>
<th>(c) 2011</th>
<th>(d) 2012</th>
<th>(e) 2013</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>14  Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15  Public support percentage from 2012 Schedule A, Part II, line 14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

16a 33 1/3% support test - 2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | X |

17a 10% -facts-and-circumstances test - 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization | |

18  Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions | |
### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (fiscal year beginning in)</th>
<th>(a) 2009</th>
<th>(b) 2010</th>
<th>(c) 2011</th>
<th>(d) 2012</th>
<th>(e) 2013</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants.&quot;)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Gross receipts from activities that are not an unrelated trade or business under section 513</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Total. Add lines 1 through 5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a Amounts included on lines 1, 2, and 3 received from disqualified persons</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7c Add lines 7a and 7b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Public support (multiply line 7c times line 6)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (fiscal year beginning in)</th>
<th>(a) 2009</th>
<th>(b) 2010</th>
<th>(c) 2011</th>
<th>(d) 2012</th>
<th>(e) 2013</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>9 Amounts from line 6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10c Add lines 10a and 10b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Total support. (Add lines 9, 10c, 11, and 12)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <strong>stop here</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Section C. Computation of Public Support Percentage

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
<th>(e)</th>
<th>(f)</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 Public support percentage from 2012 Schedule A, Part III, line 15</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

### Section D. Computation of Investment Income Percentage

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
<th>(e)</th>
<th>(f)</th>
</tr>
</thead>
<tbody>
<tr>
<td>17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18 Investment income percentage from 2012 Schedule A, Part III, line 17</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
<th>(e)</th>
<th>(f)</th>
</tr>
</thead>
<tbody>
<tr>
<td>19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and <strong>stop here</strong>. The organization qualifies as a publicly supported organization</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and <strong>stop here</strong>. The organization qualifies as a publicly supported organization</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE A, PART II, SECTION B, LINE 10 - OTHER INCOME

EXPLANATION: OTHER INCOME IS GENERALLY COMPRISED OF THE CHANGE IN VALUE OF 

SPLIT INTEREST AGREEMENTS AND UNCOLLECTIBLE ACCOUNTS RECEIVABLE.
AMERICAN HEART ASSOCIATION, INC.

Organization type (check one):

Form 990 or 990-EZ

\[ 501(c)(3) \] (enter number) organization

\[ \\]

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

\[ 501(c)(3) \] exempt private foundation

\[ \\]

4947(a)(1) nonexempt charitable trust treated as a private foundation

\[ \\]

501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

\[ \]

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

\[ X \]

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) $5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

\[ \]

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

\[ \]

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)
### Part I Contributors

(see instructions). Use duplicate copies of Part I if additional space is needed.

<table>
<thead>
<tr>
<th>(a) No.</th>
<th>(b) Name, address, and ZIP + 4</th>
<th>(c) Total contributions</th>
<th>(d) Type of contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>THE ADVERTISING COUNCIL, INC.</td>
<td>$31,362,000</td>
<td>Noncash X</td>
</tr>
<tr>
<td></td>
<td>815 SECOND AVENUE, NINTH FLOOR</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>NEW YORK, NY 10017</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>THE ROBERT WOOD JOHNSON FOUNDATION</td>
<td>$11,726,003</td>
<td></td>
</tr>
<tr>
<td></td>
<td>PO BOX 2316</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>PRINCETON, NJ 08543</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(Complete Part II for noncash contributions.)

(Complete Part II for noncash contributions.)

(Complete Part II for noncash contributions.)

(Complete Part II for noncash contributions.)

(Complete Part II for noncash contributions.)

(Complete Part II for noncash contributions.)
### Part II  Noncash Property

(see instructions). Use duplicate copies of Part II if additional space is needed.

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Description of noncash property given</th>
<th>(c) FMV (or estimate) (see instructions)</th>
<th>(d) Date received</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ADVERTISING MATERIALS</td>
<td>$31,362,000</td>
<td>06/30/14</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Description of noncash property given</th>
<th>(c) FMV (or estimate) (see instructions)</th>
<th>(d) Date received</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
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<th>(b) Description of noncash property given</th>
<th>(c) FMV (or estimate) (see instructions)</th>
<th>(d) Date received</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
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<th>(b) Description of noncash property given</th>
<th>(c) FMV (or estimate) (see instructions)</th>
<th>(d) Date received</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

<table>
<thead>
<tr>
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<th>(b) Description of noncash property given</th>
<th>(c) FMV (or estimate) (see instructions)</th>
<th>(d) Date received</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Description of noncash property given</th>
<th>(c) FMV (or estimate) (see instructions)</th>
<th>(d) Date received</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>
**Part III**

Religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. Enter this information once. Use duplicate copies of Part III if additional space is needed.

<table>
<thead>
<tr>
<th>No. from Part I</th>
<th>Purpose of gift</th>
<th>Use of gift</th>
<th>Description of how gift is held</th>
<th>Transfer of gift</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Transferee's name, address, and ZIP + 4</td>
</tr>
<tr>
<td></td>
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</tr>
</tbody>
</table>

Exclusively

$_____

Complete columns through the following line entry. For organizations completing Part III, enter the total of religious, charitable, etc., contributions of $1,000 or less for the year. Enter this information once. Use duplicate copies of Part III if additional space is needed.
**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

- Complete if the organization is described below.
- Attach to Form 990 or Form 990-EZ.
- See separate instructions.
- Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then
- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

### Part I-A

Complete if the organization is exempt under section 501(c) or is a section 527 organization.

<table>
<thead>
<tr>
<th>Name of organization</th>
<th>Employer identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMERICAN HEART ASSOCIATION, INC.</td>
<td>13-5613797</td>
</tr>
</tbody>
</table>

1. Provide a description of the organization’s direct and indirect political campaign activities in Part IV.  
2. Political expenditures .................................................. $
3. Volunteer hours ..................................................................  

### Part I-B

Complete if the organization is exempt under section 501(c)(3).

1. Enter the amount of any excise tax incurred by the organization under section 4955 .................................................. $  
2. Enter the amount of any excise tax incurred by organization managers under section 4955 .................................................. $  
3. If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .................................................. Yes □ □ No  
4a. Was a correction made? ..........................................................  
4b. If "Yes," describe in Part IV. ..................................................  

### Part I-C

Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1. Enter the amount directly expended by the filing organization for section 527 exempt function activities .................................. $  
2. Enter the amount of the filing organization’s funds contributed to other organizations for section 527 exempt function activities .................................................. $  
3. Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b .................................................. $  
4. Did the filing organization file Form 1120-POL for this year? .................................................. Yes □ □ No  
5. Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization’s funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

<table>
<thead>
<tr>
<th>(a) Name</th>
<th>(b) Address</th>
<th>(c) EIN</th>
<th>(d) Amount paid from filing organization’s funds. If none, enter -0-.</th>
<th>(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.  
Schedule C (Form 990 or 990-EZ) 2013

LHA

332041  
11-08-13
**Limits on Lobbying Expenditures**

(The term "expenditures" means amounts paid or incurred.)

<table>
<thead>
<tr>
<th></th>
<th>(a) Filing organization's totals</th>
<th>(b) Affiliated group totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Total lobbying expenditures to influence public opinion (grass roots lobbying)</td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>Total lobbying expenditures to influence a legislative body (direct lobbying)</td>
<td></td>
</tr>
<tr>
<td>1c</td>
<td>Total lobbying expenditures (add lines 1a and 1b)</td>
<td></td>
</tr>
<tr>
<td>1d</td>
<td>Other exempt purpose expenditures</td>
<td></td>
</tr>
<tr>
<td>1e</td>
<td>Total exempt purpose expenditures (add lines 1c and 1d)</td>
<td></td>
</tr>
<tr>
<td>1f</td>
<td>Lobbying nontaxable amount. Enter the amount from the following table in both columns.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount on line 1e, column (a) or (b)</th>
<th>The lobbying nontaxable amount is:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not over $500,000</td>
<td>20% of the amount on line 1e.</td>
</tr>
<tr>
<td>Over $500,000 but not over $1,000,000</td>
<td>$100,000 plus 15% of the excess over $500,000.</td>
</tr>
<tr>
<td>Over $1,000,000 but not over $1,500,000</td>
<td>$175,000 plus 10% of the excess over $1,000,000.</td>
</tr>
<tr>
<td>Over $1,500,000 but not over $17,000,000</td>
<td>$225,000 plus 5% of the excess over $1,500,000.</td>
</tr>
<tr>
<td>Over $17,000,000</td>
<td>$1,000,000.</td>
</tr>
</tbody>
</table>

| g | Grassroots nontaxable amount (enter 25% of line 1f) |                           |
| h | Subtract line 1g from line 1a. If zero or less, enter -0- |                           |
| i | Subtract line 1f from line 1c. If zero or less, enter -0- |                           |
| j | If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | ☐ Yes ☐ No |

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2010</th>
<th>(b) 2011</th>
<th>(c) 2012</th>
<th>(d) 2013</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a</td>
<td>Lobbying nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td>Lobbying ceiling amount (150% of line 2a, column(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2c</td>
<td>Total lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2d</td>
<td>Grassroots nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2e</td>
<td>Grassroots ceiling amount (150% of line 2d, column(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2f</td>
<td>Grassroots lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Schedule C (Form 990 or 990-EZ) 2013
Schedule C (Form 990 or 990-EZ) 2013  AMERICAN HEART ASSOCIATION, INC.  13–5613797
Page 3

[Part II-B] Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Volunteers?</td>
<td>X</td>
</tr>
<tr>
<td>b</td>
<td>Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?</td>
<td>X</td>
</tr>
<tr>
<td>c</td>
<td>Media advertisements?</td>
<td>X</td>
</tr>
<tr>
<td>d</td>
<td>Mailings to members, legislators, or the public?</td>
<td>X</td>
</tr>
<tr>
<td>e</td>
<td>Publications, or published or broadcast statements?</td>
<td>X</td>
</tr>
<tr>
<td>f</td>
<td>Grants to other organizations for lobbying purposes?</td>
<td>X</td>
</tr>
<tr>
<td>g</td>
<td>Direct contact with legislators, their staffs, government officials, or a legislative body?</td>
<td>X</td>
</tr>
<tr>
<td>h</td>
<td>Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?</td>
<td>X</td>
</tr>
<tr>
<td>i</td>
<td>Other activities?</td>
<td>X</td>
</tr>
<tr>
<td>j</td>
<td>Total. Add lines 1c through 1i</td>
<td>X</td>
</tr>
</tbody>
</table>

2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a</td>
<td>Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?</td>
<td>X</td>
</tr>
</tbody>
</table>

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Were substantially all (90% or more) dues received nondeductible by members?</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Did the organization make only in-house lobbying expenditures of $2,000 or less?</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Did the organization agree to carry over lobbying and political expenditures from the prior year?</td>
<td>3</td>
</tr>
</tbody>
</table>

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Dues, assessments and similar amounts from members</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Current year</td>
<td>2a</td>
</tr>
<tr>
<td>b</td>
<td>Carryover from last year</td>
<td>2b</td>
</tr>
<tr>
<td>c</td>
<td>Total</td>
<td>2c</td>
</tr>
<tr>
<td>3</td>
<td>Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Taxable amount of lobbying and political expenditures (see instructions)</td>
<td>5</td>
</tr>
</tbody>
</table>

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

PART II-B, LINE 1, LOBBYING ACTIVITIES:

EXPLANATION: IN SUPPORT OF ITS MISSION TO BUILD HEALTHIER LIVES, FREE OF CARDIOVASCULAR DISEASES AND STROKE, THE AMERICAN HEART ASSOCIATION (AHA) PLANS, COORDINATES AND IMPLEMENTS A PUBLIC ADVOCACY PROGRAM. AT THE NATIONAL LEVEL, THIS PROGRAM INCLUDES MAINTAINING AND EXPANDING CONTACTS WITH MEMBERS OF CONGRESS. SIMILAR RELATIONSHIPS ARE BUILT BY
THE REGIONAL AFFILIATES, ADVOCATING AT THE STATE AND LOCAL LEVELS. TO

GUIDE ITS FEDERAL, STATE AND LOCAL EFFORTS, THE ASSOCIATION IMPLEMENTS

A PUBLIC POLICY AGENDA BY MAINTAINING ACTIVE PARTNERSHIPS IN

HEALTH-RELATED COALITIONS WITH OTHER LIKE-MINDED GROUPS; POLICY

RESEARCH THAT IS SCIENCE AND EVIDENCE-BASED, PRODUCING DOCUMENTS SUCH

AS POLICY POSITION STATEMENTS, FACT SHEETS, AND PUBLISHED PAPERS, MEDIA

ADVOCACY, INCLUDING LETTERS TO THE EDITOR, OP-ED PIECES, ADVERTORIALS

AND NEWS CONFERENCES; MONITORING AND COMMENTING ON REGULATORY

PROPOSALS; SUBMITTING TESTIMONY AND STATEMENTS FOR THE RECORD IN

RESPONSE TO PROPOSED POLICY INITIATIVES; MAINTAINING AN ACTIVE

VOLUNTEER GRASSROOTS NETWORK AVAILABLE TO WRITE, CALL AND/OR VISIT

LOCAL, STATE AND FEDERAL POLICYMAKERS; AND LOBBYING OF LOCAL, STATE AND

FEDERAL LEGISLATIVE BODIES. THE AMERICAN HEART ASSOCIATION IS COMMITTED

THROUGHOUT ITS PUBLIC POLICY WORK TO PROACTIVELY CONFRONT AND ADDRESS

THE HEALTH INEQUITIES AND DISPARITIES THAT EXIST IN OUR COUNTRY.

THE ASSOCIATION ENCOURAGES CONGRESS AND STATE LEGISLATURES TO JOIN THE

FIGHT AGAINST CARDIOVASCULAR DISEASE, INCLUDING STROKE, THE LEADING

CAUSE OF DEATH IN THE UNITED STATES. THE ASSOCIATION’S STRATEGIC PUBLIC

POLICY PRIORITIES ARE IN THE FOLLOWING AREAS:

HEART DISEASE AND STROKE RESEARCH: A TOP PRIORITY OF THE ASSOCIATION

IS TO ENSURE SUPPORT FOR BASIC, CLINICAL, TRANSLATIONAL, HEALTH

SERVICES, OUTCOMES, GENOMICS, AND COMPARATIVE EFFECTIVENESS RESEARCH

AND THE OVERALL RESEARCH ENVIRONMENT AS WELL AS COMMUNITY HEALTH

SERVICES, PUBLIC HEALTH PROGRAMS, POLICY EVALUATION AND ECONOMICS. THE

AHA ADVOCATES FOR SIGNIFICANTLY INCREASING FUNDING FOR THE NATIONAL

INSTITUTES OF HEALTH AND OTHER STATE AND FEDERAL GOVERNMENT AGENCIES TO
ENHANCE HEART AND STROKE RESEARCH.

IMPROVING CARDIOVASCULAR HEALTH (PREVENTION): THE AMERICAN HEART ASSOCIATION PRIORITIZES PUBLIC POLICIES AIMED AT PROMOTING AND IMPROVING THE HEALTH FACTORS FOR ALL AMERICANS. THESE POLICY PRIORITIES ADDRESS OBESITY PREVENTION, DIAGNOSIS, AND TREATMENT, INCREASING ACCESS TO HEALTHY AND AFFORDABLE FOODS, HEALTHY DIET AND NUTRITION, INCREASING PHYSICAL ACTIVITY, ADDRESSING TOBACCO CONTROL AND PREVENTION, AND AIR POLLUTION. THE AHA ADDRESSES THESE ISSUES AT THE LOCAL, STATE, AND FEDERAL LEVEL WITH LEGISLATION, REGULATION, AND OTHER POLICY CHANGE EFFORTS.


ENSURE APPROPRIATE AND TIMELY ACCESS TO HEART DISEASE AND STROKE CARE:

THE AHA ADVANCES COMPREHENSIVE COVERAGE AND TIMELY ACCESS TO APPROPRIATE CARE FOR HEART DISEASE, PERIPHERAL ARTERY DISEASE, AND STROKE WITH A FOCUS ON ADEQUATE AND AFFORDABLE COVERAGE, APPROPRIATE
SYSTEMS OF EMERGENCY CARE, TELEMEDICINE, AND SURVEILLANCE. THIS INCLUDES PROMOTING SYSTEMS OF CARE AROUND STROKE, ST ELEVATED MYOCARDIAL INFARCTION (STEMI), EMERGENCY CARE, OUT OF HOSPITAL CARDIAC ARREST, AND TELEHEALTH.

CHARITABLE ORGANIZATIONS: THE ASSOCIATION SUPPORTS POLICIES THAT PRESERVE THE VIABILITY OF NON-PROFIT ORGANIZATIONS BY MONITORING AND AS APPROPRIATE, INCLUDING LEGISLATIVE AND REGULATORY EFFORTS THAT ATTEMPT TO RESTRICT OR PROHIBIT CHARITABLE GIVING AND OTHER NON-PROFIT EFFORTS AND ACTIVITIES. THESE INCLUDE PROTECTING NON-PROFIT SECTOR INTERESTS, PROMOTING TAX POLICY CONducIVE TO CHARITABLE ORGANIZATIONS, ENCOURAGING VOLUNTEERISM, PRESERVING PUBLIC FUNDING FOR VOLUNTARY HEALTH ORGANIZATIONS, AND SAFEGUARDING THE ABILITY OF CHARITABLE ORGANIZATIONS TO ENGAGE IN ADVOCACY.
### Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts

Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

<table>
<thead>
<tr>
<th></th>
<th>(a) Donor advised funds</th>
<th>(b) Funds and other accounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total number at end of year</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Aggregate contributions to (during year)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Aggregate grants from (during year)</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Aggregate value at end of year</td>
<td></td>
</tr>
</tbody>
</table>

5. Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization’s property, subject to the organization’s exclusive legal control?
   - Yes
   - No

6. Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?
   - Yes
   - No

### Part II Conservation Easements

Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1. Purpose(s) of conservation easements held by the organization (check all that apply):
   - Preservation of land for public use (e.g., recreation or education)
   - Preservation of an historically important land area
   - Protection of natural habitat
   - Preservation of open space

2. Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.
   - Held at the End of the Tax Year
   - a Total number of conservation easements
   - b Total acreage restricted by conservation easements
   - c Number of conservation easements on a certified historic structure included in (a)
   - d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3. Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year

4. Number of states where property subject to conservation easement is located

5. Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?
   - Yes
   - No

6. Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year

7. Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year

8. Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?
   - Yes
   - No

9. In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements.

### Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a. If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

1b. If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
   - (i) Revenues included in Form 990, Part VIII, line 1
   - (ii) Assets included in Form 990, Part X

2. If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
   - a Revenues included in Form 990, Part VIII, line 1
   - b Assets included in Form 990, Part X
Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization’s acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
   a □ Public exhibition
   b □ Scholarly research
   c □ Preservation for future generations
   d □ Loan or exchange programs
   e □ Other

4 Provide a description of the organization’s collections and explain how they further the organization’s exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization’s collection? ........................................... □ Yes □ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered “Yes” to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? □ Yes □ No

b If “Yes,” explain the arrangement in Part XIII and complete the following table:

<table>
<thead>
<tr>
<th>1c</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1d</td>
<td></td>
</tr>
<tr>
<td>1e</td>
<td></td>
</tr>
<tr>
<td>1f</td>
<td></td>
</tr>
</tbody>
</table>

2a Did the organization include an amount on Form 990, Part X, line 21? □ Yes □ No

b If “Yes,” explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII.

Part V Endowment Funds. Complete if the organization answered “Yes” to Form 990, Part IV, line 10.

1a Beginning of year balance...
   b Contributions...
   c Net investment earnings, gains, and losses...
   d Grants or scholarships...
   e Other expenditures for facilities and programs...
   f Administrative expenses...
   g End of year balance...

1b Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
   a Board designated or quasi-endowment ▶ 72.80 %
   b Permanent endowment ▶ 27.80 %

   The percentages in lines 1a, 1b, and 1c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
   a (i) unrelated organizations
   b (ii) related organizations

b If “Yes” to 3a(ii), are the related organizations listed as required on Schedule R? □ Yes □ No

4 Describe in Part XIII the intended uses of the organization’s endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered “Yes” to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

<table>
<thead>
<tr>
<th>Description of property</th>
<th>(a) Cost or other basis (investment)</th>
<th>(b) Cost or other basis (other)</th>
<th>(c) Accumulated depreciation</th>
<th>(d) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Land</td>
<td>10,652,226</td>
<td></td>
<td>10,652,226</td>
<td></td>
</tr>
<tr>
<td>1b Buildings</td>
<td>82,466,637</td>
<td>43,021,963</td>
<td>39,444,674</td>
<td></td>
</tr>
<tr>
<td>1c Leasehold improvements</td>
<td>5,178,285</td>
<td>3,132,376</td>
<td>2,045,909</td>
<td></td>
</tr>
<tr>
<td>1d Equipment</td>
<td>104,167,189</td>
<td>86,460,358</td>
<td>17,706,831</td>
<td></td>
</tr>
<tr>
<td>1e Other</td>
<td>1,537,834</td>
<td>934,507</td>
<td>603,327</td>
<td></td>
</tr>
</tbody>
</table>

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) ▶ 70,452,967.
**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

<table>
<thead>
<tr>
<th>(a) Description of security or category (including name of security)</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Financial derivatives</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) Closely-held equity interests</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3) Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(A)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(B)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(C)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(D)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(E)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(F)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(G)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(H)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

<table>
<thead>
<tr>
<th>(a) Description of investment</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

<table>
<thead>
<tr>
<th>(a) Description</th>
<th>(b) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) SPLIT INTEREST AGREEMENTS</td>
<td>73,866,848.</td>
</tr>
<tr>
<td>(2) BENEFICIAL INTEREST IN PERPETUAL TRUSTS</td>
<td>151,231,363.</td>
</tr>
<tr>
<td>(3)</td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
</tbody>
</table>

Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) 225,098,211.

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

<table>
<thead>
<tr>
<th>(a) Description of liability</th>
<th>(b) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Federal income taxes</td>
<td></td>
</tr>
<tr>
<td>(2) CAPITAL LEASE OBLIGATIONS</td>
<td>1,325,204.</td>
</tr>
<tr>
<td>(3) POST-RETIREMENT BENEFITS</td>
<td>12,612,304.</td>
</tr>
<tr>
<td>(4) CHARITABLE GIFT ANNUITIES</td>
<td>14,827,307.</td>
</tr>
<tr>
<td>(5) RENT DEFERRALS/AMORTIZATION</td>
<td>2,961,274.</td>
</tr>
<tr>
<td>(6) SUPPLEMENTAL RETIREMENT PLAN</td>
<td>3,767,732.</td>
</tr>
<tr>
<td>(7) OTHER PAYABLES</td>
<td>1,034,573.</td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
</tbody>
</table>

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) 36,528,394.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]
**Part XI**

**Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

<table>
<thead>
<tr>
<th></th>
<th>Total revenue, gains, and other support per audited financial statements</th>
<th>1</th>
<th>784,707,036.</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part VIII, line 12:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Net unrealized gains on investments</td>
<td>2a</td>
<td>6,118,803.</td>
</tr>
<tr>
<td></td>
<td>b Donated services and use of facilities</td>
<td>2b</td>
<td>8,460,633.</td>
</tr>
<tr>
<td></td>
<td>c Recoveries of prior year grants</td>
<td>2c</td>
<td>14,579,436.</td>
</tr>
<tr>
<td></td>
<td>d Other (Describe in Part XIII.)</td>
<td>2d</td>
<td>14,579,436.</td>
</tr>
<tr>
<td></td>
<td>e Add lines 2a through 2d</td>
<td>2e</td>
<td>14,579,436.</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
<td>770,127,600.</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part VIII, line 12, but not on line 1:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
<td>1,780,677.</td>
</tr>
<tr>
<td></td>
<td>b Other (Describe in Part XIII.)</td>
<td>4b</td>
<td>-15,950,026.</td>
</tr>
<tr>
<td></td>
<td>c Add lines 4a and 4b</td>
<td>4c</td>
<td>-14,169,349.</td>
</tr>
<tr>
<td>5</td>
<td>Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)</td>
<td>5</td>
<td>755,958,251.</td>
</tr>
</tbody>
</table>

**Part XII**

**Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

<table>
<thead>
<tr>
<th></th>
<th>Total expenses and losses per audited financial statements</th>
<th>1</th>
<th>667,440,802.</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part IX, line 25:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Donated services and use of facilities</td>
<td>2a</td>
<td>8,460,633.</td>
</tr>
<tr>
<td></td>
<td>b Prior year adjustments</td>
<td>2b</td>
<td>1,107,779.</td>
</tr>
<tr>
<td></td>
<td>c Other losses</td>
<td>2c</td>
<td>9,568,412.</td>
</tr>
<tr>
<td></td>
<td>d Other (Describe in Part XIII.)</td>
<td>2d</td>
<td>9,568,412.</td>
</tr>
<tr>
<td></td>
<td>e Add lines 2a through 2d</td>
<td>2e</td>
<td>9,568,412.</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
<td>657,872,390.</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part IX, line 25, but not on line 1:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
<td>1,780,677.</td>
</tr>
<tr>
<td></td>
<td>b Other (Describe in Part XIII.)</td>
<td>4b</td>
<td>-15,950,026.</td>
</tr>
<tr>
<td></td>
<td>c Add lines 4a and 4b</td>
<td>4c</td>
<td>-14,169,349.</td>
</tr>
<tr>
<td>5</td>
<td>Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)</td>
<td>5</td>
<td>643,703,041.</td>
</tr>
</tbody>
</table>

**Part XIII**

**Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

EXPLANATION: THE INTENDED USE OF ENDOWMENT FUNDS IS TO PROVIDE FUNDING FOR RESEARCH AND OTHER MISSION-RELATED PROGRAMS.

**PART X, LINE 2:**

EXPLANATION: THE ASSOCIATION IS EXEMPT FROM FEDERAL INCOME TAXES ON RELATED INCOME UNDER SECTION 501(A) OF THE INTERNAL REVENUE CODE (IRC) OF 1986, AS AMENDED, AS AN ORGANIZATION DESCRIBED IN IRC SECTION 501(C)(3).

FURTHER, THE ASSOCIATION HAS BEEN CLASSIFIED AS AN ORGANIZATION THAT IS NOT A PRIVATE FOUNDATION UNDER IRC SECTION 509(A) AND, AS SUCH, CONTRIBUTIONS TO THE ASSOCIATION QUALIFY FOR DEDUCTION AS CHARITABLE CONTRIBUTIONS. HOWEVER, INCOME GENERATED FROM ACTIVITIES UNRELATED TO THE
ASSOCIATION'S EXEMPT PURPOSE IS SUBJECT TO TAX UNDER IRC SECTION 511. THE ASSOCIATION DID NOT HAVE ANY MATERIAL UNRELATED BUSINESS INCOME TAX LIABILITY FOR THE YEARS ENDED JUNE 30, 2014 AND 2013. THE ASSOCIATION BELIEVES THAT IT HAS TAKEN NO SIGNIFICANT UNCERTAIN TAX POSITIONS.

PART XI, LINE 4B - OTHER ADJUSTMENTS:

- RENTAL EXPENSES: -132,121.
- FUNDRAISING EXPENSES: 72,271.

TOTAL TO SCHEDULE D, PART XI, LINE 4B: -15,950,026.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

- POST-RETIREMENT (ASC 715) ADJUSTMENT: 1,107,779.

PART XII, LINE 4B - OTHER ADJUSTMENTS:

REFER TO SCHEDULE D, PART XI, LINE 4B EXPLANATION: -15,950,026.

SCHEDULE D, PART XII, LINE 2D

EXPLANATION: EFFECT OF ADOPTION OF FASB STATEMENT NO 158 (ASC 715)

FASB STATEMENT 158 (ASC 715) REQUIRES EMPLOYERS TO FULLY RECOGNIZE THE OVERFUNDED OR UNDERFUNDED POSITIONS (THE DIFFERENCE BETWEEN THE FAIR VALUE OF PLAN ASSETS AND THE BENEFIT OBLIGATION) OF DEFINED BENEFIT PENSION, RETIREE HEALTHCARE AND OTHER POSTRETIREMENT PLANS IN THEIR BALANCE SHEETS.

THE EFFECT OF THIS CHANGE ON AHA IS -$1,107,779 FOR FISCAL YEAR ENDED JUNE 30, 2014.
**SCHEDULE F**  
(form 990)  

**Statement of Activities Outside the United States**  
导购 if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.  
Attach to Form 990. See separate instructions.  
Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.  

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1. **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  
   - ☑ Yes  
   - ☐ No

2. **For grantmakers.** Describe in Part V the organization’s procedures for monitoring the use of its grants and other assistance outside the United States.

3. **Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

<table>
<thead>
<tr>
<th>(a) Region</th>
<th>(b) Number of offices in the region</th>
<th>(c) Number of employees, agents, and independent contractors in region</th>
<th>(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)</th>
<th>(e) If activity listed in (d) is a program service, describe specific type of service(s) in region</th>
<th>(f) Total expenditures for and investments in region</th>
</tr>
</thead>
<tbody>
<tr>
<td>CENTRAL AMERICA AND THE CARIBBEAN</td>
<td>0</td>
<td>0</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>70,415.</td>
</tr>
<tr>
<td>EAST ASIA AND THE PACIFIC</td>
<td>1</td>
<td>0</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>1,078,406.</td>
</tr>
<tr>
<td>EUROPE (INCLUDING ICELAND &amp; GREENLAND)</td>
<td>1</td>
<td>1</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>588,594.</td>
</tr>
<tr>
<td>MIDDLE EAST AND NORTH AFRICA</td>
<td>1</td>
<td>2</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>897,261.</td>
</tr>
<tr>
<td>NORTH AMERICA</td>
<td>0</td>
<td>0</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>795,558.</td>
</tr>
<tr>
<td>SOUTH AMERICA</td>
<td>0</td>
<td>0</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>759,350.</td>
</tr>
<tr>
<td>SOUTH ASIA</td>
<td>0</td>
<td>0</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>223,245.</td>
</tr>
<tr>
<td>SUB-SAHARAN AFRICA</td>
<td>0</td>
<td>0</td>
<td>PROGRAM SERVICES</td>
<td>SALES OF EDUCATIONAL &amp; TRAINING MATERIALS RELATED TO CARDIOVASCULAR CARE</td>
<td>128,574.</td>
</tr>
</tbody>
</table>

3 a Sub-total ..........  
3 b Total from continuation sheets to Part I ..........  
3 c Totals (add lines 3a and 3b)  
   - 3  
   - 3  

LHA  For Paperwork Reduction Act Notice, see the Instructions for Form 990.
<table>
<thead>
<tr>
<th>Region</th>
<th>(b) Number of offices in the region</th>
<th>(c) Number of employees or agents in region</th>
<th>(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)</th>
<th>(e) If activity listed in (d) is a program service, describe specific type of service(s) in region</th>
<th>(f) Total expenditures for region</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAST ASIA AND THE PACIFIC</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>SCIENTIFIC RESEARCH PRIZE AND HONORARIUM</td>
<td>43,100.</td>
</tr>
<tr>
<td>EAST ASIA AND THE PACIFIC</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>STUDENT SCHOLARSHIP</td>
<td>500.</td>
</tr>
<tr>
<td>EUROPE (INCLUDING ICELAND &amp; GREENLAND)</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>SCIENTIFIC RESEARCH PRIZE AND HONORARIUM</td>
<td>20,400.</td>
</tr>
<tr>
<td>EUROPE (INCLUDING ICELAND &amp; GREENLAND)</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>STUDENT SCHOLARSHIP</td>
<td>4,500.</td>
</tr>
<tr>
<td>NORTH AFRICA</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>SCIENTIFIC LECTURE HONORARIUM</td>
<td>1,000.</td>
</tr>
<tr>
<td>NORTH AMERICA</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>SCIENTIFIC RESEARCH PRIZE AND HONORARIUM</td>
<td>21,360.</td>
</tr>
<tr>
<td>SOUTH AMERICA</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>COMMUNITY IMPACT, SOCIAL NETWORKING, ADVOCACY</td>
<td>55,000.</td>
</tr>
<tr>
<td>RUSSIA AND NEIGHBORING STATES</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>SCIENTIFIC RESEARCH PRIZE AND HONORARIUM</td>
<td>400.</td>
</tr>
<tr>
<td>SUB-SAHARAN AFRICA</td>
<td>0</td>
<td>0</td>
<td>GRANTMAKING</td>
<td>SCIENTIFIC RESEARCH PRIZE AND HONORARIUM</td>
<td>500.</td>
</tr>
<tr>
<td>CENTRAL AMERICA AND THE CARIBBEAN</td>
<td>0</td>
<td>0</td>
<td>INVESTMENTS</td>
<td></td>
<td>68,579.</td>
</tr>
</tbody>
</table>

Totals ...........................................
### Part I - Continuation of Activities per Region

<table>
<thead>
<tr>
<th>Region</th>
<th>(b) Number of offices in the region</th>
<th>(c) Number of employees or agents in region</th>
<th>(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)</th>
<th>(e) If activity listed in (d) is a program service, describe specific type of service(s) in region</th>
<th>(f) Total expenditures for region</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAST ASIA AND THE PACIFIC</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>51,086,347.</td>
</tr>
<tr>
<td>EUROPE (INCLUDING ICELAND &amp; GREENLAND)</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>75,159,397.</td>
</tr>
<tr>
<td>MIDDLE EAST AND NORTH AFRICA</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>2,270,369.</td>
</tr>
<tr>
<td>NORTH AMERICA</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>16,810,646.</td>
</tr>
<tr>
<td>RUSSIA AND NEIGHBORING STATES</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>1,720,177.</td>
</tr>
<tr>
<td>SOUTH AMERICA</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>3,699,696.</td>
</tr>
<tr>
<td>SOUTH ASIA</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>988,940.</td>
</tr>
<tr>
<td>SUB-SAHARAN AFRICA</td>
<td>0</td>
<td>0</td>
<td>inv</td>
<td></td>
<td>954,656.</td>
</tr>
</tbody>
</table>

**Totals** : 152,905,567.
<table>
<thead>
<tr>
<th>(a) Name of organization</th>
<th>(b) IRS code section and EIN (if applicable)</th>
<th>(c) Region</th>
<th>(d) Purpose of grant</th>
<th>(e) Amount of cash grant</th>
<th>(f) Manner of cash disbursement</th>
<th>(g) Amount of non-cash assistance</th>
<th>(h) Description of non-cash assistance</th>
<th>(i) Method of valuation (book, FMV, appraisal, other)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMERICAN HEART ASSOCIATION, INC. 13-5613797</td>
<td></td>
<td>SOUTH AMERICA</td>
<td>HEALTH COMMUNITY</td>
<td>10,000</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>SOUTH AMERICA</td>
<td>ADVOCACY</td>
<td>25,000</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>SOUTH AMERICA</td>
<td>COMMUNICATIONS</td>
<td>10,000</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>SOUTH AMERICA</td>
<td>SOCIAL NETWORK GRANT</td>
<td>10,000</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities
### Part III Grants and Other Assistance to Individuals Outside the United States.

Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Region</th>
<th>(c) Number of recipients</th>
<th>(d) Amount of cash grant</th>
<th>(e) Manner of cash disbursement</th>
<th>(f) Amount of non-cash assistance</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Method of valuation (book, FMV, appraisal, other)</th>
</tr>
</thead>
<tbody>
<tr>
<td>STUDENT SCHOLARSHIP</td>
<td>EAST ASIA AND THE PACIFIC</td>
<td>1</td>
<td>500</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SCIENCE RESEARCH PRIZE AND HONORARIUM</td>
<td>EAST ASIA AND THE PACIFIC</td>
<td>31</td>
<td>43,100</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>STUDENT SCHOLARSHIP</td>
<td>EUROPE (INCLUDING ICELAND &amp; GREENLAND)</td>
<td>4</td>
<td>4,500</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SCIENCE RESEARCH PRIZE AND HONORARIUM</td>
<td>EUROPE (INCLUDING ICELAND &amp; GREENLAND)</td>
<td>30</td>
<td>20,400</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SCIENCE RESEARCH PRIZE AND HONORARIUM</td>
<td>MIDDLE EAST AND NORTH AFRICA</td>
<td>1</td>
<td>1,000</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SCIENCE RESEARCH PRIZE AND HONORARIUM</td>
<td>NORTH AMERICA</td>
<td>26</td>
<td>21,360</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SCIENCE RESEARCH PRIZE AND HONORARIUM</td>
<td>RUSSIA AND NEIGHBORING STATES</td>
<td>2</td>
<td>400</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SCIENCE RESEARCH PRIZE AND HONORARIUM</td>
<td>SUB-SAHARAN AFRICA</td>
<td>1</td>
<td>500</td>
<td>WIRE TRANSFER</td>
<td>0.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
1. Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If “Yes,” the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) .......................................................... X Yes □ No

2. Did the organization have an interest in a foreign trust during the tax year? If “Yes,” the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A) .......................................................... □ Yes X No

3. Did the organization have an ownership interest in a foreign corporation during the tax year? If “Yes,” the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471) .......................................................... X Yes □ No

4. Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If “Yes,” the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621) .......................................................... X Yes □ No

5. Did the organization have an ownership interest in a foreign partnership during the tax year? If “Yes,” the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865) .......................................................... □ Yes X No

6. Did the organization have any operations in or related to any boycotting countries during the tax year? If “Yes,” the organization may be required to file Form 5713, International Boycott Report. (see Instructions for Form 5713) .......................................................... □ Yes X No
PART I, LINE 2:

EXPLANATION: WITH RESPECT TO GRANTS MADE BY AMERICAN HEART ASSOCIATION TO FOREIGN INDIVIDUALS, THE RECIPIENT OF AHA FUNDS MUST SATISFY CERTAIN REQUIREMENTS OUTLINED IN THE GRANT AGREEMENT. UPON SATISFACTORY COMPLETION OF THE AGREEMENT AND WRITTEN ACCEPTANCE OF ALL SERVICES, AHA REMITS THE REMAINING BALANCE OF THE GRANTED FUNDS TO THE RECIPIENT.

WITH RESPECT TO GRANTS MADE BY AMERICAN HEART ASSOCIATION TO FOREIGN ORGANIZATIONS, THE AHA’S POLICY IS TO UNDERTAKE EQUIVALENCY DETERMINATION ON FOREIGN ORGANIZATION RECIPIENTS. THIS PROCESS IS COMPRISED OF OBTAINING THE RECIPIENT ORGANIZATION’S MISSION STATEMENT, FINANCIAL RESULTS, ORGANIZATION DOCUMENTS, SUCH AS BYLAWS AND ARTICLES OF INCORPORATION, AND RENDERING AN OPINION AS TO WHETHER OR NOT THE ORGANIZATION WOULD QUALIFY AS A 501(C)(3) PUBLIC CHARITY IN THE UNITED STATES. RESULTS OF GRANT INITIATIVES, SUCH AS ECONOMIC STUDIES OF TOBACCO TAXATION ARE MADE AVAILABLE TO AHA BY THE RECIPIENT ORGANIZATION.

SCHEDULE F, PART I, LINE 3

EXPLANATION: THE ASSOCIATION’S INVESTMENTS IN SECURITIES OF FOREIGN CORPORATIONS ARE MADE THROUGH U.S. BROKERAGE ACCOUNTS. THESE INVESTMENTS ARE MANAGED BY INDEPENDENT INVESTMENT MANAGERS AS PART OF A DIVERSIFIED STRATEGY FOR THE ASSOCIATION’S INVESTMENTS. THE INVESTMENT MANAGERS ARE GUIDED BY THE ASSOCIATION’S INVESTMENT POLICY OVERSEEN BY THE INVESTMENT COMMITTEE OF THE BOARD OF DIRECTORS.

SCHEDULE F, PART IV, LINE 6

EXPLANATION: THE ASSOCIATION FILED FORM 5713 WITH ITS FEDERAL FORM
Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

990-T TO REPORT SALES OF EDUCATION AND TRAINING MATERIALS IN THE UNITED ARAB EMIRATES (UAE). ALTHOUGH UAE IS CONSIDERED A BOYCOTTING COUNTRY,

THE ASSOCIATION DOES NOT PARTICIPATE IN ANY BOYCOTTING ACTIVITIES.
**Fundraising Activities.** Complete if the organization answered “Yes” to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1. Indicate whether the organization raised funds through any of the following activities. Check all that apply.
   - Mail solicitations
   - Internet and email solicitations
   - Phone solicitations
   - In-person solicitations
   - Solicitation of non-government grants
   - Solicitation of government grants
   - Special fundraising events

2. Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?
   - Yes
   - No

3. List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

<table>
<thead>
<tr>
<th>(i) Name and address of individual or entity (fundraiser)</th>
<th>(ii) Activity</th>
<th>(iii) Did fundraiser have custody or control of contributions?</th>
<th>(iv) Gross receipts from activity</th>
<th>(v) Amount paid to (or retained by) fundraiser listed in col. (i)</th>
<th>(vi) Amount paid to (or retained by) organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>INFOCISIÓN MANAGEMENT - 33 SPRINGSIDE SOLICITATIONS</td>
<td>TELEMARKETING</td>
<td>Yes</td>
<td>6,250,650</td>
<td>2,822,235.</td>
<td>3,428,415.</td>
</tr>
<tr>
<td>INSURANCE AUTO AUCTIONS - 13085 HAMILTON CROSSING</td>
<td>DONATED VEHICLE PROGRAM</td>
<td>X</td>
<td>312,258.</td>
<td>72,271.</td>
<td>239,987.</td>
</tr>
<tr>
<td>STRATEGIC FUNDRAISING, INC. - 7800 3RD N. SUITE 900, ST.</td>
<td>DIRECT MAIL DONOR MARKETING</td>
<td>X</td>
<td>88,582.</td>
<td>47,070.</td>
<td>41,512.</td>
</tr>
</tbody>
</table>

### Part II: Fundraising Events

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

<table>
<thead>
<tr>
<th>Revenue</th>
<th>(a) Event #1</th>
<th>(b) Event #2</th>
<th>(c) Other events</th>
<th>(d) Total events (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gross receipts</td>
<td>5,485,138</td>
<td>3,440,653</td>
<td>292,308,047</td>
<td>301,233,838</td>
</tr>
<tr>
<td>2 Less: Contributions</td>
<td>5,485,138</td>
<td>3,440,653</td>
<td>272,533,052</td>
<td>281,458,843</td>
</tr>
<tr>
<td>3 Gross income (line 1 minus line 2)</td>
<td>19,774,995</td>
<td>19,774,995</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Cash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Noncash prizes</td>
<td>115,690</td>
<td>21,663</td>
<td>10,569,197</td>
<td>10,706,550</td>
</tr>
<tr>
<td>6 Rent/facility costs</td>
<td>369,250</td>
<td>62,943</td>
<td>9,163,373</td>
<td>9,595,566</td>
</tr>
<tr>
<td>7 Food and beverages</td>
<td>1,522</td>
<td>1,200</td>
<td>7,614,678</td>
<td>7,617,400</td>
</tr>
<tr>
<td>8 Entertainment</td>
<td>20,580</td>
<td></td>
<td>1,257,246</td>
<td>1,277,826</td>
</tr>
<tr>
<td>9 Other direct expenses</td>
<td>4,856</td>
<td>107</td>
<td>2,509,384</td>
<td>2,514,347</td>
</tr>
<tr>
<td>10 Direct expense summary. Add lines 4 through 9 in column (d)</td>
<td></td>
<td></td>
<td>31,711,689</td>
<td></td>
</tr>
<tr>
<td>11 Net income summary. Subtract line 10 from line 3, column (d)</td>
<td></td>
<td></td>
<td>-11,936,694</td>
<td></td>
</tr>
</tbody>
</table>

### Part III: Gaming

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

<table>
<thead>
<tr>
<th>Revenue</th>
<th>(a) Bingo</th>
<th>(b) Pull tabs/instants/progressive bingo</th>
<th>(c) Other gaming</th>
<th>(d) Total gaming (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gross revenue</td>
<td>6,407</td>
<td></td>
<td>258,437</td>
<td>264,844</td>
</tr>
<tr>
<td>2 Cash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Noncash prizes</td>
<td></td>
<td></td>
<td>25,156</td>
<td>25,156</td>
</tr>
<tr>
<td>4 Rent/facility costs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Other direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Volunteer labor</td>
<td>X No</td>
<td>X No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Direct expense summary. Add lines 2 through 5 in column (d)</td>
<td></td>
<td></td>
<td></td>
<td>25,156</td>
</tr>
<tr>
<td>8 Net gaming income summary. Subtract line 7 from line 1, column (d)</td>
<td></td>
<td></td>
<td></td>
<td>239,688</td>
</tr>
</tbody>
</table>

9 Enter the state(s) in which the organization operates gambling activities: AL, AR, FL, LA, MS, NY, SD, TN, TX, NJ, DC
   a Is the organization licensed to operate gambling activities in each of these states? [ ] Yes [X] No
   b If "No," explain: LICENSED WHERE REQUIRED. SOME STATES DO NOT REQUIRE SPECIFIC LICENSES OR THE ACTIVITY IS BELOW THE SPECIFIED THRESHOLD.

10a Were any of the organization’s gaming licenses revoked, suspended or terminated during the tax year? [ ] Yes [X] No
   b If "Yes," explain:
Schedule G (Form 990 or 990-EZ) 2013 AMERICAN HEART ASSOCIATION, INC. 13-5613797 Page 3

11 Does the organization operate gaming activities with nonmembers? ☐ Yes ☒ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☒ Yes ☐ No

13 Indicate the percentage of gaming activity operated in:
   a The organization's facility
   b An outside facility

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>13a</td>
<td>%</td>
</tr>
<tr>
<td>13b</td>
<td>100.00 %</td>
</tr>
</tbody>
</table>

14 Enter the name and address of the person who prepares the organization’s gaming/special events books and records:

   Name ▶ SUNDER JOSHI, CFO CAO
   Address ▶ 7272 GREENVILLE AVENUE - DALLAS, TX 75231

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☒ No

   b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ and the amount of gaming revenue retained by the third party ▶ $.

   c If "Yes," enter name and address of the third party:

   Name ▶
   Address ▶

16 Gaming manager information:

   Name ▶ SEE SCHEDULE G, PART IV
   Gaming manager compensation ▶ $
   Description of services provided ▶

   ☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

   a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☒ Yes ☐ No

   b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $.

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) Name of fundraiser: INFOCISION MANAGEMENT CORPORATION
(I) Address of fundraiser: 33 SPRINGSIDE DRIVE, AKRON, OH 44333

(I) Name of fundraiser: INSURANCE AUTO AUCTIONS
(I) Address of fundraiser:

13085 HAMILTON CROSSING, SUITE 500, CARMEL, IN 46032
(I) NAME OF FUNDRAISER: STRATEGIC FUNDRAISING, INC.

(I) ADDRESS OF FUNDRAISER: 7800 3RD N. SUITE 900, ST. PAUL, MN 55128

EXPLANATION: THE ASSOCIATION DOES NOT HAVE AN OVERALL MANAGER FOR GAMING ACTIVITIES. EACH GAMING EVENT IS MANAGED LOCALLY BY THE AFFILIATE OFFICE STAFF RESPONSIBLE FOR EVENTS IN THAT LOCATION.
# SCHEDULE I
(Form 990)

## Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

Attach to Form 990.

Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization: AMERICAN HEART ASSOCIATION, INC.

Employer identification number: 13-5613797

---

### Part I  General Information on Grants and Assistance

1. **Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?**

   - **Yes**
   - **No**

2. Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

---

### Part II  Grants and Other Assistance to Governments and Organizations in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section if applicable</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Purpose of grant or assistance</th>
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2. Enter total number of section 501(c)(3) and government organizations listed in the line 1 table:

   - **316.**

3. Enter total number of other organizations listed in the line 1 table:

   - **38.**

LHA  For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)
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<th>Amount of non-cash assistance</th>
<th>Method of valuation (book, FMV, appraisal, other)</th>
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<td>(f) Method of valuation (book, FMV, appraisal, other)</td>
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<td>(h) Purpose of grant or assistance</td>
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### Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

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### Schedule I (Form 990) Page 1

#### Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States

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Schedule I (Form 990)
### Schedule I (Form 990) - Part II

**Continuation of Grants and Other Assistance to Governments and Organizations in the United States**

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| UNIVERSITY OF CALIFORNIA, IRVINE  
260 ALDRICH HALL  
IRVINE, CA 92697 | 95-2226406 | STATE OF CA | 451,826. | 0. | | | RESEARCH |
| UNIVERSITY OF CALIFORNIA, LOS ANGELES - 405 HILGARD AVENUE - LOS ANGELES, CA 90095 | 95-6006143 | STATE OF CA | 3,873,604. | 0. | | | RESEARCH |
| UNIVERSITY OF CALIFORNIA, SAN DIEGO - 9500 GILMAN DRIVE - LA JOLLA, CA 92093 | 95-6006144 | STATE OF CA | 2,423,448. | 0. | | | RESEARCH |
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| UNIVERSITY OF CALIFORNIA, SANTA BARBARA - UC SANTA BARBARA - SANTA BARBARA, CA 93106 | 95-6006145 | STATE OF CA | 121,716. | 0. | | | RESEARCH |
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### Part II
Continuation of Grants and Other Assistance to Governments and Organizations in the United States

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<th>(c) IRC section if applicable</th>
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| UNIVERSITY OF SOUTH ALABAMA, MOBILE - 307 UNIVERSITY BOULEVARD - MOBILE, AL 36688 | 63-0477348 | STATE OF AL | 260,562 | 0 | | | RESEARCH |
| UNIVERSITY OF SOUTH CAROLINA, COLUMBIA - 1600 HAMPTON STREET--SUITE 612 - COLUMBIA, SC 92026 | 57-6001153 | STATE OF SC | 133,887 | 0 | | | RESEARCH |
| UNIVERSITY OF SOUTH FLORIDA, TAMPA  
PO BOX 864568  
ORLANDO, FL 32886 | 59-3102112 | STATE OF FL | 297,710 | 0 | | | RESEARCH |
| UNIVERSITY OF SOUTHERN CALIFORNIA  
900 WEST 34TH STREET  
LOS ANGELES, CA 90074 | 95-1642394 | 501(C)(3) | 408,400 | 0 | | | RESEARCH |
| UNIVERSITY OF TENNESSEE HEALTH SCIENCE CENTER MEMPHIS - 62 SOUTH DUNLAP STREET--SUITE 300 - MEMPHIS, TN 38163 | 62-6001636 | STATE OF TN | 433,830 | 0 | | | RESEARCH |
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101 EAST 27TH STREET  
AUSTIN, TX 78713 | 74-6000203 | STATE OF TX | 517,647 | 0 | | | RESEARCH |
<p>| UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO - 7703 FLOYD CURL DRIVE - SAN ANTONIO, TX 78229 | 74-1586031 | STATE OF TX | 320,808 | 0 | | | RESEARCH |</p>
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## Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States

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<td>(e) Amount of non-cash assistance</td>
<td>(f) Method of valuation (book, FMV, appraisal, other)</td>
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<td>(h) Purpose of grant or assistance</td>
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<td>(a) Name and address of organization or government</td>
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<td>(d) Amount of cash grant</td>
<td>(e) Amount of non-cash assistance</td>
<td>(f) Method of valuation (book, FMV, appraisal, other)</td>
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<td>(h) Purpose of grant or assistance</td>
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## Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States

### Schedule I (Form 990)

#### (a) Name and address of organization or government

<table>
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<th>Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section if applicable</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Purpose of grant or assistance</th>
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<td>AMERICAN HEART ASSOCIATION, INC. 13-5613797</td>
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Schedule I (Form 990)
### Part III Grants and Other Assistance to Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

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<th>(a) Type of grant or assistance</th>
<th>(b) Number of recipients</th>
<th>(c) Amount of cash grant</th>
<th>(d) Amount of non-cash assistance</th>
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### Part IV Supplemental Information

Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I, LINE 2:

EXPLANATION: INSTITUTIONAL ELIGIBILITY FOR AWARDS AND LOCATION OF WORK FOR APPLICANTS/AWARDEES

ASSOCIATION RESEARCH AWARDS MUST BE LIMITED TO NON-PROFIT INSTITUTIONS.

SUCH INSTITUTIONS INCLUDE: MEDICAL, OSTEOPATHIC AND DENTAL SCHOOLS,

VETERINARY SCHOOLS, SCHOOLS OF PUBLIC HEALTH, PHARMACY SCHOOLS, NURSING SCHOOLS, UNIVERSITIES AND COLLEGES, PUBLIC AND VOLUNTARY HOSPITALS AND

OTHER NON-PROFIT INSTITUTIONS THAT CAN DEMONSTRATE THE ABILITY TO CONDUCT...
THE PROPOSED RESEARCH. APPLICATIONS WILL NOT BE ACCEPTED FOR WORK WITH
FUNDING TO BE ADMINISTERED THROUGH ANY FEDERAL INSTITUTION OR WORK TO BE
PERFORMED BY A FEDERAL EMPLOYEE WITH THE EXCEPTION OF THE VETERANS
ADMINISTRATION EMPLOYEES. THE RESEARCH COMMITTEE SHOULD SCRUTINIZE THE
AVAILABLE RESOURCES AS THEY RELATE TO LOCAL, STATE OR NATIONAL NEEDS.

INDIVIDUAL ELIGIBILITY FOR AWARDS

THE PRINCIPAL INVESTIGATOR MUST HOLD A DOCTORAL OR APPROPRIATE ADVANCED
DEGREE AT THE TIME THE AWARD IS ACTIVATED FOR FELLOWSHIPS AND, FOR GRANTS,
AT THE TIME OF APPLICATION. EXCEPTIONS MUST BE DOCUMENTED IN WRITING BY
THE RESEARCH COMMITTEE OF REFERENCE AND APPROVED BY THE AHA RESEARCH
COMMITTEE.

THE BASIC REQUIREMENTS OF ELIGIBILITY FOR ALL AMERICAN HEART ASSOCIATION
RESEARCH PROGRAMS, NATIONAL CENTER OR AFFILIATES ARE GIVEN BELOW.

PREGDOCTORAL FELLOWSHIPS

POST BACCALAUREATE, PREGDOCTORAL STUDENTS SEEKING A PH.D., M.D., D.O., OR
EQUIVALENT DEGREE WHO SEEK RESEARCH TRAINING AND EXPERIENCE UNDER THE
SUPERVISION OF A SPONSOR/MENTOR PRIOR TO EMBARKING ON A POSTGRADUATE
RESEARCH CAREER. THIS AWARD IS NOT INTENDED FOR INDIVIDUALS WHO HAVE
ALREADY ATTAINED A DOCTORAL DEGREE, UNLESS THE INDIVIDUAL IS PURSUING A
SECOND DOCTORAL DEGREE.

POSTDOCTORAL FELLOWSHIPS

INDIVIDUALS WHO HAVE OBTAINED A PH.D., M.D., D.O. OR EQUIVALENT DEGREE BY
THE TIME OF AWARD ACTIVATION AND WHO SEEK ADDITIONAL RESEARCH TRAINING
UNDER THE SUPERVISION OF A SPONSOR/PRECEPTOR/MENTOR PRIOR TO EMBARKING ON A
CAREER OF INDEPENDENT RESEARCH. THIS AWARD IS NOT INTENDED FOR INDIVIDUALS
OF FACULTY RANK. EXCEPTION: MD’S OR MD/PHD’S WITH CLINICAL
RESPONSIBILITIES WHO NEED INSTRUCTOR OR SIMILAR TITLE TO SEE PATIENTS, BUT
WHO DEVOTE AT LEAST 80% FULL-TIME TO RESEARCH TRAINING.

MENTORED CLINICAL AND POPULATION RESEARCH AWARD

HEALTH CARE PROFESSIONALS WITH A MASTERS, M.D., D.O. OR PH.D. DEGREE.

INDIVIDUALS ARE NOT ELIGIBLE TO BE THE PRINCIPAL INVESTIGATOR IF THEY
CURRENTLY HOLD OR HAVE HELD, CERTAIN NIH AWARDS (SUCH AS RO1, R21, P01);
CERTAIN AHA AWARDS (BGIA, SDG, EIA, GIA); OR AN AWARD EQUIVALENT TO THE
ABOVE (AN INDEPENDENT INVESTIGATOR AWARD). INTERDISCIPLINARY RESEARCH
TEAMS ARE ELIGIBLE. ALL PRINCIPAL INVESTIGATORS MUST ALSO IDENTIFY A
MENTOR WITH AN EARNED DOCTORATE AND A TRACK RECORD OF HIGH QUALITY CLINICAL
INVESTIGATION.

NATIONAL FELLOW-TO-FACULTY TRANSITION AWARD

AT THE TIME OF APPLICATION SUBMISSION, PHYSICIANS WHO HOLD AN M.D.,
M.D./PHD., D.O. OR EQUIVALENT DOCTORAL DEGREE AND WHO SEEK ADDITIONAL
RESEARCH TRAINING UNDER THE SUPERVISION OF A SPONSOR/MENTOR PRIOR TO
EMBARKING ON A CAREER OF INDEPENDENT RESEARCH.

- APPLICANTS MUST BE ENROLLED IN OR HAVE COMPLETED AN ACCREDITATION COUNCIL
FOR GRADUATE MEDICAL EDUCATION (ACGME)-APPROVED RESIDENCY OR A CLINICAL
FELLOWSHIP PROGRAM ASSOCIATED WITH AN ACGME-APPROVED RESIDENCY.

- APPLICANTS MUST HAVE COMPLETED THE CLINICAL PORTION OF THEIR TRAINING
PROGRAM BY THE TIME OF AWARD ACTIVATION. THE APPLICANT IS RESPONSIBLE FOR
IDENTIFYING AND WORKING WITH A SPONSOR/MENTOR TO DEVELOP THE APPLICATION,
- AT THE TIME OF APPLICATION, CANDIDATES MAY HAVE HAD NO MORE THAN FIVE
YEARS OF POSTDOCTORAL RESEARCH TRAINING (BEYOND CLINICAL TRAINING).
THE AWARD IS NOT FOR INDIVIDUALS OF FACULTY/STAFF RANK.

- AT THE TIME OF AWARD ACTIVATION, APPLICANT MAY NOT HOLD A FACULTY/STAFF APPOINTMENT. (EXCEPTIONS: M.D. OR M.D./PH.D. WITH CLINICAL RESPONSIBILITIES WHO HOLD A TITLE OF INSTRUCTOR OR SIMILAR DUE TO THEIR PATIENT CARE RESPONSIBILITIES BUT WHO DEVOTE AT LEAST 80 PERCENT FULL-TIME EFFORT TO RESEARCH TRAINING.)

THE MENTOR MAY HOLD AN M.D., PH.D., D.O. OR OTHER EQUIVALENT DEGREE. BECAUSE OF THE STRONG MENTORING COMPONENT OF THIS AWARD AND THE IMPORTANCE OF DEVELOPING A MEANINGFUL RELATIONSHIP BETWEEN AWARDEE AND MENTOR, AN INDIVIDUAL MENTOR MAY SPONSOR ONLY ONE APPLICANT TO THE PROGRAM PER YEAR.

BEGINNING GRANT-IN-AID

FACULTY/STAFF MEMBERS INITIATING INDEPENDENT RESEARCH CAREERS. AT APPLICATION, APPLICANTS MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL REQUIREMENTS FOR GRANT SUBMISSION. AT ACTIVATION, APPLICANTS MUST HOLD A FACULTY/STAFF RANK UP TO AND INCLUDING ASSISTANT PROFESSOR (OR EQUIVALENT).

SCIENTIST DEVELOPMENT GRANT

INDIVIDUALS INITIATING INDEPENDENT RESEARCH CAREERS. AT APPLICATION, APPLICANTS MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL REQUIREMENTS FOR GRANT SUBMISSION. AT ACTIVATION, APPLICANT MUST HOLD A FACULTY/STAFF POSITION. APPLICANT’S FACULTY RANK SHALL BE UP TO AND INCLUDING ASSISTANT PROFESSOR (OR EQUIVALENT) AT APPLICATION. APPLICATIONS MAY BE SUBMITTED IN THE FINAL YEAR OF A POSTDOCTORAL RESEARCH FELLOWSHIP OR IN THE INITIAL YEARS OF THE INDEPENDENT RESEARCH CAREER.
AT TIME OF AWARD ACTIVATION, NO MORE THAN FOUR YEARS WILL HAVE ELAPSED

SINCE APPLICANT’S FIRST FULL-TIME FACULTY/STAFF APPOINTMENT AT THE LEVEL OF ASSISTANT PROFESSOR OR ITS EQUIVALENT. A PIVOTAL REQUIREMENT IS THE DEMONSTRATION THAT THE AWARD WILL PROMOTE INDEPENDENT STATUS FOR THE APPLICANT. APPLICANT SHALL HAVE RECEIVED NO PRIOR NATIONAL-LEVEL GRANT AS OF TIME OF SCIENTIST DEVELOPMENT GRANT ACTIVATION.

ESTABLISHED INVESTIGATOR AWARD

AT TIME OF APPLICATION, FACULTY/STAFF MEMBERS AT THE MID-LEVEL STAGES OF THEIR INDEPENDENT RESEARCH CAREERS. AT APPLICATION, APPLICANTS MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL REQUIREMENTS FOR GRANT SUBMISSION. AT THE TIME OF AWARD, THE INVESTIGATOR MUST BE AT LEAST FOUR (4) YEARS BUT NO MORE THAN NINE (9) YEARS (I.E., EIGHT YEARS AND 12 MONTHS SINCE THE FIRST FACULTY/STAFF APPOINTMENT AT THE LEVEL OF ASSISTANT PROFESSOR OR EQUIVALENT (INCLUDING, BUT NOT LIMITED TO, RESEARCH ASSISTANT PROFESSOR, RESEARCH SCIENTIST, STAFF SCIENTIST, ETC.) INSTRUCTOR POSITIONS (OR EQUIVALENT POSITIONS) DO NOT COUNT TOWARD THE FOUR OR NINE YEARS OF ELIGIBILITY.

APPLICANTS MUST HAVE CURRENT NATIONAL-LEVEL FUNDING AS PRINCIPAL INVESTIGATOR ON AN R01 GRANT OR ITS EQUIVALENT (E.G. VA MERIT AWARD, NSF GRANT, OR PI ON PROGRAM PROJECT GRANT FROM NIH). NIH "K" SERIES AWARDS ARE NOT CONSIDERED EQUIVALENT TO AN R01.

GRANT-IN-AID

FACULTY/STAFF MEMBERS CONDUCTING INDEPENDENT RESEARCH AT TIME OF APPLICATION. AT APPLICATION, PRINCIPAL INVESTIGATOR MUST HOLD AN M.D.,
PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL REQUIREMENTS FOR GRANT SUBMISSION.

SPECIAL AWARDS/PILOT PROGRAMS

ELIGIBILITY IS DETERMINED BY AN AFFILIATE OR THE NATIONAL CENTER BASED UPON SPECIAL LOCAL OR NATIONAL CIRCUMSTANCES. THE FUNDING COMPONENT MUST REQUEST AND RECEIVE APPROVAL FROM THE AHA RESEARCH COMMITTEE TO DEVELOP AND IMPLEMENT A PILOT RESEARCH PROGRAM FOR A LIMITED PERIOD OF TIME.

AFFILIATE SUMMER UNDERGRADUATE RESEARCH FELLOWSHIP

TO BE ELIGIBLE FOR THIS PROGRAM, UNDERGRADUATE STUDENTS SHOULD BE CURRENTLY CLASSIFIED AT THE JUNIOR OR SENIOR ACADEMIC STATUS AT THE TIME OF AWARD ACTIVATION. STUDENTS MUST BE ENROLLED FULL-TIME IN AN UNDERGRADUATE DEGREE PROGRAM, AT THE TIME OF APPLICATION, IN EITHER A FOUR-YEAR COLLEGE OR UNIVERSITY, OR A TWO-YEAR INSTITUTION WITH PLANS TO TRANSFER TO A FOUR-YEAR COLLEGE OR UNIVERSITY BY THE FALL SEMESTER IMMEDIATELY FOLLOWING THE SUMMER PROGRAM. STUDENTS MAY EITHER BE ATTENDING AN INSTITUTION WITHIN THE AFFILIATE, OR BE A RESIDENT OF ONE OF THESE STATES.

AFFILIATE MEDICAL STUDENT RESEARCH PROGRAM - INSTITUTIONAL

THIS IS AN INSTITUTIONAL AWARD TO QUALIFIED RESEARCH INSTITUTIONS WITHIN THE AFFILIATE’S GEOGRAPHIC BOUNDARIES THAT CAN OFFER A MEANINGFUL RESEARCH EXPERIENCE TO HEALTH SCIENCES STUDENTS. FELLOWSHIP TARGETS PRE-DOCTORAL M.D., D.O., D.D.S., PHARM.D. AND D.V.M. (OR EQUIVALENT) HEALTH SCIENCE STUDENTS.

SCHEDULE I, PART IV - CONTINUED

EXPLANATION: AFFILIATE MEDICAL STUDENT RESEARCH PROGRAM - INVESTIGATOR
THIS PROGRAM IS INTENDED FOR FULL-TIME STUDENTS (WITHIN THE AFFILIATE’S GEOGRAPHIC BOUNDARIES) WHO HAVE NOT YET OBTAINED AN MD BUT ARE ENROLLED IN AN MD PROGRAM, HEALTHCARE PROFESSIONALS WITH DOCTORAL DEGREES, PH.D., D.O., D.D.S., PHARM.D. AND D.V.M. (OR EQUIVALENT) IN AN MD PROGRAM WHO SEEK RESEARCH TRAINING WITH A SPONSOR/MENTOR PRIOR TO EMBARKING ON A RESEARCH CAREER.

NATIONAL INNOVATIVE RESEARCH GRANT

ALL LEVELS OF FACULTY/STAFF MEMBERS CONDUCTING RESEARCH AT TIME OF APPLICATION. AT APPLICATION, PRINCIPAL INVESTIGATOR MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL REQUIREMENTS FOR GRANT SUBMISSION. ELIGIBILITY FOR THE INNOVATIVE RESEARCH AWARD IS NOT RESTRICTED BASED UPON EXPERIENCE LEVEL OR SENIORITY. SENIORITY WILL NOT BE USED AS A CRITERION IN EVALUATING AN APPLICATION’S MERIT.

NATIONAL COLLABORATIVE SCIENCE AWARD

THE PROPOSAL MUST FOCUS ON THE COLLABORATIVE RELATIONSHIP, SUCH THAT THE SCIENTIFIC OBJECTIVES COULD NOT BE ACHIEVED WITHOUT THE EFFORTS OF AT LEAST TWO CO-PRINCIPAL INVESTIGATORS AND THEIR RESPECTIVE DISCIPLINES. AN APPLICATION MUST BE SUBMITTED JOINTLY BY AT LEAST TWO CO-PRINCIPAL INVESTIGATORS. CO-PIS MUST EACH HOLD FACULTY/STAFF APPOINTMENTS OF ANY RANK (OR EQUIVALENT). CO-PIS MUST BE INDEPENDENT RESEARCHERS. (THIS AWARD IS NOT INTENDED FOR INDIVIDUALS IN RESEARCH TRAINING OR FELLOWSHIP POSITIONS.) CO-PIS MUST HOLD A M.D., PH.D., D.O., D.V.M. OR EQUIVALENT POST-BACCALAUREATE TERMINAL DEGREE.
NATIONAL MENTOR/AHA MENTEE AWARD

AT TIME OF APPLICATION, INDEPENDENT INVESTIGATORS HOLDING A

FACULTY/STAFF APPOINTMENT EQUIVALENT TO ASSOCIATE OR FULL PROFESSOR.

APPLICANTS MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL

DEGREE. APPLICANTS MUST HAVE CURRENT NATIONAL-LEVEL FUNDING AS

PRINCIPAL INVESTIGATOR ON AN R01 GRANT OR ITS EQUIVALENT (E.G. VA MERIT

AWARD, NSF GRANT, OR PI ON PROGRAM PROJECT GRANT FROM NIH).

NATIONAL STRATEGICALLY FOCUSED RESEARCH NETWORK

DIRECTORS AND PRINCIPAL INVESTIGATORS OF PROJECTS OF THE CENTERS MUST

POSSESS AN M.D., PH.D., D.O., D.V.M., OR EQUIVALENT DOCTORAL DEGREE AT

TIME OF APPLICATION. THEY SHOULD BE FACULTY OR STAFF MEMBERS OF THE

NON-PROFIT APPLICANT ORGANIZATION AT APPLICATION.

ANOTHER MAJOR ELIGIBILITY REQUIREMENT FOR INDIVIDUALS IS CITIZENSHIP.

AWARDS ARE MADE TO PRINCIPAL INVESTIGATORS AND TRAINEES WHO ARE: (A)

UNITED STATES CITIZENS OR (B) FOREIGN NATIONALS HOLDING PERMANENT

RESIDENCE OR CERTAIN OTHER VISA STATUS OR (C) FOREIGN NATIONALS WHO

HAVE APPLIED FOR PERMANENT RESIDENCY (FORM I-485 ON FILE WITH U.S.

CITIZENSHIP AND IMMIGRATION SERVICES) AND WHO HAVE RECEIVED

AUTHORIZATION TO LEGALLY REMAIN IN THE U.S. (HAVING FILED AN

APPLICATION FOR EMPLOYMENT FORM I-765). AWARDEE MUST MEET AMERICAN

HEART ASSOCIATION CITIZENSHIP CRITERIA THROUGHOUT THE DURATION OF THE

AWARD.

THE NATIONAL CENTER AND EACH AFFILIATE RESEARCH COMMITTEE HAVE THE

AUTHORITY TO ADD MORE RESTRICTIVE ELIGIBILITY CRITERIA TO A RESEARCH

AWARD PROGRAM. FOR EXAMPLE, A LIMITATION MAY BE PLACED ON ANNUAL
FUNDING DOLLARS FROM OTHER SOURCES.

RESEARCH GRANTS ARE AWARDED BY THE AMERICAN HEART ASSOCIATION ANNUALLY AND PAID TO THE GRANTEE’S INSTITUTION QUARTERLY OVER THE MULTI-YEAR LIFE OF THE AWARD. GRANTEES ARE REQUIRED TO SUBMIT REPORTS OF SCIENTIFIC PROGRESS ANNUALLY PRIOR TO ISSUING EACH SUBSEQUENT YEAR’S PAYMENTS. THESE REPORTS MAY BE REVIEWED BY VOLUNTEER COMMITTEES COMPRISED PRIMARILY OF ACTIVE AND EXPERIENCED RESEARCHERS. AN ANNUAL FINANCIAL REPORT IS REQUIRED PRIOR TO ISSUING EACH SUBSEQUENT YEAR’S PAYMENTS. FINANCIAL REPORTS ARE REQUIRED TO BE FILED WITHIN 90 DAYS OF THE END OF EACH GRANT YEAR, AND ARE REVIEWED BY AHA.
**SCHEDULE J**
(Form 990)

**Compensation Information**
For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
- Attach to Form 990. See separate instructions.
- Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990

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### Part I Questions Regarding Compensation

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ First-class or charter travel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Travel for companions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Tax indemnification and gross-up payments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Discretionary spending account</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Housing allowance or residence for personal use</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Payments for business use of personal residence</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Health or social club dues or initiation fees</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>□ Personal services (e.g., maid, chauffeur, chef)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| 1b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | X   |

| 2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a? | X   |

| 3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. |     |
| □ Compensation committee                                                 | X   |
| □ Independent compensation consultant                                    | X   |
| □ Form 990 of other organizations                                        | X   |
| □ Written employment contract                                            |     |
| □ Compensation survey or study                                           |     |
| □ Approval by the board or compensation committee                       |     |

| 4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: |     |
| □ Receive a severance payment or change-of-control payment?              | X   |
| □ Participate in, or receive payment from, a supplemental nonqualified retirement plan? | X   |
| □ Participate in, or receive payment from, an equity-based compensation arrangement? | X   |

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

| 5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: |     |
| □ The organization?                                                      | X   |
| □ Any related organization?                                              |     |

If "Yes" to line 5a or 5b, describe in Part III.

| 6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: |     |
| □ The organization?                                                      | X   |
| □ Any related organization?                                              |     |

If "Yes" to line 6a or 6b, describe in Part III.

| 7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | X   |

| 8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4956-4(a)(3)? If "Yes," describe in Part III | X   |

| 9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? |     |

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LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2013
Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii).

Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Breakdown of W-2 and/or 1099-MISC compensation</th>
<th>(C) Retirement and other deferred compensation</th>
<th>(D) Nontaxable benefits</th>
<th>(E) Total of columns (B)(i)-(D)</th>
<th>(F) Compensation reported as deferred in prior Form 990</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(i) Base compensation</td>
<td>(ii) Bonus &amp; incentive compensation</td>
<td>(iii) Other reportable compensation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(1) NANCY BROWN</td>
<td>667,284.</td>
<td>89,946.</td>
<td>36,769.</td>
<td>306,259.</td>
<td>26,887.</td>
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<tr>
<td>(2) SUNDER JOSHI</td>
<td>360,428.</td>
<td>35,640.</td>
<td>2,146.</td>
<td>50,691.</td>
<td>11,640.</td>
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<td>(3) LYNNE DARROUZET</td>
<td>206,902.</td>
<td>10,395.</td>
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<td>25,602.</td>
<td>15,604.</td>
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<tr>
<td>EVP - CORP SEC/GENERAL COUNSEL</td>
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<td>(4) ROSE MARIE ROBERTSON</td>
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<td>41,580.</td>
<td>187,845.</td>
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<td>(5) MEIGHAN GIRGUS</td>
<td>379,796.</td>
<td>37,620.</td>
<td>7,454.</td>
<td>53,458.</td>
<td>1,201.</td>
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<td>(6) LESLIE UPTON</td>
<td>349,147.</td>
<td>34,244.</td>
<td>2,183.</td>
<td>49,043.</td>
<td>6,578.</td>
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<td>CHIEF DEVELOPMENT OFFICER</td>
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<td>(7) GERALD JOHNSON</td>
<td>328,935.</td>
<td>41,780.</td>
<td>6,201.</td>
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<td>15,604.</td>
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<td>CHIEF DIVERSITY OFFICER</td>
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<td>(8) MICHAEL WEAVER</td>
<td>447,660.</td>
<td>34,255.</td>
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<td>35,500.</td>
<td>17,797.</td>
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<td>(9) DAVID MARKIEWICZ</td>
<td>386,883.</td>
<td>42,000.</td>
<td>8,000.</td>
<td>55,127.</td>
<td>17,797.</td>
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<td>(10) ROMAN BOWSER</td>
<td>401,322.</td>
<td>62,400.</td>
<td>23,376.</td>
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<td>(11) KEVIN HARKER</td>
<td>366,259.</td>
<td>38,462.</td>
<td>1,316.</td>
<td>51,955.</td>
<td>18,176.</td>
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<td>(12) JEREMY BEAUCHAMP</td>
<td>279,528.</td>
<td>8,000.</td>
<td>40,180.</td>
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<td>(13) MIDGE EPSTEIN</td>
<td>376,532.</td>
<td>54,319.</td>
<td>107,659.</td>
<td>35,500.</td>
<td>17,797.</td>
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<td>(14) NICOLE SAPIO</td>
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<td>10,000.</td>
<td>8,780.</td>
<td>45,327.</td>
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<td>(15) JOANNE MCLAUGHLIN</td>
<td>213,776.</td>
<td>197,903.</td>
<td>29,728.</td>
<td>1,779.</td>
<td>443,186.</td>
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<td>(16) JOHN MEINERS</td>
<td>329,444.</td>
<td>60,052.</td>
<td>46,911.</td>
<td>12,375.</td>
<td>448,782.</td>
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</tbody>
</table>
Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Breakdown of W-2 and/or 1099-MISC compensation</th>
<th>(C) Retirement and other deferred compensation</th>
<th>(D) Nontaxable benefits</th>
<th>(E) Total of columns (B)(i)-(D)</th>
<th>(F) Compensation reported as deferred in prior Form 990</th>
</tr>
</thead>
<tbody>
<tr>
<td>(17) KATHLEEN ROGERS</td>
<td>(i) 286,869, (ii) 28,859, (iii) 2,146</td>
<td>(i) 41,093, (ii) 16,501</td>
<td></td>
<td>375,468</td>
<td>0</td>
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<tr>
<td>EVP - STRATEGIC MKTS &amp; CONSUMER BUS</td>
<td>(i) 0, (ii) 0, (iii) 0</td>
<td>(i) 0, (ii) 0</td>
<td></td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>(18) MARK SCHOEERL</td>
<td>(i) 264,685, (ii) 26,760</td>
<td>(i) 38,104, (ii) 17,797</td>
<td></td>
<td>349,529</td>
<td>0</td>
</tr>
<tr>
<td>EVP - ADVOCACY</td>
<td>(i) 0, (ii) 0, (iii) 0</td>
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<td></td>
<td>0</td>
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<tr>
<td>(19) VIRGINIA GATLIN</td>
<td>(i) 235,390, (ii) 56,594</td>
<td>(i) 32,379, (ii) 2,098</td>
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<td>327,061</td>
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<tr>
<td>AFFILIATE DEVELOPMENT OFFICER</td>
<td>(i) 0, (ii) 0, (iii) 0</td>
<td>(i) 0, (ii) 0</td>
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<tr>
<td>(20) DAVID LIVINGSTON</td>
<td>(i) 4,308, (ii) 13,860</td>
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<td>(i) 5,665</td>
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<td>FORMER EVP/GENERAL COUNSEL</td>
<td>(i) 0, (ii) 0, (iii) 0</td>
<td>(i) 0, (ii) 0</td>
<td></td>
<td>0</td>
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</tr>
</tbody>
</table>

Schedule J (Form 990) 2013 AMERICAN HEART ASSOCIATION, INC. 13-5613797
PART I, LINE 1A:

EXPLANATION: TO ENCOURAGE GOOD HEALTH PRACTICES, AMERICAN HEART ASSOCIATION (AHA) MAKES AVAILABLE A MEMBERSHIP TO A LOCAL FITNESS CENTER TO SENIOR MANAGEMENT. OF THE OFFICERS AND KEY EMPLOYEES LISTED, THE FOLLOWING PARTICIPATE IN THE PROGRAM -- NANCY BROWN, SUnder JOSHI, LESLIE UPTON, KATHLEEN ROGERS, AND MARK SCHOEBEL. THESE BENEFITS ARE TREATED AS TAXABLE INCOME.

PART I, LINES 4A-B:

EXPLANATION: JOAnne McLAUGHLIN RETIRED AS AFFILIATE DEVELOPMENT OFFICER IN 2013. DURING THE CALENDAR YEAR, MRS. McLAUGHLIN RECEIVED SEVERANCE PAY OF $197,381, INCLUDING $38,222 FOR PAYOUT OF ACCRUED AND UNUSED PERSONAL TIME OFF PER COMPANY POLICY. DAVID LIVINGSTON RETIRED AS EVP/GENERAL COUNSEL IN 2013, AND RECEIVED $77,584 FOR PAYOUT OF ACCRUED AND UNUSED PERSONAL TIME OFF.

NONQUALIFIED RETIREMENT PLAN: AHA PROVIDES A 457(F) RETIREMENT RESTORATION PLAN TO CERTAIN MEMBERS OF SENIOR MANAGEMENT. WHILE AHA EMPLOYEES ARE GENERALLY ELIGIBLE TO PARTICIPATE IN THE QUALIFIED RETIREMENT PLAN AND THE
Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

403(b) Plan, Contributions by AHA to the Qualified Retirement Plan and the

403(b) Plan are capped pursuant to IRS regulations. Under the Retirement

Restoration Plan, AHA is allowed to make contributions based on the amount

a participant would have been allowed to receive if the retirement

Contributions by AHA were not capped. The Retirement Restoration Plan

seeks to make whole, upon a specified vesting date, those participants

whose compensation is such that the allowable qualified retirement

Contribution is capped during their service to AHA. Once a participant is

vested, the Restoration Plan balance (that accumulated over many years and

includes gains/losses from the market) is paid out to the participant in a

lump sum. After the participant has passed his or her vesting date, any

contribution that would have been made to the Restoration Plan is paid to

the employee at the end of the year in a lump sum. The payment is

considered earned income with applicable taxes withheld. If the employee

leaves AHA prior to reaching his or her vesting date, the account balance

is forfeited. During the calendar year, some eligible participants in

AHA’s Retirement Restoration Plan reached their vesting date or had

previously reached their vesting date and received lump sum payments from

the plan. Rose Marie Robertson received $182,928, and Midge Epstein
Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

RECEIVED $106,078. PREVIOUSLY VESTED, MICHAEL WEAMER RECEIVED $27,948, AND

ROMAN BOWSER RECEIVED $21,016. FORMER EVP/GENERAL COUNSEL DAVID LIVINGSTON

RECEIVED $30,400.

PART I, LINE 5:

EXPLANATION: THE SENIOR MANAGEMENT OF AHA PARTICIPATES IN AN INCENTIVE PLAN DESIGNED TO MOTIVATE AND REWARD SIGNIFICANT GROWTH AND PERFORMANCE OF THE ASSOCIATION AND CREATE A SENSE OF SHARED OWNERSHIP TO ACHIEVE THE STRATEGIC PLAN AND FURTHER THE MISSION. THE INCENTIVE PLAN IS DESIGNED AS PART OF THE TOTAL CASH COMPENSATION PROVIDED TO THE SENIOR EXECUTIVES. THE TOTAL CASH COMPENSATION HAS BEEN DETERMINED AS REASONABLE BY THE COMPENSATION AND BENEFITS COMMITTEE AND OUTSIDE INDEPENDENT COMPENSATION CONSULTANTS. THE INCENTIVE PLAN FOCUSES ON FOUR BROAD CRITERIA, WHICH HAVE QUALITATIVE AND QUANTITATIVE ASPECTS: ASSOCIATION REVENUE GOALS, AFFILIATE-SPECIFIC REVENUE GOALS, TALENT MANAGEMENT GOALS, AND MISSION GOALS. AWARD OPPORTUNITIES FOR SENIOR MANAGEMENT AND THE CEO RANGE FROM 0%-30% AND 0%-60% RESPECTIVELY.

SCHEDULE J, PART II
EXPLANATION: IN APRIL OF 2013, THE BOARD APPROVED A RETENTION AGREEMENT FOR NANCY BROWN TO ALLOW FOR LEADERSHIP STABILITY, A SATISFACTORY DEGREE OF SUCCESSION PLANNING, AND RECOGNITION OF EXTERNAL MARKET PRESSURES FOR EXECUTIVE TALENT. $213,333 OF THE AMOUNT, WHICH IS REFLECTED IN SCHEDULE J, PART II, LINE (I), COLUMN (C), IS AN ANNUALIZED ACCRUAL OF THE BOARD-APPROVED RETENTION AGREEMENT. AS OF JUNE 30, 2014, $356,190 HAS BEEN ACCRUED AS PART OF THE BOARD-APPROVED AGREEMENT. NO AMOUNT WAS ACTUALLY PAID TO THE CEO DURING THE YEAR UNDER THIS AGREEMENT, AS THE TERMS OF THAT AGREEMENT HAVE NOT YET BEEN SATISFIED.
**Part I | Types of Property**

<table>
<thead>
<tr>
<th>(a) Check if applicable</th>
<th>(b) Number of contributions or items contributed</th>
<th>(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g</th>
<th>(d) Method of determining noncash contribution amounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Art - Works of art</td>
<td>X</td>
<td>1,383</td>
<td>485,359,</td>
</tr>
<tr>
<td>2 Art - Historical treasures</td>
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<td></td>
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</tr>
<tr>
<td>3 Art - Fractional interests</td>
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<td></td>
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</tr>
<tr>
<td>4 Books and publications</td>
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<td></td>
<td>9,081,</td>
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<tr>
<td>5 Clothing and household goods</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>6 Cars and other vehicles</td>
<td>X</td>
<td>396</td>
<td>312,258,</td>
</tr>
<tr>
<td>7 Boats and planes</td>
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</tr>
<tr>
<td>8 Intellectual property</td>
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<tr>
<td>9 Securities - Publicly traded</td>
<td>X</td>
<td>290</td>
<td>3,191,333,</td>
</tr>
<tr>
<td>10 Securities - Closely held stock</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>11 Securities - Partnership, LLC, or trust interests</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>12 Securities - Miscellaneous</td>
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</tr>
<tr>
<td>13 Qualified conservation contribution - Historic structures</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>14 Qualified conservation contribution - Other</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15 Real estate - Residential</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 Real estate - Commercial</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>17 Real estate - Other</td>
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</tr>
<tr>
<td>18 Collectibles</td>
<td>X</td>
<td>2,321</td>
<td>657,860,</td>
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<td>19 Food inventory</td>
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<tr>
<td>20 Drugs and medical supplies</td>
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<td></td>
</tr>
<tr>
<td>21 Taxidermy</td>
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<tr>
<td>22 Historical artifacts</td>
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</tr>
<tr>
<td>23 Scientific specimens</td>
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<td></td>
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</tr>
<tr>
<td>24 Archeological artifacts</td>
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<tr>
<td>25 Other (AD COUNCIL AD)</td>
<td>X</td>
<td>1</td>
<td>31,362,000,</td>
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<td>26 Other (MISCELLANEOUS)</td>
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<td>1,168</td>
<td>3,113,896,</td>
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<tr>
<td>27 Other (TRAVEL)</td>
<td>X</td>
<td>2,211</td>
<td>2,286,346,</td>
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<tr>
<td>28 Other (RECREATION)</td>
<td>X</td>
<td>5,432</td>
<td>1,660,160,</td>
</tr>
</tbody>
</table>

| 29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement | 29 |

| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 - 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? | Yes | No | 30a | X |
| 31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? | 31 | X |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? | 32a | X |
| 33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II. | 33 |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.
PART I, OTHER TYPES OF PROPERTY:

FOOD & DRINK

(A) CHECK IF APPLICABLE = X

(B) NUMBER OF CONTRIBUTIONS = 6222

(C) REVENUE REPORTED ON FORM 990, PART VIII $16,353,62.

(D) METHOD OF DETERMINING REVENUE: FAIR MARKET VALUE

TANGIBLE PERSONAL PROPERTY

(A) CHECK IF APPLICABLE = X

(B) NUMBER OF CONTRIBUTIONS = 7462

(C) REVENUE REPORTED ON FORM 990, PART VIII $13,138,16.

(D) METHOD OF DETERMINING REVENUE: FAIR MARKET VALUE

PERSONAL SERVICES

(A) CHECK IF APPLICABLE = X

(B) NUMBER OF CONTRIBUTIONS = 4023

(C) REVENUE REPORTED ON FORM 990, PART VIII $848,287.

(D) METHOD OF DETERMINING REVENUE: FAIR MARKET VALUE

SCHEDULE M, LINE 32B:

EXPLANATION: THE ASSOCIATION RECEIVES THE PROCEEDS FROM THE SALE OF

DONATED VEHICLES THAT ARE RECEIVED AND PROCESSED BY INSURANCE AUTO

AUCTIONS.
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

RESEARCH SUPPORT

THE AMERICAN HEART ASSOCIATION FUNDS RESEARCH AT THE NATIONAL AND AFFILIATE (REGIONAL) LEVELS. SINCE 1949, WE HAVE FUNDED MORE THAN $3.7 BILLION ON PROJECTS THAT EXPLORE THE PREVENTION, DETECTION AND TREATMENT OF CARDIOVASCULAR DISEASES AND STROKE. GRANTS SUPPORT PROJECTS, FELLOWSHIPS AND INVESTIGATIONS FOR RESEARCH TRAINING OR CAREER DEVELOPMENT FOR A SPECIFIC PERIOD. DURING THE PAST YEAR, THE ASSOCIATION FUNDED 973 NEW AWARDS, TOTALING NEARLY $130 MILLION.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

PUBLIC EDUCATION

INFORMING ALL AMERICANS ABOUT WAYS TO REDUCE THEIR RISK OF HEART DISEASE AND STROKE IS ONE OF THE MOST IMPORTANT OBJECTIVES OF THE AMERICAN HEART ASSOCIATION. IN 2013-14, THE ASSOCIATION’S PUBLIC EDUCATION EFFORTS PROVIDED MILLIONS OF PEOPLE IMPORTANT INFORMATION ABOUT CARDIOVASCULAR HEALTH.

MULTICULTURAL MARKETS

OUR OVERARCHING PLATFORM EMPOWERED TO SERVE ENCOMPASSES THREE STRATEGIC CHANNELS—FAITH-BASED, AFFORDABLE HOUSING AND STRATEGIC ALLIANCES.

EMPOWERED TO SERVE ALSO HOUSES OUR SOME OF OUR SIGNATURE PROGRAMS, INCLUDING POWER TO END STROKE (PTES) AND VIDA SALUDABLE, WHICH CONTINUE TO BE A STAPLE IN OUR EFFORTS TO REACH MINORITY COMMUNITIES.

ADDITIONALLY, EMPOWERED TO SERVE SUPPORTS OUR SHIFT IN STRATEGY FROM A ONE-TO-ONE INDIVIDUAL BEHAVIOR CHANGE MODEL TO A STRATEGY ROOTED IN
DRIVING SYSTEMIC CHANGE TO IMPACT CRITICAL MASSES.

POWER TO END STROKE CONTINUES TO RAISE STROKE AWARENESS AMONG AFRICAN-AMERICANS, WITH MORE THAN 800,000 REGISTERED MEMBERS AND MORE THAN 40,000 POWER AMBASSADORS. IN ITS SIXTH YEAR, THE MOST POWERFUL VOICES GOSPEL COMPETITION, IN COLLABORATION WITH UPTV (FORMERLY GOSPEL MUSIC CHANNEL), SAW THE BENEFIT OF THE APP LAUNCHED THE PREVIOUS YEAR WITH OVER 800 NEW APP SUBSCRIBERS. THIS YEAR, TOTAL UP MARKETING AND ADVERTISING GARNERED MORE THAN 17 MILLION VIEWERS AND IMPRESSIONS DRIVING PTE'S MESSAGING DIRECTLY INTO THE VIEW OF OUR TARGET AUDIENCE.

ALL PARTICIPANTS WILL CONTINUE TO RECEIVE STROKE INFORMATION MONTHLY AND ARE REGISTERED FOR POWER TO END STROKE.

FAITH-BASED OUTREACH

THE GOAL OF EMPOWERED TO SERVE FAITH IS TO IMPROVE THE HEALTH OF 4.6 MILLION CONSUMERS BY EQUIPPING FAITH-BASED INSTITUTIONS AND HEALTH MINISTRY LEADERS WITH THE TOOLS, INFRASTRUCTURE AND KNOWLEDGE NECESSARY TO IMPROVE THE HEALTH OUTCOMES FOR CONSTITUENTS. THE GOAL IS TO HELP PEOPLE REDUCE THEIR RISKS FOR HEART DISEASE AND STROKE BY HELPING THEM FOLLOW WHAT WE CALL "LIFE'S SIMPLE 7," THE FACTORS AND BEHAVIORS PROVEN TO IMPROVE CARDIOVASCULAR HEALTH: NONSMOKING, HEALTHY EATING, PHYSICAL ACTIVITY, HEALTHY BODY WEIGHT, AND CONTROL OF BLOOD PRESSURE, CHOLESTEROL AND BLOOD SUGAR.

STRATEGIC ALLIANCES & PARTNERSHIPS

MULTICULTURAL MARKETS HAS PARTNERED WITH HIGI - A MANUFACTURER OF HEALTH SCREENING KIOSKS-- TO ENHANCE THE RESOURCES IN MULTICULTURAL COMMUNITIES FOR TRACKING KEY HEALTH INDICATORS SUCH AS WEIGHT, BLOOD
PRESSURE AND BMI. BEGINNING SEPTEMBER 2014, 10 HIGI KIOSKS WILL BE
PLACED WITHIN ORGANIZATIONS TO MEET PEOPLE WHERE THEY ARE IN AN EFFORT
TO CREATE A CULTURE OF HEALTH. PARTICIPANTS CAN USE A HIGI KIOSK WITHIN
THESE PRIVATE LOCATIONS OR ANY OF THE OVER 10,000 RETAIL LOCATIONS
WITHIN A 3-MILE DISTANCE OF 250 MILLION AMERICANS. HIGI HAS CAPTURED
OVER 10 MILLION HEALTH READINGS (BLOOD PRESSURE, WEIGHT AND BMI)
THROUGH ALMOST 4 MILLION INDIVIDUALS.

AFFORDABLE HOUSING - ENTERPRISE COMMUNITY PARTNERS
WE BEGAN WORK WITH ENTERPRISE COMMUNITY PARTNERS, WHICH OPERATES OVER
300,000 AFFORDABLE HOUSING UNITS, IN AN EFFORT TO HELP IMPROVE THE
HEALTH OF OVER 1 MILLION PEOPLE. THIS COLLABORATION INCLUDES:
- OFFERING ON-SITE RESIDENT PROGRAMING (INCREASED PHYSICAL ACTIVITY,
HEALTHY EATING, CPR AND ADVOCACY OPPORTUNITIES AS APPROPRIATE FOR EACH
LOCATION).
- IMPACTING BUILDING STANDARDS FOR AFFORDABLE, SUSTAINABLE, URBAN
HOUSING SO THAT RESIDENTIAL HEALTH IS CONSIDERED IN THE DESIGN,
DEVELOPMENT AND CONSTRUCTION OF NEW HOMES.

CONSUMER PUBLICATIONS
IN 2013-14, THE AMERICAN HEART ASSOCIATION AND RANDOM HOUSE RELEASED
TWO NEW TITLES: “THE GO RED FOR WOMEN COOKBOOK” (WHICH WAS FEATURED ON
QVC) IN HONOR OF GO RED’S 10TH ANNIVERSARY AND "GO FRESH," A COOKBOOK
THAT FOCUSES ON FRESH INGREDIENTS AND PROVIDES A VARIETY OF EASY
RECIPES TO HELP CONSUMERS INCREASE THEIR FRUIT AND VEGETABLE
CONSUMPTION. AN UPDATED EDITION OF "DIABETES & HEART HEALTHY COOKBOOK,
2ND ED.," WAS RELEASED AS A JOINT PROJECT WITH THE AMERICAN DIABETES
ASSOCIATION. WE ALSO PUBLISHED TWO COOKBOOK MAGAZINES, “RECIPES FOR THE
HEART" AND "FAVORITE FAMILY RECIPES (FORMERLY KNOWN AS HEALTHY SOUL FOOD)," PROVIDING AN AFFORDABLE RESOURCE FOR THE GO RED FOR WOMEN MOVEMENT AND THE POWER TO END STROKE CAMPAIGN, RESPECTIVELY. WE ALSO PUBLISHED THE SECOND ANNUAL EDITION OF THE MAGAZINE SUPPLEMENT, "HEART HEALTH MATTERS," WHICH DISTRIBUTED 1.4 MILLION COPIES THROUGH 10 MAJOR METROPOLITAN NEWSPAPERS.

CUSTOMER RELATIONS

THE ASSOCIATION STRIVES TO ANTICIPATE, UNDERSTAND, MEET AND EXCEED OUR CUSTOMERS' NEEDS AND EXPECTATIONS. IN 2013-2014, THE MULTI-CHANNEL NATIONAL ENGAGEMENT CENTER SERVED MORE THAN 323,000 CUSTOMERS.

CUSTOMER-CARE SPECIALISTS ANSWERED MORE THAN 205,028 TOLL-FREE TELEPHONE CALLS, MADE OVER 11,161 OUTBOUND AND "THANK-YOU" CALLS AND HELPED MORE THAN 11,825 CUSTOMERS FIND CPR TRAINING NEAR THEM.

COMMUNICATIONS

THE AMERICAN HEART ASSOCIATION PRODUCED A WIDE VARIETY OF ORIGINAL NEWS STORIES AND FEATURES ABOUT HEART DISEASE, STROKE AND HEALTHY LIVING THAT WERE DISPLAYED BEFORE MILLIONS OF PEOPLE ON HEART.ORG. WE ALSO WORKED WITH NEWS MEDIA OUTLETS TO PRESENT THE LATEST NEWS, GENERATING BILLIONS OF MEDIA IMPRESSIONS FOR CAUSE INITIATIVES, SCIENTIFIC JOURNAL ARTICLES, SCIENTIFIC MEETINGS, HEALTH COMMUNICATIONS, STROKE, HEALTH DISPARITIES, AND CULTURAL HEALTH AND MEDIA ADVOCACY.

WE’VE ALSO EXPANDED OUR SOCIAL MEDIA PRESENCE TO GROW OUR COMMUNITIES, ENGAGE WITH CONSUMERS ON A PERSONAL LEVEL, AND BUILD PARTNERSHIPS THAT LEVERAGE REACH AND CREDIBILITY. OUR COMMUNITY INCLUDES MORE THAN 4.2 MILLION FOLLOWERS ACROSS FACEBOOK, TWITTER, GOOGLE+, PINTEREST,
LINKEDIN, YOUTUBE AND INSTAGRAM. WE’VE ALSO ACHIEVED MORE THAN 808 MILLION SOCIAL MEDIA ENGAGEMENTS – THAT’S 2.56 TIMES FOR EVERY AMERICAN. THIS YEAR, THE ASSOCIATION ALSO HOSTED 18 SOCIAL MEDIA EVENTS LIKE FACEBOOK CHATS, TWITTER CHATS AND GOOGLE+ HANGOUTS ON AIR ON TOPICS INCLUDING HEALTHY LIVING, SCIENCE NEWS, HYPERTENSION, STROKE, CONGENITAL HEART DEFECTS AND MORE. MANY OF THESE WERE IN COORDINATION WITH POWERFUL PARTNERS INCLUDING GOVERNMENT AGENCIES AND PROMINENT MEDIA OUTLETS.

HEALTH EDUCATION

THE NFL HAS EXTENDED ITS SUPPORT OF OUR WORK TOGETHER THROUGH THE 2014-15 SCHOOL YEAR. MORE THAN 2 MILLION KIDS ARE MOVING MORE, AND LEARNING ABOUT THE IMPORTANCE OF PHYSICAL ACTIVITY, THROUGH THE NFL PLAY 60 CHALLENGE.

OUR F.A.S.T. CAMPAIGN TEACHES AMERICANS HOW TO RECOGNIZE A STROKE AND HOW TO PROPERLY REACT. SINCE ITS LAUNCH IN 2012, THE CAMPAIGN HAS REACHED OVER $49 MILLION IN TOTAL DONATED MEDIA AND ACHIEVED OVER 32,000 FAST MOBILE APP DOWNLOADS.

WE CONTINUE TO SPREAD AWARENESS THROUGH OUR “TOGETHER TO END STROKE” INITIATIVE, WHICH WE LAUNCHED LAST YEAR TO HELP PEOPLE UNDERSTAND THAT STROKE IS LARGELY PREVENTABLE, TREATABLE AND BEATABLE. SINCE THE LAUNCH OF THE INITIATIVE, WE HAVE ACHIEVED MORE THAN 475 MILLION MEDIA IMPRESSIONS.

HEART360 IS HELPING PEOPLE STAY IN TUNE WITH THEIR HEARTS BY CONNECTING MORE HEALTHCARE PROVIDERS AND PATIENTS. THE ONLINE PORTAL IS BEING USED...
IN THE AHA HYPERTENSION INITIATIVE IN MORE THAN 50 MARKETS ACROSS THE U.S. TO IMPROVE BLOOD PRESSURE CONTROL IN COMMUNITY-BASED SETTINGS.

MY LIFE CHECK CONTINUES TO CLOSE THE GAP BETWEEN HEART-HEALTH PERCEPTION AND THE REALITY. THE ONLINE TOOL HELPS PEOPLE UNDERSTAND WHERE THEY FALL ON THE IDEAL HEART HEALTH SPECTRUM. TO DATE, MORE THAN 517,000 PEOPLE HAVE TAKEN THE HEALTH ASSESSMENT.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

PROFESSIONAL EDUCATION

RESEARCH, ADVANCES IN MEDICINE AND GUIDELINES FOR BEST PRACTICE ARE MOST USEFUL WHEN MADE AVAILABLE TO SCIENTISTS AND HEALTHCARE PROFESSIONALS. THE AMERICAN HEART ASSOCIATION PROVIDES AN ONLINE PORTAL FOR PROFESSIONAL CONTINUING EDUCATION. OVER THE PAST SEVERAL YEARS, MORE THAN 1 MILLION HEALTHCARE PROFESSIONALS HAVE REGISTERED AND USED LEARN.HEART.ORG.

THROUGH MULTIPLE LEARNING FORMATS, THE AMERICAN HEART ASSOCIATION OFFERED CLOSE TO 200 ACCREDITED OPPORTUNITIES TO HEALTHCARE PROFESSIONALS FOR CONTINUING MEDICAL EDUCATION IN 2013-2014. OUR PROFESSIONAL MEETINGS DREW MORE THAN 25,000 ATTENDEES. TWO OF THESE OFFERINGS, SCIENTIFIC SESSIONS AND THE INTERNATIONAL STROKE CONFERENCE, ARE THE PREMIER MEETINGS FOR CARDIOVASCULAR DISEASE AND STROKE PROFESSIONALS THROUGHOUT THE WORLD.

SCIENTIFIC JOURNALS

THE AMERICAN HEART ASSOCIATION REPORTS THE LATEST IN CARDIOVASCULAR RESEARCH TO HEALTH PROFESSIONALS AND SCIENTISTS THROUGH ITS 12
AMERICAN HEART ASSOCIATION, INC.

Scientific and medical journals, which have approximately 141,000 subscriptions.

CPR & First Aid

A global leader in CPR science and training, the American Heart Association trained more than 16 million people in 98 countries and the U.S. last year. We continued our hands-only CPR awareness campaign and mobile CPR tour to teach all Americans hands-only CPR, and we advocated for legislation that would make learning CPR a high school graduation requirement. This year we reached a key milestone with such laws - at least 1 million students will finish school with this lifesaving skill.

To assist the 19 states that have passed CPR in schools law, we launched the CPR in schools training kit, the most cost-effective training solution for schools on the market.

Quality Care Improvement

Our efforts to improve the quality of health care continue to expand.

Our program called Get With The Guidelines now helps hospitals consistently use evidence-based guidelines and recommendations to care for patients with heart attacks, stroke, cardiac arrest and atrial fibrillation. In the outpatient setting, we offer the guideline advantage that focuses on cardiovascular disease, diabetes and cancer prevention. More than 2,000 hospitals and clinics participate, with over 12 million patient records entered in our databases. Over 280 journal publications have resulted from these valuable research tools, helping identify gaps in care and develop strategies to address them.

Award-winning hospitals across the country can be found using our interactive web tools.
WE ARE ALSO GROWING OUR "MISSION: LIFELINE" PROGRAM TO ENSURE THE BEST TREATMENT FOR HEART ATTACK VICTIMS WHO NEED CARE RIGHT AWAY. "MISSION: LIFELINE" SYSTEMS NOW COVER 82 PERCENT OF THE U.S. COMMUNITIES, WITH MORE THAN 600 REGISTERED SYSTEMS.

OUR CERTIFICATION AND ACCREDITATION OFFERINGS IN CONJUNCTION WITH THE JOINT COMMISSION AND SOCIETY FOR CARDIOVASCULAR PATIENT CARE NOW INCLUDE OVER 1,200 HOSPITALS ACROSS THE U.S. THESE INCLUDE OVER 1,000 PRIMARY STROKE CENTERS, 70 COMPREHENSIVE STROKE CENTERS, 57 ADVANCED HEART FAILURE CERTIFICATIONS, AND 53 MISSION: LIFELINE HEART ATTACK CENTERS. PATIENTS AND CAREGIVERS CAN EASILY IDENTIFY PARTICIPATING HOSPITALS AND PROGRAMS WITH THE AHA'S ICONIC HEART-CHECK MARK RECOGNITION OR LOCATE THEM ON OUR WEB-BASED INTERACTIVE MAPPING TOOL.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

COMMUNITY PROGRAMS

THE AMERICAN HEART ASSOCIATION PROVIDES COMMUNITY SERVICES AT THE LOCAL, STATE AND NATIONAL LEVELS, MOST SIGNIFICANTLY THROUGH PUBLIC ADVOCACY.

PUBLIC ADVOCACY

OUR INITIATIVE WITH ROBERT WOOD JOHNSON FOUNDATION, CALLED "VOICES FOR HEALTHY KIDS," AIMS TO REVERSE THE CHILDHOOD OBESITY TREND BY 2015. OUR VISION FOR THIS INITIATIVE IS TO SEE EVERY CHILD WITH HEALTHY FOODS AND DRINKS AT HOME AND IN SCHOOL, SAFE STREETS FOR BIKING AND WALKING, AND PLACES TO PLAY AFTER SCHOOL. WE ARE WORKING TO ENSURE THAT THE PLACES WHERE CHILDREN LIVE, LEARN AND PLAY MAKE IT EASY AND ENJOYABLE FOR THEM...
TO EAT HEALTHY FOODS AND BE ACTIVE.

IN ADDITION, OUR STATE AND COMMUNITY ADVOCACY EFFORTS CONTINUE TO ADDRESS A BROAD RANGE OF CARDIOVASCULAR DISEASE AND STROKE-RELATED ISSUES SUCH AS TOBACCO USE, ACCESS TO HEALTH CARE, STRENGTHENING SYSTEMS OF CARE FOR STROKE, HEART ATTACK AND SUDDEN CARDIAC ARREST PATIENTS, IMPROVING THE CHAIN OF SURVIVAL BY ASSURING ALL STUDENTS ARE TRAINED IN CPR BEFORE GRADUATING, AND ASSURING ALL NEWBORN S ARE SCREENED FOR CRITICAL CONGENITAL HEART DEFECTS USING PULSE OXIMETRY.


FORM 990, PART VI, SECTION B, LINE 11:
EXPLANATION: IN EARLY NOVEMBER, MANAGEMENT DISTRIBUTED A DRAFT OF THE FORM 990 TO THE AUDIT COMMITTEE APPOINTED BY THE AMERICAN HEART ASSOCIATION’S BOARD OF DIRECTORS. THE AUDIT COMMITTEE MEMBERS REVIEWED THE DRAFT. MANAGEMENT UPDATED THE DRAFT BASED ON FEEDBACK FROM THE AUDIT COMMITTEE MEMBERS. PRIOR TO FINALIZATION OF THE RETURN, A FINAL DRAFT OF FORM 990 WAS PROVIDED TO ALL MEMBERS OF THE BOARD OF DIRECTORS. THE FORM DISTRIBUTED TO THE BOARD OF DIRECTORS REFLECTS THE RETURN ULTIMATELY FILED WITH THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:
EXPLANATION: THE AMERICAN HEART ASSOCIATION (AHA) HAS ESTABLISHED A CONFLICT OF INTEREST POLICY WHICH HAS BEEN REVIEWED AND APPROVED BY THE BOARD OF DIRECTORS. THE POLICY IS BINDING ON ALL VOLUNTEERS, STAFF AND COMPONENTS OF AHA. A CONFLICT OF INTEREST QUESTIONNAIRE, WHICH INCLUDES THE CONFLICT OF INTEREST POLICY, STANDARDS AND ETHICS POLICY, IS REQUIRED TO BE COMPLETED BY ALL AHA BOARD OF DIRECTORS MEMBERS, COMMITTEE,
SUBCOMMITTEE, TASK FORCE, WRITING GROUP MEMBERS, AND DESIGNATED STAFF, AHA

SPokesPERSONS UPON THEIR APPOINTMENT, AND TO OFFICERS AND JOURNAL EDITORS PRIOR TO THEIR ELECTION OR APPOINTMENT. AFTER THE INITIAL COMPLETION OF THE CONFLICT OF INTEREST DISCLOSURE QUESTIONNAIRE, VOLUNTEERS AND DESIGNATED STAFF ARE REQUESTED TO UPDATE IT WHENEVER MATERIAL CHANGES OCCUR IN THEIR AHA ROLE, EMPLOYMENT OR OTHER RELATIONSHIP IDENTIFIED AS RELEVANT ON THE DISCLOSURE QUESTIONNAIRE.

AHA HAS IDENTIFIED THE FOLLOWING AREAS IN ITS POLICY TO BE POTENTIAL CONFLICTS OF INTEREST: DIRECT OR INDIRECT INTEREST IN, OR RELATIONSHIP WITH, ANY INDIVIDUAL OR ORGANIZATION THAT PROPOSES TO ENTER INTO ANY TRANSACTION WITH AHA; THE SALE, PURCHASE, LEASE OR RENTAL OF ANY PROPERTY OR OTHER ASSET; EMPLOYMENT, OR RENDITION OF SERVICES, PERSONAL OR OTHERWISE; THE AWARD OF ANY GRANT, CONTRACT, OR SUBCONTRACT; OR THE INVESTMENT OR DEPOSIT OF ANY FUNDS OF AHA.

FORM 990, PART VI, SECTION B, LINE 15:

EXPLANATION: AHA’S BOARD OF DIRECTORS CHARGES A COMPENSATION AND BENEFITS COMMITTEE TO PROVIDE RECOMMENDATIONS REGARDING COMPENSATION-RELATED MATTERS WITHIN THE ORGANIZATION. THE COMPENSATION COMMITTEE IS RESPONSIBLE FOR REVIEWING AND PROVIDING RECOMMENDATIONS FOR THE CHIEF EXECUTIVE OFFICER’S (CEO) COMPENSATION TO THE OFFICERS OF THE BOARD OF DIRECTORS. THE OFFICERS OF THE BOARD OF DIRECTORS REVIEW AND MAKE FINAL RECOMMENDATIONS ON THE CHIEF EXECUTIVE OFFICER’S COMPENSATION TO THE BOARD OF DIRECTORS FOR FINAL APPROVAL. THE COMPENSATION COMMITTEE IS COMPRISED OF MEMBERS WHO ARE CONSIDERED INDEPENDENT OF MANAGEMENT PURSUANT TO AHA’S CONFLICT OF INTEREST POLICY. THE COMPENSATION COMMITTEE ENGAGES AN OUTSIDE INDEPENDENT CONSULTANT TO PROVIDE EXTERNAL BENCHMARKING WITH RESPECT TO COMPENSATION.
LEVELS AND PROVISION OF BENEFITS.

THE COMPENSATION COMMITTEE'S OUTSIDE INDEPENDENT CONSULTANT PROVIDES

INFORMATION WITH RESPECT TO THE APPROPRIATENESS OF THE CEO’S COMPENSATION

AS COMPARED TO THE EXTERNAL BENCHMARKING AS WELL AS THE METHODOLOGY IN

DEVELOPING CURRENT COMPENSATION. THE INDEPENDENT CONSULTANT ALSO EVALUATES

THE COMPENSATION RANGE OF OTHER OFFICERS AND SENIOR EXECUTIVES. SEVERAL

SURVEYS WERE UTILIZED IN DEVELOPING THE COMPARISON INCLUDING SURVEYS FROM

VARIOUS COMPENSATION CONSULTING FIRMS. ADDITIONALLY, THE OUTSIDE

INDEPENDENT CONSULTANT PROVIDED A REASONABLENESS OPINION IN ORDER TO INSURE

THAT AHA COMPLIES WITH THE INTERMEDIATE SANCTION & REBUTTABLE PRESUMPTION

POLICY. FOR PURPOSES OF THE 2013-14 FISCAL YEAR, THE COMPENSATION REVIEW

OF THE CEO BY THE COMPENSATION COMMITTEE WAS LAST COMPLETED IN SEPTEMBER OF

2013.

KEY FACTORS THAT ARE CONSIDERED BY THE COMPENSATION COMMITTEE WITH RESPECT

TO COMPENSATION ARE AS FOLLOWS: COMPENSATION PHILOSOPHY, EXPERIENCE AND

QUALIFICATIONS OF THE CANDIDATE, MARKET COMPETITIVENESS, AND COMPENSATION

REQUIREMENTS AND HISTORY OF THE CANDIDATE. COMPONENTS OF COMPENSATION THAT

ARE ROUTINELY REVIEWED BY THE COMPENSATION COMMITTEE INCLUDE BASE SALARY,

INCENTIVE OPPORTUNITY BOTH SHORT AND LONG TERM, RETIREMENT, BENEFITS AND

PERQUISITES.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AK, AL, AR, CA, CT, FL, GA, HI, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MS, NC, ND, NH, NJ, NM, NY

OH, OR, OK, PA, RI, SC, TN, UT, VA, WA, WI, WV

FORM 990, PART VI, SECTION C, LINE 19:
EXPLANATION: THE AMERICAN HEART ASSOCIATION (AHA) MAKES AVAILABLE THE THREE MOST RECENT YEARS OF AUDITED FINANCIAL STATEMENTS, THREE MOST RECENT YEARS OF THE FORM 990 AND THE CONFLICT OF INTEREST POLICY ON AHA'S INTERNET WEBSITE, WWW.HEART.ORG. THE AHA DOES NOT MAKE ITS GOVERNING DOCUMENTS AVAILABLE TO THE GENERAL PUBLIC.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

POST-RETIREMENT ADJUSTMENT (ASC 715)  -1,107,779.
<table>
<thead>
<tr>
<th>Part I Identification of Disregarded Entities</th>
<th>Complete if the organization answered &quot;Yes&quot; on Form 990, Part IV, line 33.</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Name, address, and EIN (if applicable)</td>
<td>AMHAS, LLC - 13-5613797</td>
</tr>
<tr>
<td>of disregarded entity</td>
<td>7272 GREENVILLE AVENUE</td>
</tr>
<tr>
<td></td>
<td>DALLAS, TX 75231</td>
</tr>
<tr>
<td></td>
<td>INVESTMENTS</td>
</tr>
<tr>
<td></td>
<td>DELAWARE</td>
</tr>
<tr>
<td></td>
<td>AMERICAN HEART</td>
</tr>
<tr>
<td></td>
<td>ASSOCIATION, INC.</td>
</tr>
<tr>
<td></td>
<td>586,710</td>
</tr>
<tr>
<td></td>
<td>61,482,860</td>
</tr>
<tr>
<td></td>
<td>for End-of-year assets</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Part II Identification of Related Tax-Exempt Organizations</th>
<th>Complete if the organization answered &quot;Yes&quot; on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Name, address, and EIN of related organization</td>
<td></td>
</tr>
<tr>
<td>(b) Primary activity</td>
<td></td>
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<tr>
<td>(c) Legal domicile (state or foreign country)</td>
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<tr>
<td>(d) Exempt Code section</td>
<td></td>
</tr>
<tr>
<td>(e) Public charity status (if section 501(c)(3))</td>
<td></td>
</tr>
<tr>
<td>(f) Direct controlling entity</td>
<td></td>
</tr>
<tr>
<td>(g) Section 52(b)(13) controlled entity?</td>
<td>Yes  No</td>
</tr>
</tbody>
</table>
### Part III  
**Identification of Related Organizations Taxable as a Partnership**

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>24 VARIOUS PERPETUAL TRUSTS - 99-99999999</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td>Yes</td>
<td></td>
<td>No</td>
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<tr>
<td>2727 GREENVILLE AVENUE</td>
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<tr>
<td>11 CHARITABLE REMAINDER TRUSTS - 99-99999999</td>
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<td>7272 GREENVILLE AVENUE</td>
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</tbody>
</table>

### Part IV  
**Identification of Related Organizations Taxable as a Corporation or Trust**

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Type of entity (C corp, S corp, or trust)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Percentage ownership</th>
<th>(i) Section 512(b)(13) controlled entity?</th>
</tr>
</thead>
<tbody>
<tr>
<td>24 VARIOUS PERPETUAL TRUSTS - 99-99999999</td>
<td></td>
<td></td>
<td></td>
<td>American Heart Association, Inc. Trust</td>
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<tr>
<td>7272 GREENVILLE AVENUE</td>
<td></td>
<td></td>
<td></td>
<td>American Heart Association, Inc. Trust</td>
<td></td>
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<tr>
<td>DALLAS, TX 75231</td>
<td></td>
<td></td>
<td></td>
<td>American Heart Association, Inc. Trust</td>
<td></td>
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</tbody>
</table>
Part V  Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1. During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?
   - Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
   - Gift, grant, or capital contribution to related organization(s)
   - Loans or loan guarantees to or for related organization(s)
   - Dividends from related organization(s)
   - Sale of assets to related organization(s)
   - Purchase of assets from related organization(s)
   - Exchange of assets with related organization(s)
   - Lease of facilities, equipment, or other assets to related organization(s)
   - Lease of facilities, equipment, or other assets from related organization(s)
   - Performance of services or membership or fundraising solicitations for related organization(s)
   - Performance of services or membership or fundraising solicitations by related organization(s)
   - Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
   - Sharing of paid employees with related organization(s)
   - Reimbursement paid to related organization(s) for expenses
   - Reimbursement paid by related organization(s) for expenses
   - Other transfer of cash or property to related organization(s)
   - Other transfer of cash or property from related organization(s)

2. If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

<table>
<thead>
<tr>
<th>(a) Name of related organization</th>
<th>(b) Transaction type (a-s)</th>
<th>(c) Amount involved</th>
<th>(d) Method of determining amount involved</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>11 CHARITABLE REMAINDER TRUSTS</strong></td>
<td>C</td>
<td>$1,185,927</td>
<td>CASH CONTRIBUTIONS RECEIVED</td>
</tr>
<tr>
<td><strong>24 VARIOUS PERPETUAL TRUSTS</strong></td>
<td>C</td>
<td>$1,047,824</td>
<td>CASH CONTRIBUTIONS RECEIVED</td>
</tr>
<tr>
<td><strong>AMHAS, LLC</strong></td>
<td>B</td>
<td>$60,772,040</td>
<td>CAPITAL CONTRIBUTION</td>
</tr>
</tbody>
</table>

(4)

(5)

(6)
Part VI  Unrelated Organizations Taxable as a Partnership  Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of entity</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Predominant income (related, unrelated, excluded from tax under section 512-514)</th>
<th>(e) Are all partners section 512(c)(3) organizations?</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
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</tbody>
</table>
Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions).

Schedule R, Part I

EXPLANATION: AMHAS, LLC is a single member limited liability company that holds investments that are part of the American Heart Association’s investment portfolio.

Schedule R, Part IV

EXPLANATION: These related entities are trusts in which the American Heart Association has a greater than 50% beneficial interest. The EIN and state of legal domicile vary by trust.