Department of the Treasury

Internal Revenue Service

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

▶ Do not enter social security numbers on this form as it may be made public.

► Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

| Α             | For the             | · 2014 calendar year, or tax year beginning 🔠 🗓              | L 1, 2014 and                        | ending J      | UN 30, 2015         |            |                             |  |  |
|---------------|---------------------|--|--------------------------------------|---------------|---------------------|------------|-----------------------------|--|--|
| В             | Check if applicable | C Name of organization                                       |                                      |               | D Employer ic       | lentific   | cation number               |  |  |
|               | Addres              |  |                                      |               |                     |            |                             |  |  |
|               | Name<br>change      | Doing business as  |                                      |               | 13                  | 3-5613     | 3797                        |  |  |
|               | Initial return      | Number and street (or P.O. box if mail is not deli           | vered to street address)             | Room/suite    | E Telephone n       | umber      |                             |  |  |
|               | Final return/       | 7272 GREENVILLE AVENUE                                       |                                      |               | 21                  | 4-373      | 3-6300                      |  |  |
|               | termin-<br>ated     | City or town, state or province, country, and                | ZIP or foreign postal code           |               | G Gross receipts \$ | i          | 1,096,376,252.              |  |  |
|               | Ameno<br>return     | DALLAS, TX 75231   |                                      |               | H(a) Is this a gr   | oup re     | turn                        |  |  |
|               | Application         | F Name and address of principal officer:NANCI                | BROWN                                |               | for subord          | inates'    | ? Yes 🗓 No                  |  |  |
|               | pendin              | SAME AS C ABOVE  |                                      |               | H(b) Are all subord | linates in | cluded? Yes No              |  |  |
| T             | Tax-exe             | empt status: X 501(c)(3) 501(c) ( )                          | <b>■</b> (insert no.) 4947(a)(1)     | or 527        | If "No," att        | ach a      | list. (see instructions)    |  |  |
| J             | Websit              | e: WWW.HEART.ORG   |                                      |               | H(c) Group exe      | mption     | n number 🕨                  |  |  |
| K             | Form of             | organization: X Corporation Trust Ass                        | ociation Other >                     | L Year        | of formation: 192   | 4 M        | State of legal domicile; NY |  |  |
| P             | art I               | Summary  |                                      |               |                     |            |                             |  |  |
| Ф             | 1                   | Briefly describe the organization's mission or most          | significant activities: BUILDI       | NG HEALTH     | IIER LIVES, F       | REE        |                             |  |  |
| Governance    |                     | OF CARDIOVASCULAR DISEASES AND STROKE.                       |                                      |               |                     |            |                             |  |  |
| ř.            | 2                   | Check this box 🕨 🔲 if the organization discor                | tinued its operations or dispo       | sed of more   | than 25% of its     | net as     | sets.                       |  |  |
| ŏ             | 3                   | Number of voting members of the governing body               | Part VI, line 1a)                    |               |                     | 3          | 21                          |  |  |
| ত<br>প্       | 4                   | Number of independent voting members of the gov              | erning body (Part VI, line 1b)       |               |                     | 4          | 21                          |  |  |
| es            | 5                   | Total number of individuals employed in calendar y           | ear 2014 (Part V, line 2a)           |               |                     | 5          | 3966                        |  |  |
| ξ             |                     | Total number of volunteers (estimate if necessary)           |                                      |               |                     |            | 33,000,000                  |  |  |
| Activities    |                     | Total unrelated business revenue from Part VIII, col         |                                      |               |                     |            | 130,788.                    |  |  |
| _             | 1                   | Net unrelated business taxable income from Form 9            |                                      |               |                     |            | 9,986.                      |  |  |
|               |                     |  |                                      |               | Prior Year          |            | Current Year                |  |  |
| <u>o</u>      | 8                   | Contributions and grants (Part VIII, line 1h)                | 566,341,                             | 053.          | 650,674,889.        |            |                             |  |  |
| enc           | 9                   | Program service revenue (Part VIII, line 2g)                 |                                      |               | 25,810,             | 029.       | 28,554,015.                 |  |  |
| Revenue       | 10                  | nvestment income (Part VIII, column (A), lines 3, 4,         | and 7d)                              |               | 86,532,             |            | 28,016,546.                 |  |  |
|               | 11                  | Other revenue (Part VIII, column (A), lines 5, 6d, 8c,       | 9c, 10c, and 11e)                    |               | 77,274,             | 208.       | 73,029,692.                 |  |  |
|               | 12                  | Total revenue - add lines 8 through 11 (must equal           | Part VIII, column (A), line 12)      |               | 755,958,            | 251.       | 780,275,142.                |  |  |
|               | 13                  | Grants and similar amounts paid (Part IX, column (A          | A), lines 1-3)                       |               | 140,400,            | 865.       | 148,520,852.                |  |  |
|               | 14                  | Benefits paid to or for members (Part IX, column (A          | ), line 4)                           |               |                     | 0.         | 0.                          |  |  |
| es            | 15                  | Salaries, other compensation, employee benefits (F           | Part IX, column (A), lines 5-10)     |               | 275,700,            | 795.       | 306,715,428.                |  |  |
| Expenses      | 16a                 | Professional fundraising fees (Part IX, column (A), li       | ne 11e)                              |               | 2,951,              | 226.       | 3,073,343.                  |  |  |
| ďx            | b ·                 | Total fundraising expenses (Part IX, column (D), line        | 25) 🕨88,299,                         | 721.          |                     |            |                             |  |  |
| Ш             | 17                  | Other expenses (Part IX, column (A), lines 11a-11d,          | 11f-24e)                             |               | 224,650,            | 155.       | 285,746,776.                |  |  |
|               | 18                  | Total expenses. Add lines 13-17 (must equal Part I)          | (, column (A), line 25)              |               | 643,703,            | 041.       | 744,056,399.                |  |  |
|               | 19                  | Revenue less expenses. Subtract line 18 from line            | 12                                   |               | 112,255,            | 210.       | 36,218,743.                 |  |  |
| Net Assets or |                     |  |                                      | Ве            | ginning of Current  | Year       | End of Year                 |  |  |
| sets          | 20                  | Total assets (Part X, line 16)                               |                                      |               | 1,248,170,          | 996.       | 1,291,066,077.              |  |  |
| A             | 21                  | Total liabilities (Part X, line 26)                          |                                      |               | 384,566,            | 589.       | 402,868,134.                |  |  |
|               | 22                  | Net assets or fund balances. Subtract line 21 from           | line 20                              |               | 863,604,            | 407.       | 888,197,943.                |  |  |
|               |                     | Signature Block  |                                      |               |                     |            |                             |  |  |
|               | -                   | ties of perjury, I declare that I have examined this return, |                                      |               |                     | -          | knowledge and belief, it is |  |  |
| true          | e, correc           | t, and complete. Declaration of preparer (other than office  | r) is based on all information of wl | nich preparer | has any knowledge   | e.         |                             |  |  |
|               |                     | Cinnature of officer   |                                      |               | Doto                |            |                             |  |  |
| Sig           | ın                  | Signature of officer   |                                      |               | Date                |            |                             |  |  |
| He            | re                  | SUNDER JOSHI, CHIEF FINANCIAL OFFI                           | CER                                  |               |                     |            |                             |  |  |
|               |                     | Type or print name and title                                 |                                      |               | ) oto               |            | T DTIN                      |  |  |
| _             |                     |  | Preparer's signature                 |               | if                  | neck       | PTIN                        |  |  |
| Pai           |                     | MORGAN SOUZA   |                                      | 1:            | 2/04/15 se          | lf-employe |                             |  |  |
|               |                     | Firm's name KPMG LLP   |                                      |               | Firm's E            | IN 🛌       | 13-5565207                  |  |  |
| Use           | Only                | Firm's address > 717 N. HARWOOD STREET, SU                   | JITE 3100                            |               |                     |            |                             |  |  |
|               |                     | DALLAS, TX 75201   |                                      |               | Phone n             | 0.(214     | 1) 840-2000                 |  |  |
| 1/10          | v tha IE            | S discuss this return with the preparer shown abo            | vo2 (coo instructions)               |               |                     |            | X Ves No                    |  |  |

## **Exempt Organization Declaration and Signature for Electronic Filing**

| r calendar year 2014, or tax year beginning JUL | 1 | , 2014, and ending JUN 30 | , 20 15 |
|---|---|---------------------------|---------|

OMB No. 1545-1879

| Department of the Tr   |  | For use wit  | h Forms 990,   | 990-EZ, 990-PF, 11  | 20-POL, and  | 8868  |   |   |  |
|--|--|--|--|---|--|---|---|---|--|
| Name of exemp  |  |  |  |   |  |   | Emp                                       | loyer   | identification number  |
|  |  | AMERICAN HEART ASSO  | OCIATION, I  | NC.   |  |   |   | 13-5  | 613797   |
| Part I   | ype of Ret   | urn and Return Info  | ormation (W  | /hole Dollars Only)   |  |   |   |   |  |
| Check the box  | for the type of  | return being filed with Fo   | rm 8453-EO ar  | nd enter the applicat   | le amount, if  | any, fror   | n the                                     | return  | . If you check the box on  |
|  |  | w and the amount on that   |  |   |  |   |   |   |  |
| whichever is ap  | plicable, blank  | k (do not enter -0-). If you   | entered -0- on   | the return, then ente   | r -0- on the ap  | plicable  | line b                                    | elow.   | Do not complete more   |
| than one line in   |  |  |  |   |  |   |   |   |  |
| 1a Form 990 o  |  |  |  | 990, Part VIII, colum   |  |   |   |   | 780,275,142.   |
| 2a Form 990-l  |  |  |  | orm 990-EZ, line 9)   |  |   |   |   |  |
| 3a Form 1120   |  |  |  | -POL, line 22)  |  |   |   |   |  |
| 4a Form 990-l  |  |  |  | ent income (Form 99   |  |   |   |   |  |
| 5a Form 8868   | check here   | b Balance due  | (Form 8868, F  | Part I, line 3c or Part I   | I, line 8c)  |   |   | . 5b  |  |
| Part II  | Declaration  | of Officer   |  |   |  |   |   |   |  |
| (direc<br>taxes<br>Treas<br>institi  | et debit) entry<br>s owed on this<br>sury Financial<br>ations involved                 | to the financial institution<br>return, and the financial i<br>Agent at 1-888-353-4537 i   | account indicanstitution to de no later than 2   | ated in the tax prepa<br>ebit the entry to this a<br>business davs prior  | ration softwar<br>account. To re<br>to the payme   | e for pa<br>evoke a<br>nt (settle                               | ymen<br>paym<br>ement                     | t of the<br>ent, I<br>t) date                   | electronic funds withdrawal<br>e organization's federal<br>must contact the U.S.<br>e. I also authorize the financia<br>essary to answer inquiries |
| exect  | uted the electi  | urn is being filed with a st<br>ronic disclosure consent o<br>tified in Part I above) to tl  | contained with   | in this return allowing   | as part of the<br>g disclosure by  | RS Fe   | d/Stat<br>S of ti                         | te prog<br>his Fo                               | gram, I certify that I<br>rm 990/990-EZ/990-PF   |
| electronic retur<br>further declare<br>intermediate se                                     | n and accomp<br>that the amou<br>ervice provider<br>edgement of re                     | panying schedules and sta<br>int in Part I above is the a<br>contransmitter, or electronic   | atements, and<br>mount shown<br>return origina<br>ion of the trans                         | to the best of my kn<br>on the copy of the o<br>ttor (ERO) to send the  | owledge and I<br>rganization's e<br>e organization<br>son for any de                       | belief, the<br>lectroning<br>selay in propertion                | ney ar<br>ic retu<br>in to the<br>rocess  | e true<br>ım. I c<br>e IRS<br>sing th           | of the organization's 2014, correct, and complete. I consent to allow my and to receive from the IRS are return or refund, and (c)                 |
| Here S   | Signature of of  | ficer  | J  | Date  | Tit  | tle   |   |   |  |
| Part III [   | Declaration  | of Electronic Retu   | rn Originat  | tor (ERO) and Pa  | aid Prepare  | <b>er</b> (see i  | nstrud                                    | ctions)   | )  |
| knowledge. If I<br>return. The org<br>filed with the IF<br>for Business Re<br>accompanying | am only a coll<br>anization offic<br>RS, and have f<br>eturns. If I am<br>schedules an | I the above organization's ector, I am not responsible will have signed this for ollowed all other requirem also the Paid Preparer, und statements, and to the formation of which I have | le for reviewing<br>rm before I sub<br>nents in Pub. 4<br>nder penalties<br>best of my kno | g the retum and only<br>bmit the return. I will<br>1163, Modernized e-fi<br>of perjury I declare t<br>bowledge and belief, tl | declare that ti<br>give the office<br>le (MeF) Inforr<br>hat I have exa<br>ney are true, c | this form<br>or a copy<br>mation for<br>amined to<br>correct, a | accu<br>of al<br>or Aut<br>he ab<br>and c | rately<br>I forms<br>thorize<br>ove or<br>omple | reflects the data on the sand information to be and IRS e-file Providers reanization's return and  |
| ERO's ERO's  |  | Mi Caum  |  | 11/25/15  | also paid<br>preparer  | if sel  | r-<br>loyed                               |   | P01226370  |
| Use Firm's   | name (or   | MARK CONNER, CPA   | PLLC   |   | 1  |   |   | EIN 4   | 16-1320984   |
| yours  | if self-employed),<br>ss, and ZIP code   | 7272 GREENVILLE  |  |   |  |   | $\overline{}$                             | Phone r   |  |
| , quality  | ,  | DALLAS, TX 75231   |  |   |  | -   |   |   |  |
| Under penaltie   | s of perjury, I  | declare that I have examin   | ned the above<br>Declaration of  | return and accompa<br>f preparer is based or  | nying schedu<br>n all informatio   | lles and<br>on of wh  | state                                     | ments<br>le prei                                | , and to the best of my know<br>parer has any knowledge.   |
|  | Print/Type prep  |  | Preparer's sign  | nature  | Date   |   | heck                                      |   | if PTIN  |
| Paid   | MORGAN I   |  | More   | gand. Saya  | 11/25/15   | S   | elf- em                                   | ployed  | P00652612  |
| Preparer   | Firm's name  |  |  | 2 22-5 39-67  |  | 1   | irm's                                     | EIN D   | 13-5565207   |
| Use Only   |  | KPMG LLP   |  |   |  |   |   |   |  |

Firm's address ▶ 717 N. HARWOOD STREET, SUITE 3100

Phone no.

(214) 840-2000

13-5613797

| . u |                                       | ns a response or note to any line in this Part | III   | х                                     |
|-----|---------------------------------------|--|---|---------------------------------------|
| 1   | Briefly describe the organization's   |  |   |                                       |
|     | BUILDING HEALTHIER LIVES,             | FREE OF CARDIOVASCULAR DISEASES                | AND STROKE.                                   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     | Did the consciention and ortalis on   |  |   |                                       |
| 2   |                                       | y significant program services during the ye   |   | Yes X No                              |
|     | If "Yes," describe these new servi    |  |   |                                       |
| 3   |                                       | cting, or make significant changes in how it   | conducts, any program services?               | Yes X No                              |
|     | If "Yes," describe these changes      |  |   | — 100 — 110                           |
| 4   |                                       | am service accomplishments for each of its     | three largest program services, as measur     | ed by expenses.                       |
|     | Section 501(c)(3) and 501(c)(4) org   | ganizations are required to report the amour   | nt of grants and allocations to others, the t | otal expenses, and                    |
|     | revenue, if any, for each program     |  |   |                                       |
| 4a  |                                       | 143,710,703. including grants of \$            | 133,348,250. ) (Revenue \$                    | )                                     |
|     | SEE SCHEDULE O                        |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
| 4b  | (Code: ) (Expenses \$                 | 301,499,898. including grants of \$            | 4,795,314.) (Revenue\$                        | 4,517,559.)                           |
|     | SEE SCHEDULE O                        |  |   | _                                     |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
| 4c  | (Code: ) (Expenses \$                 | 99,375,228. including grants of \$             | 6,115,511. ) (Revenue \$                      | 114,180,110.)                         |
|     | SEE SCHEDULE O                        |  | ,,  | · · · · · · · · · · · · · · · · · · · |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
|     |                                       |  |   |                                       |
| 4d  | Other program services (Describe      | in Schedule O.)                                |   |                                       |
|     | · · · · · · · · · · · · · · · · · · · | •  | 61,777.) (Revenue \$ 25,432                   | 2,226.)                               |
| 4e  | Total program service expenses        |  |   | •                                     |
|     | <del></del>                           |  |   | = 000 (aa.i i)                        |

432002 11-07-14

## Form 990 (2014) Part IV Checklist of Required Schedules

|        |  |            | Yes | No          |
|--------|--|------------|-----|-------------|
| 1      | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?  |            |     |             |
|        | If "Yes," complete Schedule A  | 1          | Х   |             |
| 2      | Is the organization required to complete Schedule B, Schedule of Contributors?   | 2          | Х   |             |
| 3      | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for  |            |     |             |
|        | public office? If "Yes," complete Schedule C, Part I   | 3          |     | Х           |
| 4      | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect   |            | 77  |             |
| _      | during the tax year? If "Yes," complete Schedule C, Part II  | 4          | Х   |             |
| 5      | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or   | _          |     | х           |
| 6      | similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III   | 5          |     |             |
| 6      | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | 6          |     | х           |
| 7      | Did the organization receive or hold a conservation easement, including easements to preserve open space,  | •          |     |             |
| •      | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II.  | 7          |     | х           |
| 8      | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete   | •          |     |             |
|        | Schedule D, Part III   | 8          |     | х           |
| 9      | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for  |            |     |             |
|        | amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?  |            |     |             |
|        | If "Yes," complete Schedule D, Part IV   | 9          |     | х           |
| 10     | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent  |            |     |             |
|        | endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V   | 10         | Х   |             |
| 11     | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X   |            |     |             |
|        | as applicable.   |            |     |             |
| а      | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,  |            |     |             |
|        | Part VI  | 11a        | Х   |             |
| b      | Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total  |            |     |             |
|        | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII  | 11b        |     | Х           |
| С      | Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total   |            |     |             |
|        | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII   | 11c        |     | Х           |
| a      | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in   | 44.1       | v   |             |
| _      | Part X, line 16? If "Yes," complete Schedule D, Part IX  | 11d<br>11e | X   |             |
| e<br>f | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X  | 1 Ie       | Λ   |             |
| '      | the organization's separate of consolidated financial statements for the tax year include a footnote that addresses  the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X            | 11f        | х   |             |
| 12a    | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete  |            |     |             |
|        | Schedule D, Parts XI and XII   | 12a        | х   |             |
| b      | Was the organization included in consolidated, independent audited financial statements for the tax year?  |            |     |             |
|        | If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional  | 12b        |     | х           |
| 13     | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E  | 13         |     | Х           |
| 14a    | Did the organization maintain an office, employees, or agents outside of the United States?  | 14a        | Х   |             |
| b      | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,  |            |     |             |
|        | investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000   |            |     |             |
|        | or more? If "Yes," complete Schedule F, Parts I and IV   | 14b        | Х   |             |
| 15     | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any  |            |     |             |
|        | foreign organization? If "Yes," complete Schedule F, Parts II and IV   | 15         |     | Х           |
| 16     | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to   |            |     |             |
|        | or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV  | 16         | Х   |             |
| 17     | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,  |            | v   |             |
| 40     | column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I   | 17         | Х   |             |
| 18     | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines   | 40         | Х   |             |
| 10     | 1c and 8a? If "Yes," complete Schedule G, Part II  Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"  | 18         | Λ   |             |
| 19     |  | 19         | х   |             |
| 20a    | complete Schedule G, Part III  Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H   | 20a        |     | х           |
|        | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?   | 20b        |     | <del></del> |
|        | 200) and the organization at copy of the addition interior of attention to this folding  |            |     |             |

# Form 990 (2014) AMERICAN HEART ASSOCIATION, Part IV Checklist of Required Schedules (continued)

AMERICAN HEART ASSOCIATION, INC.

|     |   |     | Yes | No         |
|-----|---|-----|-----|------------|
| 21  | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or                     |     | .,  |            |
|     | domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II                               | 21  | Х   |            |
| 22  | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on                   |     |     |            |
|     | Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III   | 22  | Х   |            |
| 23  | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current      |     |     |            |
|     | and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete                  |     |     |            |
| •   | Schedule J  | 23  | Х   |            |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the         |     |     |            |
|     | last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete              | 04- |     | <b> </b> ₩ |
|     | Schedule K. If "No", go to line 25a   | 24a |     | Х          |
|     | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?                               | 24b |     |            |
| С   | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease            |     |     |            |
|     | any tax-exempt bonds?   | 24c |     |            |
|     | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?                         | 24d |     |            |
| 25a | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit                    |     |     | l .,       |
| _   | transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I                                   | 25a |     | Х          |
| b   | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and      |     |     |            |
|     | that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete           |     |     |            |
|     | Schedule L, Part I  | 25b |     | Х          |
| 26  | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or           |     |     |            |
|     | former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes,"          |     |     |            |
|     | complete Schedule L, Part II  | 26  |     | Х          |
| 27  | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial            |     |     |            |
|     | contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member             | l   |     |            |
|     | of any of these persons? If "Yes," complete Schedule L, Part III  | 27  |     | Х          |
| 28  | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV               |     |     |            |
|     | instructions for applicable filing thresholds, conditions, and exceptions):   |     |     | .,,        |
|     | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV                         | 28a |     | X          |
|     | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV      | 28b |     | Х          |
| С   | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, |     |     |            |
|     | director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV  | 28c |     | Х          |
| 29  | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M                        | 29  | Х   |            |
| 30  | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation     |     |     |            |
|     | contributions? If "Yes," complete Schedule M  | 30  | Х   |            |
| 31  | Did the organization liquidate, terminate, or dissolve and cease operations?  |     |     |            |
|     | If "Yes," complete Schedule N, Part I   | 31  |     | Х          |
| 32  | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete                |     |     |            |
|     | Schedule N, Part II   | 32  |     | X          |
| 33  | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations                      |     |     |            |
|     | sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I   | 33  | Х   |            |
| 34  | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and       |     |     |            |
|     | Part V, line 1  | 34  | Х   |            |
|     | Did the organization have a controlled entity within the meaning of section 512(b)(13)?   | 35a | Х   |            |
| b   | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity       |     |     |            |
|     | within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2   | 35b | Х   |            |
| 36  | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?      |     |     |            |
|     | If "Yes," complete Schedule R, Part V, line 2   | 36  |     | Х          |
| 37  | Did the organization conduct more than 5% of its activities through an entity that is not a related organization                |     |     |            |
|     | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI                    | 37  |     | Х          |
| 38  | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?                  |     | l   |            |
|     | Note. All Form 990 filers are required to complete Schedule O   | 38  | Х   |            |

## Form 990 (2014) AMERICAN HEART ASSOCIATION, INC. Part V Statements Regarding Other IRS Filings and Tax Compliance

|        | Check if Schedule O contains a response or note to any line in this Part V   |          |     |          |
|--------|--|----------|-----|----------|
|        |  |          | Yes | No       |
| 1a     | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1a 332  | 4        |     |          |
|        | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b   | 2        |     |          |
|        | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming   | 1        |     |          |
|        | (gambling) winnings to prize winners?  | 1c       | Х   |          |
| 2a     | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,  |          |     |          |
|        | filed for the calendar year ending with or within the year covered by this return 2a 396   | 5        |     |          |
| b      | If at least one is reported on line 2a, did the organization file all required federal employment tax returns?   | 2b       | Х   |          |
|        | Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)  |          |     |          |
| За     | Did the organization have unrelated business gross income of \$1,000 or more during the year?  | За       | х   |          |
| b      | If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O   | 3b       | Х   |          |
| 4a     | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a  |          |     |          |
|        | financial account in a foreign country (such as a bank account, securities account, or other financial account)?   | 4a       |     | Х        |
| b      | If "Yes," enter the name of the foreign country: ▶   |          |     |          |
|        | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).  |          |     |          |
| 5a     | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?  | 5a       |     | Х        |
| b      | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?   | 5b       |     | Х        |
| С      | If "Yes," to line 5a or 5b, did the organization file Form 8886-T?   | 5с       |     |          |
| 6a     | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit  |          |     |          |
|        | any contributions that were not tax deductible as charitable contributions?  | 6a       | Х   |          |
| b      | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts   |          |     |          |
|        | were not tax deductible?   | 6b       | Х   |          |
| 7      | Organizations that may receive deductible contributions under section 170(c).  |          |     |          |
| а      | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?  |          | Х   | <b>├</b> |
|        | If "Yes," did the organization notify the donor of the value of the goods or services provided?  | 7b       | Х   | <u> </u> |
| С      | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required  | 1_       |     | ۱        |
|        | to file Form 8282?   | 7c       |     | Х        |
|        | If "Yes," indicate the number of Forms 8282 filed during the year  | <b>-</b> |     |          |
| e      | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  | 7e       |     | X        |
| †      | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?   | 7f       |     | ├^       |
| g      | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?   | 7g       | х   |          |
| н<br>8 | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? <b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the | 7h       |     |          |
| 0      | sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?  | 8        |     |          |
| 9      | Sponsoring organizations maintaining donor advised funds.  |          |     |          |
| э<br>a | Did the sponsoring organization make any taxable distributions under section 4966?   | 9a       |     |          |
|        | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?  | 9b       |     |          |
| 10     | Section 501(c)(7) organizations. Enter:  | 35       |     |          |
| а      | Initiation fees and capital contributions included on Part VIII, line 12   |          |     |          |
| b      | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b  |          |     |          |
| 11     | Section 501(c)(12) organizations. Enter:   | 1        |     |          |
| а      | Gross income from members or shareholders 11a  |          |     |          |
| b      | Gross income from other sources (Do not net amounts due or paid to other sources against   | 1        |     |          |
|        | amounts due or received from them.)  |          |     |          |
| 12a    | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?   | 12a      |     |          |
|        | If "Yes," enter the amount of tax-exempt interest received or accrued during the year  |          |     |          |
| 13     | Section 501(c)(29) qualified nonprofit health insurance issuers.   |          |     |          |
| а      | Is the organization licensed to issue qualified health plans in more than one state?   | 13a      |     |          |
|        | Note. See the instructions for additional information the organization must report on Schedule O.  |          |     |          |
| b      | Enter the amount of reserves the organization is required to maintain by the states in which the   |          |     |          |
|        | organization is licensed to issue qualified health plans   |          |     |          |
| С      | Enter the amount of reserves on hand 13c   |          |     |          |
|        | Did the organization receive any payments for indoor tanning services during the tax year?   | 14a      |     | Х        |
| b      | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O  | 14b      |     | 1        |

Form 990 (2014)

AMERICAN HEART ASSOCIATION, INC.

13-5613797

Page
Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response

|     | to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.  |          | •    |          |
|-----|---|----------|------|----------|
|     | Check if Schedule O contains a response or note to any line in this Part VI   |          |      | X        |
| Sec | tion A. Governing Body and Management   |          |      |          |
|     |   |          | Yes  | No       |
| 1a  | Enter the number of voting members of the governing body at the end of the tax year   |          |      |          |
|     | If there are material differences in voting rights among members of the governing body, or if the governing   |          |      |          |
|     | body delegated broad authority to an executive committee or similar committee, explain in Schedule O.   |          |      |          |
| b   | Enter the number of voting members included in line 1a, above, who are independent 1b 21  |          |      |          |
| 2   | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other                                      |          |      |          |
|     | officer, director, trustee, or key employee?  | 2        |      | х        |
| 3   | Did the organization delegate control over management duties customarily performed by or under the direct supervision   |          |      |          |
|     | of officers, directors, or trustees, or key employees to a management company or other person?  | 3        |      | х        |
| 4   | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?  | 4        | Х    |          |
| 5   | Did the organization become aware during the year of a significant diversion of the organization's assets?  | 5        |      | Х        |
| 6   | Did the organization have members or stockholders?  | 6        |      | Х        |
| 7a  | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or  |          |      |          |
|     | more members of the governing body?   | 7a       |      | Х        |
| b   | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or  |          |      |          |
|     | persons other than the governing body?  | 7b       |      | Х        |
| 8   | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:                             |          |      |          |
| а   | The governing body?   | 8a       | X    |          |
|     | Each committee with authority to act on behalf of the governing body?   | 8b       | X    |          |
| 9   | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the  |          |      |          |
|     | organization's mailing address? If "Yes," provide the names and addresses in Schedule O   | 9        |      | Х        |
| Sec | tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)  |          |      |          |
|     |   |          | Yes  | No       |
| 10a | Did the organization have local chapters, branches, or affiliates?  | 10a      | Х    |          |
| b   | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates,                                    |          |      |          |
|     | and branches to ensure their operations are consistent with the organization's exempt purposes?   | 10b      | Х    |          |
|     | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?                                   | 11a      | Х    |          |
| b   | Describe in Schedule O the process, if any, used by the organization to review this Form 990.   |          |      |          |
| 12a | Did the organization have a written conflict of interest policy? If "No," go to line 13   | 12a      | X    |          |
|     | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?                           | 12b      | Х    |          |
| С   | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe  |          |      |          |
|     | in Schedule O how this was done   | 12c      | X    |          |
| 13  | Did the organization have a written whistleblower policy?   | 13       | X    |          |
| 14  | Did the organization have a written document retention and destruction policy?  | 14       | Х    |          |
| 15  | Did the process for determining compensation of the following persons include a review and approval by independent  |          |      |          |
|     | persons, comparability data, and contemporaneous substantiation of the deliberation and decision?   | 45-      | v    |          |
|     | The organization's CEO, Executive Director, or top management official  | 15a      | X    |          |
| D   | Other officers or key employees of the organization   | 15b      | Λ    |          |
| 16- | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).   |          |      |          |
| IUa | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?         | 16a      |      | х        |
| h   | taxable entity during the year?  If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation | IVa      |      |          |
| b   | in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's  |          |      |          |
|     |   | 16b      |      |          |
| Sec | exempt status with respect to such arrangements?tion C. Disclosure  | 100      |      | <u> </u> |
| 17  | List the states with which a copy of this Form 990 is required to be filed ▶SEE SCHEDULE 0  |          |      |          |
| 18  | Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) a                              | vailah   | ماد  |          |
| .0  | for public inspection. Indicate how you made these available. Check all that apply.   | . v unab | .0   |          |
|     | X Own website Another's website X Upon request Other (explain in Schedule O)  |          |      |          |
| 19  | Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and                               | l finan  | cial |          |
| .5  | statements available to the public during the tax year.   |          | ciui |          |
| 20  | State the name, address, and telephone number of the person who possesses the organization's books and records:   |          |      |          |
| _5  | SUNDER JOSHI CFO CAO - (214) 373-6300   |          |      |          |
|     | 7272 GREENVILLE AVENUE, DALLAS, TX 75231  |          |      |          |

## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

| Check this box if neither the organization n  (A) | (B)                 | Ĭ                              |                       |          | C)           | •                            |        | (D)                                   | (E)                              | (F)                      |
|---|---------------------|--------------------------------|-----------------------|----------|--------------|------------------------------|--------|---------------------------------------|----------------------------------|--------------------------|
| Name and Title                                    | Average             | (do                            | not c                 | Pos      |              | than                         | one    | Reportable                            | Reportable                       | Estimated                |
|   | hours per           | box                            | , unle                | ss pe    | rson         | is bot<br>or/trus            | h an   | compensation                          | compensation                     | amount of                |
|   | week                | _                              | CCI AI                | luau     | II ecit      | Ji/ ii us                    | 100)   | from                                  | from related                     | other                    |
|   | (list any hours for | Individual trustee or director |                       |          |              | _                            |        | the organization                      | organizations<br>(W-2/1099-MISC) | compensation<br>from the |
|   | related             | ee or (                        | stee                  |          |              | nsateo                       |        | (W-2/1099-MISC)                       | (** 2/ 1033 1/1100)              | organization             |
|   | organizations       | trust                          | Institutional trustee |          | oyee         | Highest compensated employee |        | ,                                     |                                  | and related              |
|   | below               | vidua                          | itutior               | Ser      | Key employee | nest c                       | ner    |                                       |                                  | organizations            |
|   | line)               | lndi                           | Inst                  | Officer  | Key          | High                         | Former |                                       |                                  |                          |
| (1) BERNARD P. DENNIS                             | 7.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| CHAIRMAN OF THE BOARD                             |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (2) ALVIN L. ROYSE, JD, CPA                       | 5.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| CHAIRMAN-ELECT                                    |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (3) RON W. HADDOCK                                | 4.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| IMMEDIATE PAST CHAIRMAN                           |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (4) ELLIOTT M. ANTMAN, MD, FAHA                   | 8.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| PRESIDENT   |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (5) MARIELL JESSUP, MD, FAHA                      | 5.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| IMMEDIATE PAST PRESIDENT                          |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (6) MARK A. CREAGER, MD, FAHA                     | 5.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| PRESIDENT-ELECT                                   |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (7) DAVID A. BUSH                                 | 6.00                |                                |                       |          |              |                              |        | _                                     | _                                | _                        |
| SECRETARY-TREASURER                               |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (8) MARY ANN BAUMAN, MD                           | 3.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| BOARD MEMBER                                      |                     | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (9) MARY CUSHMAN, MD, MSC, FAHA                   | 3.00                |                                |                       |          |              |                              |        |                                       |                                  |                          |
| BOARD MEMBER                                      | 2 22                | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (10) MITCHELL S. V. ELKIND, MD, MS                | 3.00                |                                |                       |          |              |                              |        |                                       | 0                                |                          |
| BOARD MEMBER                                      | 2 00                | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (11) ROBERT A. HARRINGTON, MD                     | 3.00                | ۱.,                            |                       |          |              |                              |        |                                       | 0                                |                          |
| BOARD MEMBER                                      | 3 00                | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (12) STEVEN R. HOUSER, PHD, FAHA<br>BOARD MEMBER  | 3.00                | X                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0                        |
| (13) MARSHA JONES                                 | 3.00                | ^                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| BOARD MEMBER                                      | 3.00                | X                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (14) WILLIE EDWARD LAWRENCE, JR., MD              | 3.00                | ^                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| BOARD MEMBER                                      | 3.00                | Х                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (15) PEGUI MARIDUENA, CMC, MBA                    | 3.00                | Δ.                             |                       |          |              |                              |        | · · ·                                 | 0.                               | 0.                       |
| BOARD MEMBER                                      | 3.00                | x                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (16) JOHN J. MULLENHOLZ                           | 3.00                | <del>  '`</del>                | $\vdash$              | $\vdash$ | $\vdash$     | $\vdash$                     | -      | · · · · · · · · · · · · · · · · · · · |                                  | 0.                       |
| BOARD MEMBER                                      |                     | x                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
| (17) BERTRAM L. SCOTT                             | 3.00                | <del> </del>                   |                       |          | $\vdash$     |                              |        | · · ·                                 |                                  |                          |
| BOARD MEMBER                                      |                     | x                              |                       |          |              |                              |        | 0.                                    | 0.                               | 0.                       |
|   | I                   |                                |                       |          |              | _                            |        |                                       | <u> </u>                         | 5 <b>000</b> (004.4)     |

432007 11-07-14 Form **990** (2014)

|   | EART ASSOCIAT   |                                | <u>,                                     </u> |         |              |                              |             |                                 | 13-5613797         | Page 8                |
|---|---|--------------------------------|---|---------|--------------|------------------------------|-------------|---------------------------------|--------------------|-----------------------|
| Part VII Section A. Officers, Directors, T  | Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued) |                                |   |         |              |                              |             |                                 |                    |                       |
| (A)   | (B)   |                                |   | (0      | <b>C</b> )   |                              |             | (D)                             | (E)                | (F)                   |
| Name and title                              | Average   | (do                            | not c   | Pos     |              |                              | one         | Reportable                      | Reportable         | Estimated             |
|   | hours per   | box                            | , unle  | ss pe   | rson         | is bot                       | h an        | compensation                    | compensation       | amount of             |
|   | week  |                                | cer an  | u a u   | recio        | )r/trus                      | lee)        | from                            | from related       | other                 |
|   | (list any hours for   | recto                          |   |         |              |                              |             | the                             | organizations      | compensation          |
|   | related   | or di                          | ee  |         |              | sated                        |             | organization<br>(W-2/1099-MISC) | (W-2/1099-MISC)    | from the organization |
|   | organizations   | rustee                         | l trust                                       |         | ee<br>ee     | ubeu                         |             | (88-2/1099-181130)              |                    | and related           |
|   | below   | dualt                          | tiona   | _       | nploy        | st cor                       | <u></u>     |                                 |                    | organizations         |
|   | line)   | Individual trustee or director | Institutional trustee                         | Officer | Key employee | Highest compensated employee | Former      |                                 |                    |                       |
| (18) DAVID A. SPINA                         | 3.00  |                                |   |         |              |                              |             |                                 |                    |                       |
| BOARD MEMBER                                |   | Х                              |   |         |              |                              |             | 0.                              | 0.                 | 0.                    |
| (19) BERNARD J. TYSON                       | 3.00  |                                |   |         |              |                              |             |                                 |                    |                       |
| BOARD MEMBER                                |   | Х                              |   |         |              |                              |             | 0.                              | 0.                 | 0.                    |
| (20) RAYMOND P. VARA, JR.                   | 3.00  |                                |   |         |              |                              |             |                                 |                    |                       |
| BOARD MEMBER                                |   | Х                              |   |         |              |                              |             | 0.                              | 0.                 | 0.                    |
| (21) JOHN J. WARNER, MD                     | 3.00  |                                |   |         |              |                              |             |                                 |                    |                       |
| BOARD MEMBER                                |   | Х                              |   |         |              |                              |             | 0.                              | 0.                 | 0.                    |
| (22) NANCY BROWN                            | 38.00   |                                |   |         |              |                              |             |                                 |                    |                       |
| CEO   |   |                                |   | Х       |              |                              |             | 1,112,293.                      | 0,                 | 331,134.              |
| (23) SUNDER JOSHI                           | 38.00   |                                |   |         |              |                              |             |                                 |                    |                       |
| CAO/CFO                                     |   |                                |   | Х       |              |                              |             | 536,353.                        | 0.                 | 63,478.               |
| (24) LYNNE DARROUZET                        | 38.00   |                                |   |         |              |                              |             |                                 |                    |                       |
| EVP - CORP SEC/GENERAL COUNSEL              |   |                                |   | Х       |              |                              |             | 286,472.                        | 0.                 | 45,043.               |
| (25) ROSE MARIE ROBERTSON                   | 38.00   |                                |   |         |              |                              |             |                                 |                    |                       |
| CHIEF SCIENCE OFFICER                       |   |                                |   | Х       |              |                              |             | 621,344.                        | 0.                 | 46,533.               |
| (26) MEIGHAN GIRGUS                         | 38.00   |                                |   |         |              |                              |             |                                 |                    |                       |
| CHIEF MISSION OFFICER                       |   |                                |   | Х       |              |                              |             | 537,254.                        | 0.                 | 51,601.               |
| 1b Sub-total                                |   |                                |   |         |              |                              | <b>&gt;</b> | 3,093,716.                      | 0.                 | 537,789.              |
| c Total from continuation sheets to Par     |   |                                |   |         |              |                              |             | 6,198,387.                      | 0.                 | 692,417.              |
| d Total (add lines 1b and 1c)               |   |                                |   |         |              |                              | <u> </u>    | 9,292,103.                      | 0.                 | 1,230,206.            |
| 2 Total number of individuals (including be | ut not limited to th  | ose                            | liste   | ed al   | hove         | e) wł                        | no re       | eceived more than \$100         | .000 of reportable |                       |

Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

405

|   | compensation from the organization   |   |     |    |
|---|--|---|-----|----|
|   |  |   | Yes | No |
| 3 | Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on       |   |     |    |
|   | line 1a? If "Yes," complete Schedule J for such individual   | 3 |     | Х  |
| 4 | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization   |   |     |    |
|   | and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual                        | 4 | Х   |    |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services |   |     |    |
|   | rendered to the organization? If "Yes," complete Schedule J for such person  | 5 |     | Х  |

#### Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address                                       | (B) Description of services                     | <b>(C)</b><br>Compensation |
|---|---|----------------------------|
| INFOCISION MANAGEMENT CORP  | ·   | ·                          |
| 325 SPRINGSIDE DRIVE, AKRON, OH 44333                               | TELEPHONE MARKETING                             | 3,000,563.                 |
| DANIEL J. EDELMAN, INC.   |   |                            |
| 21992 NETWORK PLACE, CHICAGO, IL 60673                              | PUBLIC RELATIONS                                | 2,433,366.                 |
| BRIGHAM & WOMENS PHYSICIANS ORG.                                    |   |                            |
| P.O. BOX 3684, BOSTON, MA 02241                                     | EDITORIAL SERVICES                              | 2,126,530.                 |
| ORACLE AMERICA INC  |   |                            |
| P.O. BOX 203448, DALLAS, TX 75320                                   | DATABASE AND IT SERVICES                        | 1,729,181.                 |
| ADVERTISING COUNCIL, 815 SECOND AVE., 9TH                           |   |                            |
| FLOOR, NEW YORK, NY 10017   | PUBLIC SERVICE ANNOUNCEMENTS                    | 1,697,229.                 |
| 2 Total number of independent contractors (including but not limite | d to those listed above) who received more than |                            |
| \$100,000 of compensation from the organization                     | 136   |                            |

| Form 990 AMERICAN HEA                               |  |                  |                       |               |              |                              |      |  | 13-5613/9  | <u> </u>   |
|---|--|------------------|-----------------------|---------------|--------------|------------------------------|------|--|--|--|
| Part VII   Section A. Officers, Directors, Tr       |  | mple             | oyee                  |               |              | High                         | est  |  |  |  |
| (A)<br>Name and title                               | (B)<br>Average   |                  |                       | Pos           | C)<br>ition  |                              |      | ( <b>D)</b><br>Reportable                                      | <b>(E)</b><br>Reportable   | <b>(F)</b><br>Estimated  |
|   | hours per week (list any hours for related organizations below line) | stee or director | lustitutional trustee | A all Officer | Key employee | Highest compensated employee | ely) | compensation<br>from<br>the<br>organization<br>(W-2/1099-MISC) | compensation<br>from related<br>organizations<br>(W-2/1099-MISC) | amount of<br>other<br>compensation<br>from the<br>organization<br>and related<br>organizations |
| (27) LESLIE UPTON                                   | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| CHIEF DEVELOPMENT OFFICER                           |  |                  |                       | Х             |              |                              |      | 503,462.   | 0.   | 54,283   |
| (28) MICHAEL WEAMER                                 | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| EVP   |  |                  |                       |               | Х            |                              |      | 602,842.   | 0.   | 55,122   |
| (29) DAVID MARKIEWICZ                               | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| EVP   |  |                  |                       |               | Х            |                              |      | 405,598.   | 0.   | 64,598   |
| (30) KATHLEEN ROGERS                                | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| EVP   |  |                  |                       |               | Х            |                              |      | 585,380.   | 0.   | 67,939   |
| (31) KEVIN HARKER                                   | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| EVP   |  |                  |                       |               | Х            |                              |      | 483,210.   | 0.   | 68,760   |
| (32) JEREMY BEAUCHAMP                               | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| EVP   |  |                  |                       |               | Х            |                              |      | 369,602.   | 0.   | 55,649   |
| (33) MIDGE EPSTEIN                                  | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| EVP   |  |                  |                       |               | Х            |                              |      | 531,237.   | 0.   | 55,122   |
| (34) NICOLE SAPIO                                   | 38.00  |                  |                       |               |              |                              |      |  |  |  |
| EVP   |  |                  |                       |               | Х            |                              |      | 415,832.   | 0.   | 54,712   |
| (35) JOHN J. MEINERS                                | 38.00  | 1                |                       |               |              |                              |      |  | _  |  |
| EVP - ECC PROGRAMS                                  | <b></b>  |                  |                       |               | Х            |                              |      | 434,948.   | 0.   | 57,193   |
| (36) GERALD JOHNSON                                 | 38.00  | -                |                       |               |              | l                            |      | 422 222  |  | 40.40  |
| CHIEF DIVERSITY OFFICER                             | 20.00  |                  |                       |               |              | Х                            |      | 433,338.   | 0.   | 19,102   |
| (37) EDUARDO SANCHEZ                                | 38.00  | -                |                       |               |              |                              |      | 385 000  | 0  | 10 240   |
| CHIEF MEDICAL OFFICER - PREVENTION                  | 30.00  |                  |                       |               |              | Х                            |      | 375,282.   | 0.   | 12,342   |
| (38) ROGER SANTONE                                  | 38.00  | 1                |                       |               |              | x                            |      | 256 224  | 0  | 10 70  |
| EVP - TECHNOLOGY                                    | 30.00  |                  |                       |               |              | ı X                          |      | 356,234.   | 0.   | 18,722   |
| (39) MARK SCHOEBERL EVP - ADVOCACY & HEALTH QUALITY | 38.00  | 1                |                       |               |              | x                            |      | 251 070  | 0.   | EE 127   |
| (40) TANYA EDWARDS                                  | 38.00  |                  |                       |               |              | ^                            |      | 351,970.   | 0.   | 55,122   |
| SVP - FIELD CAMPAIGNS                               | 30.00  |                  |                       |               |              | х                            |      | 349,452.   | 0.   | 53,751   |
|   |  |                  |                       |               |              |                              |      | ,  |  | ,  |
|   |  |                  |                       |               |              |                              |      |  |  |  |
|   |  | 1                |                       |               |              |                              |      |  |  |  |
|   |  |                  |                       | Ĭ             |              |                              |      |  |  |  |
|   |  |                  | $\vdash$              | $\vdash$      |              | $\vdash$                     |      |  |  |  |
|   |  |                  |                       |               |              |                              |      |  |  |  |
|   |  |                  |                       |               |              |                              |      |  |  |  |
|   |  |                  |                       |               |              |                              |      |  |  |  |
|   |  |                  |                       |               |              |                              |      |  |  |  |
| Total to Part VII, Section A, line 1c               | ·····  |                  |                       |               |              |                              |      | 6,198,387.   |  | 692,417  |
|   |  |                  |                       |               |              |                              |      |  |  |  |

Form 990 (2014) AMERICAN HE
Part VIII Statement of Revenue

|  |      | Check if Schedule O cont                | ains a response | or note to any lin | e in this Part VIII  |  |                                       |   |
|--|------|---|-----------------|--------------------|----------------------|--|---------------------------------------|---|
|  |      |   | ·               | j                  | (A)<br>Total revenue | (B) Related or exempt function revenue | <b>(C)</b> Unrelated business revenue | Revenue excluded<br>from tax under<br>sections<br>512 - 514 |
| nts<br>nts   | 1 a  | Federated campaigns                     | 1a              | 5,011,499.         |                      |  |                                       |   |
| ir al  | b    | Membership dues                         | 1b              |                    |                      |  |                                       |   |
| s, (<br>Am   | С    | Fundraising events                      | 1c              | 336,759,597.       |                      |  |                                       |   |
| la Et  | d    | Related organizations                   | 1d              |                    |                      |  |                                       |   |
| imi  | е    | Government grants (contribut            | ions) <b>1e</b> | 5,217,460.         |                      |  |                                       |   |
| rio<br>S   | f    | All other contributions, gifts, gran    | ts, and         |                    |                      |  |                                       |   |
| ig a   |      | similar amounts not included above      | ve 1f           | 303,686,333.       |                      |  |                                       |   |
| Contributions, Gifts, Grants and Other Similar Amounts | g    | Noncash contributions included in lines | 1a-1f: \$       | 88,532,277.        |                      |  |                                       |   |
| <u>8</u> 0   | h    | Total. Add lines 1a-1f                  |                 | <b></b>            | 650,674,889.         |  |                                       |   |
|  |      |   |                 | Business Code      |                      |  |                                       |   |
| Se   | 2 a  | CONFERENCES & SEMINARS                  |                 | 900099             | 25,301,017.          | 25,301,017.                            |                                       |   |
| ervi<br>Je   | b    | MEMBERSHIP DUES                         |                 | 900099             | 3,252,998.           | 3,252,998.                             |                                       |   |
| Program Service<br>Revenue                             | С    | ·                                       |                 |                    |                      |  |                                       |   |
| ran<br>Rev   | d    | l <u></u>                               |                 |                    |                      |  |                                       |   |
| og   | е    |   |                 |                    |                      |  |                                       |   |
| ۱ ۵  | f    | All other program service reve          |                 |                    |                      |  |                                       |   |
| $\rightarrow$  | g    | Total. Add lines 2a-2f                  |                 |                    | 28,554,015.          |  |                                       |   |
|  | 3    | Investment income (including            | •               |                    |                      |  |                                       |   |
|  |      | other similar amounts)                  |                 | ī                  | 20,656,401.          |  | 113,660.                              | 20,542,741.   |
|  | 4    | Income from investment of tax           |                 | · •                |                      |  |                                       |   |
|  | 5    | Royalties                               |                 |                    | 19,209,231.          |  |                                       | 19,209,231.   |
|  |      |   | (i) Real        | (ii) Personal      |                      |  |                                       |   |
|  |      | Gross rents                             | 1,364,276.      |                    |                      |  |                                       |   |
|  |      | Less: rental expenses                   | 134,458.        |                    |                      |  |                                       |   |
|  |      | Rental income or (loss)                 |                 |                    | 1 000 010            |  |                                       | 1 000 010   |
|  |      | Net rental income or (loss)             |                 |                    | 1,229,818.           |  |                                       | 1,229,818.  |
|  | 7 a  | Gross amount from sales of              | (i) Securities  | (ii) Other         |                      |  |                                       |   |
|  |      | •                                       | 243,054,300.    | 9,498,382.         |                      |  |                                       |   |
|  | р    | Less: cost or other basis               | 240 959 300     | 4 224 227          |                      |  |                                       |   |
|  |      | and sales expenses                      | 2 106 000       | 5 164 14E          |                      |  |                                       |   |
|  |      | Gain or (loss)                          |                 |                    | 7,360,145.           |  |                                       | 7,360,145.  |
|  |      | Net gain or (loss)                      |                 |                    | 7,300,143.           |  |                                       | 7,300,143.  |
| ne   | 0 a  | including \$ 336,759                    |                 |                    |                      |  |                                       |   |
| Other Reven  |      | contributions reported on line          |                 |                    |                      |  |                                       |   |
| ığ   |      | Part IV, line 18                        |                 | 22,472,958.        |                      |  |                                       |   |
| the l  | h    | Less: direct expenses                   |                 | 39,309,544.        |                      |  |                                       |   |
| δ  |      | Net income or (loss) from func          |                 |                    | -16,836,586.         |  |                                       | -16,836,586.  |
|  |      | Gross income from gaming ac             |                 |                    |                      |  |                                       |   |
|  | • •  | Part IV, line 19                        |                 | 333,723.           |                      |  |                                       |   |
|  | b    | Less: direct expenses                   |                 | <del></del>        |                      |  |                                       |   |
|  |      | Net income or (loss) from gam           |                 |                    | 289,282.             |  | 8,598.                                | 280,684.  |
|  |      | Gross sales of inventory, less          |                 |                    | ·                    |  | ·                                     |   |
|  |      | and allowances                          |                 | 100,101,883.       |                      |  |                                       |   |
|  | b    | Less: cost of goods sold                |                 | 31,420,130.        |                      |  |                                       |   |
|  |      | Net income or (loss) from sale          |                 |                    | 68,681,753.          | 68,681,753.                            |                                       |   |
| Ī  |      | Miscellaneous Revenu                    |                 | Business Code      |                      |  |                                       |   |
| İ  | 11 a | OTHER REVENUE                           |                 | 900099             | 2,358,046.           | 2,349,516.                             | 8,530.                                |   |
|  | b    | CHANGE IN VALUE OF SPL                  |                 | 900099             | 101,792.             | 101,792.                               |                                       |   |
|  | С    | LOSS ON UNCOLLECTIBLE                   |                 | 900099             | -2,003,644.          | -2,003,644.                            |                                       |   |
|  | d    | All other revenue                       | <del></del>     |                    |                      |  |                                       |   |
|  |      | <b>T</b>                                |                 | <b></b>            | 456,194.             |  |                                       |   |
|  | 12   | Total revenue. See instructions.        |                 | ▶ [                | 780,275,142.         | 97,683,432.                            | 130,788.                              | 31,786,033.   |

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### Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

| Do | Check if Schedule O contains a respons  | (A)            | (B)                      | (C) I                           | (D)                     |
|----|---|----------------|--------------------------|---------------------------------|-------------------------|
|    | 8b, 9b, and 10b of Part VIII.   | Total expenses | Program service expenses | Management and general expenses | Fundraising<br>expenses |
| 1  | Grants and other assistance to domestic organizations   |                |                          |                                 |                         |
|    | and domestic governments. See Part IV, line 21  | 147,986,554.   | 147,986,554.             |                                 |                         |
| 2  | Grants and other assistance to domestic   |                |                          |                                 |                         |
|    | individuals. See Part IV, line 22   | 423,248.       | 423,248.                 |                                 |                         |
| 3  | Grants and other assistance to foreign  |                |                          |                                 |                         |
|    | organizations, foreign governments, and foreign   |                |                          |                                 |                         |
|    | individuals. See Part IV, lines 15 and 16   | 111,050.       | 111,050.                 |                                 |                         |
| 4  | Benefits paid to or for members   |                |                          |                                 |                         |
| 5  | Compensation of current officers, directors,  |                |                          |                                 |                         |
|    | trustees, and key employees   | 9,139,173.     |                          | 9,139,173.                      |                         |
| 6  | Compensation not included above, to disqualified  |                |                          |                                 |                         |
|    | persons (as defined under section 4958(f)(1)) and   |                |                          |                                 |                         |
|    | persons described in section 4958(c)(3)(B)  |                |                          |                                 |                         |
| 7  | Other salaries and wages  | 236,382,117.   | 169,378,433.             | 24,730,807.                     | 42,272,877              |
| 8  | Pension plan accruals and contributions (include  |                |                          |                                 |                         |
|    | section 401(k) and 403(b) employer contributions)   | 18,340,570.    | 13,434,592.              | 1,509,964.                      | 3,396,014               |
| 9  | Other employee benefits   | 24,716,841.    | 17,615,072.              | 2,667,433.                      | 4,434,336               |
| 10 | Payroll taxes   | 18,136,727.    | 12,669,146.              | 2,380,738.                      | 3,086,843               |
| 11 | Fees for services (non-employees):  |                |                          |                                 |                         |
| а  | -   |                |                          |                                 |                         |
| b  | -   | 1,228,565.     |                          | 1,228,565.                      |                         |
|    | Accounting  | 910,634.       |                          | 910,634.                        |                         |
| d  | Lobbying  | 4,519,318.     | 4,519,318.               |                                 |                         |
| е  | Professional fundraising services. See Part IV, line 17   | 3,073,343.     |                          |                                 | 3,073,343               |
| f  | Investment management fees  | 1,816,340.     |                          | 1,816,340.                      |                         |
| g  | ,   |                |                          |                                 |                         |
|    | column (A) amount, list line 11g expenses on Sch 0.)  | 51,382,492.    | 48,932,403.              | 691,800.                        | 1,758,289               |
| 12 | Advertising and promotion   | 2,248,068.     | 2,248,068.               |                                 |                         |
| 13 | Office expenses   | 122,773,066.   | 105,551,901.             | 3,600,498.                      | 13,620,667              |
| 14 | Information technology  | 15,090,830.    | 11,016,896.              | 1,563,939.                      | 2,509,995               |
| 15 | Royalties   |                |                          |                                 |                         |
| 16 | Occupancy   | 15,758,630.    | 11,404,951.              | 1,711,390.                      | 2,642,289               |
| 17 | Travel  | 23,473,401.    | 14,951,936.              | 3,358,606.                      | 5,162,859               |
| 18 | Payments of travel or entertainment expenses  |                |                          |                                 |                         |
|    | for any federal, state, or local public officials   |                |                          |                                 |                         |
| 19 | Conferences, conventions, and meetings  | 22,860,927.    | 19,572,272.              | 1,213,944.                      | 2,074,711               |
| 20 | Interest  | 66,200.        |                          | 66,200.                         |                         |
| 21 | Payments to affiliates  | 40.00- 00:     |                          | 4                               | 4                       |
| 22 | Depreciation, depletion, and amortization   | 10,027,981.    | 7,514,991.               | 1,160,349.                      | 1,352,641               |
| 23 | Insurance   | 1,452,070.     | 552,880.                 | 854,530.                        | 44,660                  |
| 24 | Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line |                |                          |                                 |                         |
|    | 24e amount exceeds 10% of line 25, column (A)   |                |                          |                                 |                         |
|    | amount, list line 24e expenses on Schedule 0.)  |                |                          |                                 |                         |
| а  | OTHER EXPENSES  | 12,134,758.    | 6,116,542.               | 3,148,019.                      | 2,870,197               |
| b  | UBI TAX   | 3,496.         |                          | 3,496.                          |                         |
| С  |   |                |                          |                                 |                         |
| d  |   |                |                          |                                 |                         |
| е  | · — — –   |                |                          |                                 |                         |
| 25 | Total functional expenses. Add lines 1 through 24e  | 744,056,399.   | 594,000,253.             | 61,756,425.                     | 88,299,721              |
| 26 | <b>Joint costs.</b> Complete this line only if the organization                                       |                |                          |                                 |                         |
|    | reported in column (B) joint costs from a combined  |                |                          |                                 |                         |
|    | educational campaign and fundraising solicitation.  |                |                          |                                 |                         |
|    | Check here X if following SOP 98-2 (ASC 958-720)  | 204,635,969.   | 132,101,412.             | 25,041,276.                     | 47,493,281              |

Form **990** (2014)

## Form 990 (2014) Part X Balance Sheet

| Pal           | π λ | Balance Sneet  |                        |                            |                          |                |                           |
|---------------|-----|--|------------------------|----------------------------|--------------------------|----------------|---------------------------|
|               |     | Check if Schedule O contains a response or not       | te to ar               | ny line in this Part X     |                          |                |                           |
|               |     |  |                        |                            | (A)<br>Beginning of year |                | <b>(B)</b><br>End of year |
|               | 1   | Cash - non-interest-bearing                          |                        |                            | 35,750,850.              | 1              | 21,163,825.               |
|               | 2   | Savings and temporary cash investments               |                        |                            | 355,267.                 | 2              | 2,210,133.                |
|               | 3   | Pledges and grants receivable, net                   |                        | 160,411,086.               | 3                        | 177,438,732.   |                           |
|               | 4   |  | counts receivable, net |                            |                          | 4              | 16,143,788.               |
|               | 5   | Loans and other receivables from current and for     |                        |                            |                          |                |                           |
|               |     | trustees, key employees, and highest compensation    | ated er                | nployees. Complete         |                          |                |                           |
|               |     | Part II of Schedule L                                |                        |                            |                          | 5              |                           |
|               | 6   | Loans and other receivables from other disquali      |                        |                            |                          |                |                           |
|               |     | section 4958(f)(1)), persons described in section    | า 4958(                | c)(3)(B), and contributing |                          |                |                           |
|               |     | employers and sponsoring organizations of sec        | tion 50                | 1(c)(9) voluntary          |                          |                |                           |
| ţ             |     | employees' beneficiary organizations (see instr).    | Comp                   | lete Part II of Sch L      |                          | 6              |                           |
| Assets        | 7   | Notes and loans receivable, net                      |                        | _                          |                          | 7              |                           |
| ğ             | 8   | Inventories for sale or use                          |                        | 4,784,149.                 | 8                        | 4,250,605.     |                           |
|               | 9   | Prepaid expenses and deferred charges                |                        |                            | 10,659,794.              | 9              | 12,660,866.               |
|               | 10a | Land, buildings, and equipment: cost or other        |                        |                            |                          |                |                           |
|               |     | basis. Complete Part VI of Schedule D                | 10a                    | 205,610,189.               |                          |                |                           |
|               | b   | Less: accumulated depreciation                       |                        | 135,565,693.               | 70,452,967.              | 10c            | 70,044,496.               |
|               | 11  | Investments - publicly traded securities             | 724,159,258.           | 11                         | 764,668,930.             |                |                           |
|               | 12  | Investments - other securities. See Part IV, line    |                        |                            | 3,525,013.               | 12             | 3,357,524.                |
|               | 13  | Investments - program-related. See Part IV, line     |                        |                            |                          | 13             |                           |
|               | 14  | Intangible assets                                    |                        |                            |                          | 14             |                           |
|               | 15  | Other assets. See Part IV, line 11                   |                        |                            | 225,098,211.             | 15             | 219,127,178.              |
|               | 16  | Total assets. Add lines 1 through 15 (must equ       |                        | 1,248,170,996.             | 16                       | 1,291,066,077. |                           |
|               | 17  | Accounts payable and accrued expenses                |                        |                            | 64,245,060.              | 17             | 71,261,297.               |
|               | 18  | Grants payable                                       | 275,464,389.           | 18                         | 288,044,259.             |                |                           |
|               | 19  | Deferred revenue                                     |                        |                            | 7,303,746.               | 19             | 6,827,249.                |
|               | 20  | Tax-exempt bond liabilities                          |                        |                            | 1,025,000.               | 20             | 835,000.                  |
|               | 21  | Escrow or custodial account liability. Complete      | Part IV                | of Schedule D              |                          | 21             |                           |
| es            | 22  | Loans and other payables to current and former       | r office               | rs, directors, trustees,   |                          |                |                           |
| ≝             |     | key employees, highest compensated employee          | es, and                | disqualified persons.      |                          |                |                           |
| Liabilities   |     | Complete Part II of Schedule L                       |                        |                            |                          | 22             |                           |
| _             | 23  | Secured mortgages and notes payable to unrela        |                        |                            |                          | 23             |                           |
|               | 24  | Unsecured notes and loans payable to unrelate        | d third                | parties                    |                          | 24             |                           |
|               | 25  | Other liabilities (including federal income tax, pa  | •                      |                            |                          |                |                           |
|               |     | parties, and other liabilities not included on lines | 3 17-24                | ). Complete Part X of      |                          |                |                           |
|               |     | Schedule D   |                        |                            | 36,528,394.              | 25             | 35,900,329.               |
|               | 26  |  |                        |                            | 384,566,589.             | 26             | 402,868,134.              |
|               |     | Organizations that follow SFAS 117 (ASC 958          |                        | ck here ▶ 🔼 and            |                          |                |                           |
| ses           |     | complete lines 27 through 29, and lines 33 an        |                        |                            | 204 260 025              |                | 405 025 450               |
| <u>a</u>      | 27  | Unrestricted net assets                              | 394,368,837.           | 27                         | 405,837,459.             |                |                           |
| Fund Balances | 28  | Temporarily restricted net assets                    | 274,471,275.           | 28                         | 291,510,194.             |                |                           |
| <u>n</u>      | 29  |  |                        | 194,764,295.               | 29                       | 190,850,290.   |                           |
| Ę             |     | Organizations that do not follow SFAS 117 (A         | SC 95                  | B), check here             |                          |                |                           |
| S             |     | and complete lines 30 through 34.                    |                        |                            |                          |                |                           |
| set           | 30  | Capital stock or trust principal, or current funds   |                        |                            |                          | 30             |                           |
| Net Assets or | 31  | Paid-in or capital surplus, or land, building, or ed |                        |                            |                          | 31             |                           |
| Net           | 32  | Retained earnings, endowment, accumulated in         |                        | <b>—</b>                   | 060 604 405              | 32             | 000 107 040               |
| _             | 33  | Total net assets or fund balances                    |                        |                            | 863,604,407.             | 33             | 888,197,943.              |
|               | 34  | Total liabilities and net assets/fund balances       |                        |                            | 1,248,170,996.           | 34             | 1,291,066,077.            |

Form **990** (2014)

| Pa | rt XI Reconciliation of Net Assets  |            |     | ,     |      |
|----|---|------------|-----|-------|------|
|    |   |            |     |       | х    |
|    | Check if Schedule O contains a response or note to any line in this Part XI   |            |     |       |      |
| 1  | Total revenue (must equal Part VIII, column (A), line 12)   | 1          | 780 | ,275, | 142. |
| 2  | Total expenses (must equal Part IX, column (A), line 25)  | 2          | 744 | ,056, | 399. |
| 3  | Revenue less expenses. Subtract line 2 from line 1  | 3          |     | ,218, |      |
| 4  | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                             | 4          |     | ,604, |      |
| 5  | Net unrealized gains (losses) on investments  | 5          | -11 | ,421, | 762. |
| 6  | Donated services and use of facilities  | 6          |     |       |      |
| 7  | Investment expenses   | 7          |     |       |      |
| 8  | Prior period adjustments  | 8          |     |       |      |
| 9  | Other changes in net assets or fund balances (explain in Schedule O)  | 9          |     | -203, | 445. |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33,                    |            |     |       |      |
|    | column (B))   | 10         | 888 | ,197, | 943. |
| Pa | rt XII Financial Statements and Reporting   |            |     |       |      |
|    | Check if Schedule O contains a response or note to any line in this Part XII  |            |     |       |      |
|    |   |            |     | Yes   | No   |
| 1  | Accounting method used to prepare the Form 990: Cash X Accrual Other  |            |     |       |      |
|    | If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule        | O.         |     |       |      |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant?                       |            | 2a  |       | Х    |
|    | If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewe        | d on a     |     |       |      |
|    | separate basis, consolidated basis, or both:  |            |     |       |      |
|    | Separate basis Consolidated basis Both consolidated and separate basis  |            |     |       |      |
| b  | Were the organization's financial statements audited by an independent accountant?                                    |            | 2b  | Х     |      |
|    | If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate      | e basis,   |     |       |      |
|    | consolidated basis, or both:  |            |     |       |      |
|    | Separate basis Consolidated basis Both consolidated and separate basis  |            |     |       |      |
| С  | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the    | e audit,   |     |       |      |
|    | review, or compilation of its financial statements and selection of an independent accountant?                        |            | 2c  | Х     |      |
|    | If the organization changed either its oversight process or selection process during the tax year, explain in Sch     | edule O.   |     |       |      |
| За | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si    | ngle Audit |     |       |      |
|    | Act and OMB Circular A-133?   |            | 3a  | Х     |      |
| b  | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required | ired audit |     |       |      |
|    | or audits, explain why in Schedule O and describe any steps taken to undergo such audits                              |            | 3b  | Х     |      |

Form **990** (2014)

#### **SCHEDULE A**

Department of the Treasury

Internal Revenue Service

(Form 990 or 990-EZ)

**Public Charity Status and Public Support** 

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

AMERICAN HEART ASSOCIATION INC.

Employer identification number

13-5613797 Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.) 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). 7 X An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g. the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type III, Type III functionally integrated, or Type III non-functionally integrated supporting organization. f Enter the number of supported organizations Provide the following information about the supported organization(s). (iv) Is the organization (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of listed in your organization (described on lines 1-9 support (see other support (see governing document? above or IRC section Instructions) Instructions) Yes No (see instructions))

### Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

| Sec  | ction A. Public Support  |              |                     |  |                     |               |                |
|------|--|--------------|---------------------|--|---------------------|---------------|----------------|
| Cale | ndar year (or fiscal year beginning in)                            | (a) 2010     | <b>(b)</b> 2011     | (c) 2012                               | (d) 2013            | (e) 2014      | (f) Total      |
| 1    | Gifts, grants, contributions, and                                  |              |                     |  |                     |               |                |
|      | membership fees received. (Do not                                  |              |                     |  |                     |               |                |
|      | include any "unusual grants.")                                     | 514,026,122. | 532,997,854.        | 523,882,707.                           | 569,646,207.        | 653,927,887.  | 2,794,480,777. |
| 2    | Tax revenues levied for the organ-                                 |              |                     |  |                     |               |                |
|      | ization's benefit and either paid to                               |              |                     |  |                     |               |                |
|      | or expended on its behalf  |              |                     |  |                     |               |                |
| 3    | The value of services or facilities                                |              |                     |  |                     |               |                |
|      | furnished by a governmental unit to                                |              |                     |  |                     |               |                |
|      | the organization without charge                                    |              |                     |  |                     |               |                |
| 4    | Total. Add lines 1 through 3                                       | 514,026,122. | 532,997,854.        | 523,882,707.                           | 569,646,207.        | 653,927,887.  | 2,794,480,777. |
| 5    | The portion of total contributions                                 |              |                     |  |                     |               |                |
|      | by each person (other than a                                       |              |                     |  |                     |               |                |
|      | governmental unit or publicly                                      |              |                     |  |                     |               |                |
|      | supported organization) included                                   |              |                     |  |                     |               |                |
|      | on line 1 that exceeds 2% of the                                   |              |                     |  |                     |               |                |
|      | amount shown on line 11,   |              |                     |  |                     |               |                |
|      | column (f)   |              |                     |  |                     |               | 165,146,947.   |
|      | Public support. Subtract line 5 from line 4.                       |              |                     |  |                     |               | 2,629,333,830  |
|      | ction B. Total Support   | 1            |                     |  |                     |               |                |
|      | ndar year (or fiscal year beginning in)                            | (a) 2010     | <b>(b)</b> 2011     | (c) 2012                               | (d) 2013            | (e) 2014      | (f) Total      |
|      | Amounts from line 4  | 514,026,122. | 532,997,854.        | 523,882,707.                           | 569,646,207.        | 653,927,887.  | 2,794,480,777. |
| 8    | Gross income from interest,  |              |                     |  |                     |               |                |
|      | dividends, payments received on                                    |              |                     |  |                     |               |                |
|      | securities loans, rents, royalties                                 |              |                     |  |                     |               |                |
|      | and income from similar sources                                    | 36,207,978.  | 41,572,085.         | 43,394,143.                            | 46,072,477.         | 41,116,248.   | 208,362,931.   |
| 9    | Net income from unrelated business                                 |              |                     |  |                     |               |                |
|      | activities, whether or not the                                     |              |                     |  |                     |               |                |
|      | business is regularly carried on                                   |              |                     |  |                     |               |                |
| 10   | Other income. Do not include gain                                  |              |                     |  |                     |               |                |
|      | or loss from the sale of capital                                   | 4 207 610    | 2 040 000           | 1 571 360                              | C 040 C1F           | 447 710       | 11 117 414     |
|      | assets (Explain in Part VI.)                                       | 4,207,618.   | -2,049,898.         | 1,571,360.                             | 6,940,615.          | 447,719.      |                |
|      | <b>Total support.</b> Add lines 7 through 10                       | -1- / !      |                     |  |                     | 40            | 3,013,961,122  |
|      | Gross receipts from related activities,                            |              |                     | ــــــــــــــــــــــــــــــــــــــ |                     | 12            | 501,452,685.   |
| 13   | First five years. If the Form 990 is for                           | -            | first, second, thir | u, tourth, or titth ta                 | ax year as a sectio | n 50 i (c)(3) | ightharpoonup  |
| Sec  | organization, check this box and storection C. Computation of Publ |              | rcentage            |  |                     |               |                |
|      | Public support percentage for 2014 (I                              |              |                     | volumn (fl)                            |                     | 14            | 87.24 %        |
|      | Public support percentage from 2013                                |              |                     |  |                     | 15            | 88.29 %        |
|      | 33 1/3% support test - 2014. If the o                              |              |                     |  |                     |               |                |
| 100  | stop here. The organization qualifies                              | •            |                     | •                                      |                     | ,             |                |
| h    | 33 1/3% support test - 2013. If the o                              |              |                     |  |                     |               |                |
|      | and <b>stop here.</b> The organization qual                        |              |                     |  |                     |               |                |
| 172  | 10% -facts-and-circumstances tes                                   |              |                     |  |                     |               |                |
| .,,  | and if the organization meets the "fac                             | ū            |                     |  |                     |               | •              |
|      | meets the "facts-and-circumstances"                                |              | •                   | •                                      | •                   | •             |                |
| h    | 10% -facts-and-circumstances tes                                   |              |                     |  |                     |               |                |
| ~    | more, and if the organization meets the                            | •            |                     |  |                     | •             |                |
|      | organization meets the "facts-and-circ                             |              |                     |  | -                   |               | <b>▶</b> □     |
| 18   | Private foundation. If the organization                            |              | •                   | •                                      | ,                   |               | ıs             |

### Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Se   | ction A. Public Support  | now, produce com  | proto r ure m.       |                        |                    |                         |                  |
|------|--|-------------------|----------------------|------------------------|--------------------|-------------------------|------------------|
|      | endar year (or fiscal year beginning in)   | (a) 2010          | <b>(b)</b> 2011      | (c) 2012               | (d) 2013           | (e) 2014                | (f) Total        |
|      | Gifts, grants, contributions, and  |                   | , ,                  | , ,                    |                    |                         | ,,               |
|      | membership fees received. (Do not  |                   |                      |                        |                    |                         |                  |
|      | include any "unusual grants.")   |                   |                      |                        |                    |                         |                  |
| 2    | Gross receipts from admissions,  |                   |                      |                        |                    |                         |                  |
|      | merchandise sold or services per-  |                   |                      |                        |                    |                         |                  |
|      | formed, or facilities furnished in any activity that is related to the               |                   |                      |                        |                    |                         |                  |
|      | organization's tax-exempt purpose  |                   |                      |                        |                    |                         |                  |
| 3    | Gross receipts from activities that  |                   |                      |                        |                    |                         |                  |
|      | are not an unrelated trade or bus-   |                   |                      |                        |                    |                         |                  |
|      | iness under section 513  |                   |                      |                        |                    |                         |                  |
| 4    | Tax revenues levied for the organ-   |                   |                      |                        |                    |                         |                  |
|      | ization's benefit and either paid to   |                   |                      |                        |                    |                         |                  |
|      | or expended on its behalf  |                   |                      |                        |                    |                         |                  |
| 5    | The value of services or facilities  |                   |                      |                        |                    |                         |                  |
|      | furnished by a governmental unit to  |                   |                      |                        |                    |                         |                  |
|      | the organization without charge  |                   |                      |                        |                    |                         |                  |
| 6    | Total. Add lines 1 through 5   |                   |                      |                        |                    |                         |                  |
|      | Amounts included on lines 1, 2, and  |                   |                      |                        |                    |                         |                  |
|      | 3 received from disqualified persons   |                   |                      |                        |                    |                         |                  |
| k    | Amounts included on lines 2 and 3 received   |                   |                      |                        |                    |                         |                  |
|      | from other than disqualified persons that exceed the greater of \$5,000 or 1% of the |                   |                      |                        |                    |                         |                  |
|      | amount on line 13 for the year   |                   |                      |                        |                    |                         |                  |
| (    | Add lines 7a and 7b  |                   |                      |                        |                    |                         |                  |
| 8    | Public support (Subtract line 7c from line 6.)                                       |                   |                      |                        |                    |                         |                  |
| Se   | ction B. Total Support   |                   |                      |                        |                    |                         |                  |
| Cale | endar year (or fiscal year beginning in) 🖊   | <b>(a)</b> 2010   | <b>(b)</b> 2011      | (c) 2012               | (d) 2013           | (e) 2014                | (f) Total        |
| 9    | Amounts from line 6  |                   |                      |                        |                    |                         |                  |
| 10a  | Gross income from interest,  |                   |                      |                        |                    |                         |                  |
|      | dividends, payments received on securities loans, rents, royalties                   |                   |                      |                        |                    |                         |                  |
|      | and income from similar sources  |                   |                      |                        |                    |                         |                  |
| k    | Unrelated business taxable income  |                   |                      |                        |                    |                         |                  |
|      | (less section 511 taxes) from businesses   |                   |                      |                        |                    |                         |                  |
|      | acquired after June 30, 1975   |                   |                      |                        |                    |                         |                  |
|      | Add lines 10a and 10b  |                   |                      |                        |                    |                         |                  |
| 11   | Net income from unrelated business   |                   |                      |                        |                    |                         |                  |
|      | activities not included in line 10b, whether or not the business is                  |                   |                      |                        |                    |                         |                  |
|      | regularly carried on   |                   |                      |                        |                    |                         |                  |
| 12   | Other income. Do not include gain  |                   |                      |                        |                    |                         |                  |
|      | or loss from the sale of capital assets (Explain in Part VI.)                        |                   |                      |                        |                    |                         |                  |
| 13   | Total support. (Add lines 9, 10c, 11, and 12.)                                       |                   |                      |                        |                    |                         |                  |
| 14   | First five years. If the Form 990 is for   | the organization' | s first, second, thi | rd, fourth, or fifth t | ax year as a secti | on 501(c)(3) organi:    | zation,          |
|      | check this box and stop here   |                   |                      |                        |                    |                         | <b>_</b>         |
|      | ction C. Computation of Publi  |                   |                      |                        |                    | 1 1                     |                  |
|      | Public support percentage for 2014 (li   |                   |                      |                        |                    | 15                      | <u>%</u>         |
|      | Public support percentage from 2013  |                   |                      |                        |                    | 16                      | <u>%</u>         |
|      | ction D. Computation of Inves  |                   |                      |                        |                    | 14-1                    |                  |
|      | Investment income percentage for 20  |                   |                      |                        |                    | 17                      | <u>%</u>         |
|      | Investment income percentage from 2  |                   |                      |                        |                    | 18   22.1/20/ and line: | %<br>17 is not   |
| 198  | a 33 1/3% support tests - 2014. If the   |                   |                      |                        |                    |                         |                  |
|      | more than 33 1/3%, check this box ar   |                   |                      |                        |                    |                         |                  |
| k    | 33 1/3% support tests - 2013. If the   | •                 |                      |                        | •                  | •                       |                  |
| 20   | line 18 is not more than 33 1/3%, che <b>Private foundation.</b> If the organization |                   |                      |                        |                    |                         | ······· <b>[</b> |

Page 4

### Part IV | Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

#### Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No" describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in *part VI* how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)
  (B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b** Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations; (b) individuals that are part of the charitable class benefited by one or more of its supported organizations; or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in part VI.
- b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer (b) below.
  - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

|     | Yes | No |
|-----|-----|----|
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|        | 7 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -   | 313737       | Г   | age 3  |
|--------|---|--------------|-----|--|
| Ра     | rt IV   Supporting Organizations (continued)  |              | 1., |  |
| 44     | Has the exampleation accounted a gift or contribution from any of the following persons?  |              | Yes | No   |
| 11     | Has the organization accepted a gift or contribution from any of the following persons?  A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)               |              |     |  |
| а      | below, the governing body of a supported organization?  | 11a          |     |  |
| h      | A family member of a person described in (a) above?   | 11b          |     | $\vdash$   |
|        |   | 11c          |     | <del>                                     </del> |
| _      | A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.   | 1110         |     |  |
| 000    | tion b. Type roupporting organizations  |              | Yes | No   |
| 1      | Did the directors, trustees, or membership of one or more supported organizations have the power to   |              | 163 | NO   |
| •      | regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the  |              |     |  |
|        | tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or   |              |     |  |
|        | controlled the organization's activities. If the organization had more than one supported organization,   |              |     |  |
|        | describe how the powers to appoint and/or remove directors or trustees were allocated among the supported   |              |     |  |
|        | organizations and what conditions or restrictions, if any, applied to such powers during the tax year.  | 1            |     |  |
| 2      | Did the organization operate for the benefit of any supported organization other than the supported   | •            |     |  |
| 2      | organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in  |              |     |  |
|        | Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,   |              |     |  |
|        | supervised, or controlled the supporting organization.  | 2            |     |  |
| Sac    | stion C. Type II Supporting Organizations   |              |     |  |
| 000    | tion of Type in Supporting Organizations  |              | Yes | No   |
| 4      | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors  |              | 165 | NO   |
| 1      | or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control   |              |     |  |
|        | · · · · · · · · · · · · · · · · · · ·   |              |     |  |
|        | or management of the supporting organization was vested in the same persons that controlled or managed  | 4            |     |  |
| 800    | the supported organization(s). etion D. Type III Supporting Organizations   | 1            |     |  |
| 360    | tion b. Type in Supporting Organizations  |              | Yes | No   |
| 4      | Did the examination provide to each of its supported examinations, but the last day of the fifth month of the   |              | res | No   |
| 1      | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the  |              |     |  |
|        | organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax   |              |     |  |
|        | year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the   | 4            |     |  |
| 0      | organization's governing documents in effect on the date of notification, to the extent not previously provided?  | 1            |     |  |
| 2      | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported  |              |     |  |
|        | organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in part VI how  |              |     |  |
| 2      | the organization maintained a close and continuous working relationship with the supported organization(s).   | 2            |     |  |
| 3      | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's    |              |     |  |
|        |   |              |     |  |
|        | income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's  | 3            |     |  |
| 800    | supported organizations played in this regard. stion E. Type III Functionally-Integrated Supporting Organizations   |              |     |  |
| 1      | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instruction)   |              |     |  |
| '<br>a | The organization satisfied the Activities Test. Complete line 2 below.  | ns):         |     |  |
| b      | The organization is the parent of each of its supported organizations. <i>Complete line 3</i> below.  |              |     |  |
| C      | The organization is the parent of each of its supported organizations. Complete line 3 sciow.  The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see     | instructions | e)  |  |
| 2      |   | monactions   | Yes | No   |
| a      | Activities Test. Answer (a) and (b) below.  Did substantially all of the organization's activities during the tax year directly further the exempt purposes of  |              | 163 | 140  |
| а      | the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify  |              |     |  |
|        |   |              |     |  |
|        | those supported organizations and explain  Now these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined |              |     |  |
|        | that these activities constituted substantially all of its activities.  | 2a           |     |  |
| h      | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more   | Zu           |     |  |
|        | of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the  |              |     |  |
|        | reasons for the organization's position that its supported organization(s) would have engaged in these  |              |     |  |
|        | activities but for the organization's involvement.  | 2b           |     |  |
| 3      | Parent of Supported Organizations. Answer (a) and (b) below.  | 20           |     |  |
|        | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or   |              |     |  |
| а      | trustees of each of the supported organizations? Provide details in <i>Part VI</i> .  | 3a           |     |  |
| h      | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each   | Ja           |     |  |
| b      | of its supported organizations? If "Yes," describe in <i>Part VI</i> the role played by the organization in this regard.  | 3b           |     |  |
|        | or no supported organization or in 100, describe in Part VI the role played by the organization in this regard.   |              | 1   |  |

| Pai  | Type III Non-Functionally Integrated 509(a)(3) Supportin                        | g Orga     | ınizations                          |   |
|------|---|------------|-------------------------------------|---|
| 1    | Check here if the organization satisfied the Integral Part Test as a qualifying | g trust or | n Nov. 20, 1970. <b>See instr</b> i | uctions. All                            |
|      | other Type III non-functionally integrated supporting organizations must co     | mplete S   | Sections A through E.               |   |
| Sect | ion A - Adjusted Net Income   |            | (A) Prior Year                      | (B) Current Year<br>(optional)          |
| 1    | Net short-term capital gain   | 1          |                                     | (= = ================================== |
| 2    | Recoveries of prior-year distributions  | 2          |                                     |   |
| 3    | Other gross income (see instructions)   | 3          |                                     |   |
| 4    | Add lines 1 through 3   | 4          |                                     |   |
| 5    | Depreciation and depletion  | 5          |                                     |   |
| 6    | Portion of operating expenses paid or incurred for production or                |            |                                     |   |
|      | collection of gross income or for management, conservation, or                  |            |                                     |   |
|      | maintenance of property held for production of income (see instructions)        | 6          |                                     |   |
| 7    | Other expenses (see instructions)   | 7          |                                     |   |
| 8    | Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)                     | 8          |                                     |   |
| Sect | ion B - Minimum Asset Amount  |            | (A) Prior Year                      | (B) Current Year<br>(optional)          |
| 1    | Aggregate fair market value of all non-exempt-use assets (see                   |            |                                     |   |
|      | instructions for short tax year or assets held for part of year):               |            |                                     |   |
| а    | Average monthly value of securities   | 1a         |                                     |   |
| b    | Average monthly cash balances   | <b>1</b> b |                                     |   |
| С    | Fair market value of other non-exempt-use assets                                | 1c         |                                     |   |
| d    | Total (add lines 1a, 1b, and 1c)  | 1d         |                                     |   |
| е    | Discount claimed for blockage or other  |            |                                     |   |
|      | factors (explain in detail in <b>Part VI</b> ):                                 |            |                                     |   |
| 2    | Acquisition indebtedness applicable to non-exempt-use assets                    | 2          |                                     |   |
| 3    | Subtract line 2 from line 1d  | 3          |                                     |   |
| 4    | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,    |            |                                     |   |
|      | see instructions).  | 4          |                                     |   |
| _5   | Net value of non-exempt-use assets (subtract line 4 from line 3)                | 5          |                                     |   |
| 6    | Multiply line 5 by .035   | 6          |                                     |   |
| 7    | Recoveries of prior-year distributions  | 7          |                                     |   |
| _8_  | Minimum Asset Amount (add line 7 to line 6)                                     | 8          |                                     |   |
| Sect | ion C - Distributable Amount  |            |                                     | Current Year                            |
| 1    | Adjusted net income for prior year (from Section A, line 8, Column A)           | 1          |                                     |   |
| 2    | Enter 85% of line 1   | 2          |                                     |   |
| 3    | Minimum asset amount for prior year (from Section B, line 8, Column A)          | 3          |                                     |   |
| 4    | Enter greater of line 2 or line 3   | 4          |                                     |   |
| 5    | Income tax imposed in prior year  | 5          |                                     |   |
| 6    | Distributable Amount. Subtract line 5 from line 4, unless subject to            |            |                                     |   |
|      | emergency temporary reduction (see instructions)                                | 6          |                                     |   |
| 7    | Check here if the current year is the organization's first as a non-functional  | y-integra  | ted Type III supporting org         | anization (see                          |
|      | instructions).  |            |                                     |   |

Schedule A (Form 990 or 990-EZ) 2014

| Par      | t V     | Type III Non-Functionally Integrated 509                  | (a)(3) Supporting Orga        | anizations (continued)      |                               |
|----------|---------|---|-------------------------------|-----------------------------|-------------------------------|
| Secti    | ion D - | Distributions   |                               | ,                           | Current Year                  |
| 1        | Amou    | nts paid to supported organizations to accomplish exer    | mpt purposes                  |                             |                               |
| 2        | Amou    | nts paid to perform activity that directly furthers exemp |                               |                             |                               |
|          | organ   | izations, in excess of income from activity               |                               |                             |                               |
| 3        | Admir   | nistrative expenses paid to accomplish exempt purpose     | es of supported organization  | ns                          |                               |
| 4        | Amou    | nts paid to acquire exempt-use assets                     |                               |                             |                               |
| 5        | Qualif  | ied set-aside amounts (prior IRS approval required)       |                               |                             |                               |
| 6        | Other   | distributions (describe in Part VI). See instructions.    |                               |                             |                               |
| 7        | Total   | annual distributions. Add lines 1 through 6.              |                               |                             |                               |
| 8        | Distrib | outions to attentive supported organizations to which the | ne organization is responsive | e                           |                               |
|          |         | de details in <b>Part VI</b> ). See instructions.         | J                             |                             |                               |
| 9        | \i      | outable amount for 2014 from Section C, line 6            |                               |                             |                               |
|          |         | B amount divided by Line 9 amount                         |                               |                             |                               |
|          |         |   | (i)                           | (ii)                        | (iii)                         |
| Secti    | ion E - | Distribution Allocations (see instructions)               | Excess Distributions          | Underdistributions Pre-2014 | Distributable Amount for 2014 |
| 1        | Distrib | outable amount for 2014 from Section C, line 6            |                               |                             |                               |
|          |         | rdistributions, if any, for years prior to 2014           |                               |                             |                               |
| _        |         | onable cause required-see instructions)                   |                               |                             |                               |
| 3        | `       | s distributions carryover, if any, to 2014:               |                               |                             |                               |
| a        | LAGGG   | S distributions sarry over, if any, to 2014.              |                               |                             |                               |
| b        |         |   |                               |                             |                               |
| c        |         |   |                               |                             |                               |
| d        |         |   |                               |                             |                               |
|          | From    | 2013  |                               |                             |                               |
|          |         | of lines 3a through e                                     |                               |                             |                               |
|          |         | ed to underdistributions of prior years                   |                               |                             |                               |
|          |         | ed to 2014 distributable amount                           |                               |                             |                               |
|          |         | over from 2009 not applied (see instructions)             |                               |                             |                               |
| ÷        |         | inder. Subtract lines 3g, 3h, and 3i from 3f.             |                               |                             |                               |
| 4        |         | outions for 2014 from Section D,                          |                               |                             |                               |
| _        | line 7: |   |                               |                             |                               |
|          |         | ed to underdistributions of prior years                   |                               |                             |                               |
|          |         | ed to 2014 distributable amount                           |                               |                             |                               |
|          |         | inder. Subtract lines 4a and 4b from 4.                   |                               |                             |                               |
|          |         | ining underdistributions for years prior to 2014, if      |                               |                             |                               |
| J        |         | Subtract lines 3g and 4a from line 2 (if amount           |                               |                             |                               |
|          |         | er than zero, see instructions).                          |                               |                             |                               |
| 6        |         | ining underdistributions for 2014. Subtract lines 3h      |                               |                             |                               |
| J        |         | b from line 1 (if amount greater than zero, see           |                               |                             |                               |
|          |         |   |                               |                             |                               |
| 7        |         | ctions). ss distributions carryover to 2015. Add lines 3j |                               |                             |                               |
| '        | and 4   | -   |                               |                             |                               |
| Q        |         | c.<br>down of line 7:                                     |                               |                             |                               |
| 8        | break   | down of lifte 7.  |                               |                             |                               |
| <u>a</u> |         |   |                               |                             |                               |
| <u>b</u> |         |   |                               |                             |                               |
| <u>C</u> |         | on from 2012  |                               |                             |                               |
|          |         | ss from 2013  |                               |                             |                               |
| е        | Exces   | ss from 2014  |                               |                             |                               |

Schedule A (Form 990 or 990-EZ) 2014

| Schedule A (Form 990 or 990-EZ) 2014 AMERICAN HEART ASSOCIATION, INC. 13-5613797 Page 8  |
|--|
| Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12.  Also complete this part for any additional information. (See instructions). |
|  |
| SCHEDULE A, PART II, SECTION B, LINE 10 - OTHER INCOME   |
| OTHER INCOME IS GENERALLY COMPRISED OF THE CHANGE IN VALUE OF SPLIT  |
| INTEREST AGREEMENTS AND UNCOLLECTIBLE ACCOUNTS RECEIVABLE.   |
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#### Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

#### **Schedule of Contributors**

Attach to Form 990, Form 990-EZ, or Form 990-PF.
 Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="https://www.irs.gov/form990">www.irs.gov/form990</a>.

OMB No. 1545-0047

Name of the organization

Employer identification number

AMERICAN HEART ASSOCIATION, INC. 13-5613797 Organization type (check one): Filers of: Section: 501(c)( 3 ) (enter number) organization Form 990 or 990-EZ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization 501(c)(3) exempt private foundation Form 990-PF 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. General Rule For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. Special Rules For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III. ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year \_\_\_\_\_\_\_ 
\$ \_

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization Employer identification number

AMERICAN HEART ASSOCIATION, INC. 13-5613797

| Part I     | Contributors (see instructions). Use duplicate copies of Part I if additional | itional space is needed.   |   |
|------------|--|----------------------------|---|
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d) Type of contribution  |
| 1          | THE ADVERTISING COUNCIL, INC.  815 SECOND AVENUE, NINTH FLOOR  NEW YORK, NY 10017  | \$\$                       | Person Payroll Noncash X  (Complete Part II for noncash contributions.) |
| (a)        | (b)  | (c)                        | (d)   |
| No. 2      | Name, address, and ZIP + 4  THE ROBERT WOOD JOHNSON FOUNDATION  P.O. BOX 2316  PRINCETON, NJ 08543   | * \$ 13,300,000.           | Person X Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c) Total contributions    | (d) Type of contribution  |
|            |  |                            | Person Payroll Noncash (Complete Part II for noncash contributions.)    |
| (a)        | (b)  | (c)                        | (d)   |
| No.        | Name, address, and ZIP + 4   | Total contributions  \$    | Person Payroll Noncash (Complete Part II for noncash contributions.)    |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c) Total contributions    | (d) Type of contribution  |
| 110.       | Humo, dudi 635, dilu Eir T T   | \$                         | Person Payroll Noncash (Complete Part II for noncash contributions.)    |
| (a)        | (b)  | (c)                        | (d)<br>Type of contribution   |
| No.        | Name, address, and ZIP + 4   | Total contributions        | Person Payroll Noncash (Complete Part II for noncash contributions.)    |

Name of organization

Employer identification number

AMERICAN HEART ASSOCIATION, INC.

13-5613797

| Part II                      | Noticasti Property (see instructions). Use duplicate copies of Part II | i if additional space is needed.               |                      |
|------------------------------|--|--|----------------------|
| (a)<br>No.<br>from<br>Part I | (b)  Description of noncash property given                             | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| 1                            | ADVERTISING MATERIALS  | _  |                      |
|                              |  | \$   | 06/30/15             |
| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given                           | (c) FMV (or estimate) (see instructions)       | (d)<br>Date received |
|                              |  |  |                      |
| (a)<br>No.<br>from<br>Part I | (b)  Description of noncash property given                             | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                              |  |  |                      |
| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given                           | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                              |  |  |                      |
| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given                           | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                              |  |  |                      |
| (a)<br>No.<br>from<br>Part I | (b)  Description of noncash property given                             | (c) FMV (or estimate) (see instructions)       | (d)<br>Date received |
|                              |  | <br><br>                                       |                      |

| lame of orga              | anization                     |   | Employer identification   | number      |
|---------------------------|-------------------------------|---|---|-------------|
| MERICAN I                 | HEART ASSOCIATION, INC.       |   | 13-5613797  |             |
| Part III                  |                               | s, charitable, etc., contributions of \$1,000 o | d in section 501(c)(7), (8), or (10) that total more than owing line entry. For organizations | \$1,000 for |
| (a) No.<br>from           | (b) Purpose of gift           | (c) Use of gift                                 | (d) Description of how gift is h  | neld        |
| Part I                    |                               |   |   |             |
| -                         |                               | (e) Transfer of git                             |   |             |
| -                         | Transferee's name, address, a | nd ZIP + 4                                      | Relationship of transferor to transferee  |             |
| (a) No.                   | 425                           | ())   |   |             |
| Part I                    | (b) Purpose of gift           | (c) Use of gift                                 | (d) Description of how gift is h  | neid        |
|                           |                               | (e) Transfer of git                             | ft  |             |
|                           | Transferee's name, address, a |   | Relationship of transferor to transferee  |             |
| -                         |                               |   |   |             |
| (a) No.<br>from<br>Part I | (b) Purpose of gift           | (c) Use of gift                                 | (d) Description of how gift is h  | neld        |
|                           |                               |   |   |             |
|                           |                               | (e) Transfer of git                             | ft  |             |
|                           | Transferee's name, address, a | nd ZIP + 4                                      | Relationship of transferor to transferee  |             |
| (a) No.                   |                               |   |   |             |
| from<br>Part I            | (b) Purpose of gift           | (c) Use of gift                                 | (d) Description of how gift is h  | neld        |
|                           |                               | (a) Transfer of all                             |   |             |
|                           | Transferee's name, address, a | (e) Transfer of git                             | Relationship of transferor to transferee  |             |
|                           |                               |   |   |             |
|                           |                               |   |   |             |

#### **SCHEDULE C**

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

### **Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047
2014

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

| <ul> <li>Section 501(c)(4), (5), or (6) organiza</li> </ul>   | tions: Complete Part III.  |   |  |   |
|---|--|---|--|---|
| Name of organization  | nonor complete r arrini  |   | Emp  | oloyer identification number  |
|   | EART ASSOCIATION, INC.   |   |  | 13-5613797  |
| Part I-A Complete if the org  | ganization is exempt und   | ler section 501(c)  | or is a section 527  | organization.   |
| <ol> <li>Provide a description of the organia</li> <li>Political expenditures</li> <li>Volunteer hours</li> </ol>   |  |   | <b>&gt;</b>  | \$  |
| Part I-B Complete if the org  | ganization is exempt und   | ler section 501(c)  | (3).   |   |
| 1 Enter the amount of any excise tax  | incurred by the organization und   | der section 4955  | <b>&gt;</b>  | \$  |
| 2 Enter the amount of any excise tax  | incurred by organization manage  | ers under section 4955  | 5 <b>&gt;</b>  | \$  |
| 3 If the organization incurred a section  |  |   |  |   |
| 4a Was a correction made?   |  |   |  | Yes No  |
| b If "Yes," describe in Part IV.  Part I-C   Complete if the ord  | ganization is exempt und   | lor postion E01/a   | eveent eastion 501   | (0)(3)  |
| 1 Enter the amount directly expended  |  |   | <u> </u>   |   |
| <ul> <li>2 Enter the amount of the filing organ exempt function activities</li> <li>3 Total exempt function expenditures line 17b</li> <li>4 Did the filing organization file Form</li> <li>5 Enter the names, addresses and er made payments. For each organization tributions received that were propolitical action committee (PAC). If</li> </ul> | s. Add lines 1 and 2. Enter here a second for this year?  mployer identification number (El attion listed, enter the amount pai omptly and directly delivered to | her organizations for s<br>and on Form 1120-POL<br>N) of all section 527 po<br>d from the filing organi<br>a separate political org | ection 527  political organizations to who zation's funds. Also enter ganization, such as a separation separation, such as a separation. | \$ Yes No ich the filing organization the amount of political   |
| (a) Name  | (b) Address  | (c) EIN   | (d) Amount paid from<br>filing organization's<br>funds. If none, enter -0-   | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization.  If none, enter -0 |
|   |  |   |  |   |
|   |  |   |  |   |
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| Schedule C (Form 990 or 990-EZ) 2014                       | AMERICAN HEART         | ASSOCIATION, INC.          |                           | 13-56                    |                      |
|--|------------------------|----------------------------|---------------------------|--------------------------|----------------------|
| Part II-A Complete if the org                              | anization is exe       | empt under section         | n 501(c)(3) and fil       | ed Form 5768 (e          | election under       |
| section 501(h)).   |                        |                            |                           |                          |                      |
| A Check 🕨 📖 if the filing organiza                         | tion belongs to an af  | filiated group (and list i | n Part IV each affiliated | group member's nan       | ne, address, EIN,    |
|  | e of excess lobbying   | g expenditures).           |                           |                          |                      |
| B Check ▶ ☐ if the filing organiza                         | tion checked box A     | and "limited control" pr   | ovisions apply.           |                          | •                    |
| Limit  | ts on Lobbying Exp     | enditures                  |                           | (a) Filing               | (b) Affiliated group |
|  |                        | ounts paid or incurred.    | )                         | organization's<br>totals | totals               |
|  |                        |                            |                           | 1010.0                   |                      |
| 1a Total lobbying expenditures to influ                    |                        |                            |                           |                          |                      |
| <b>b</b> Total lobbying expenditures to influ              |                        |                            |                           |                          |                      |
| c Total lobbying expenditures (add li                      |                        |                            |                           |                          |                      |
| d Other exempt purpose expenditure                         |                        |                            |                           |                          |                      |
| e Total exempt purpose expenditure                         |                        |                            |                           |                          |                      |
| f Lobbying nontaxable amount. Ente                         |                        | ne following table in bot  | th columns.               |                          |                      |
| If the amount on line 1e, column (a) o                     |                        | bbying nontaxable am       |                           |                          |                      |
| Not over \$500,000   |                        | f the amount on line 1e    |                           |                          |                      |
| Over \$500,000 but not over \$1,000                        | <del></del>            | 000 plus 15% of the exc    |                           |                          |                      |
| Over \$1,000,000 but not over \$1,5                        | 00,000 \$175,0         | 000 plus 10% of the exc    | cess over \$1,000,000.    |                          |                      |
| Over \$1,500,000 but not over \$17,                        | 000,000 \$225,0        | 000 plus 5% of the exce    | ess over \$1,500,000.     |                          |                      |
| Over \$17,000,000  | \$1,000                | ),000.                     |                           |                          |                      |
|  |                        |                            |                           |                          |                      |
| <b>g</b> Grassroots nontaxable amount (en                  | ter 25% of line 1f)    |                            |                           |                          |                      |
| <b>h</b> Subtract line 1g from line 1a. If zer             | · ·                    |                            |                           |                          |                      |
| i Subtract line 1f from line 1c. If zero                   |                        |                            |                           |                          |                      |
| j If there is an amount other than ze                      | ro on either line 1h o | r line 1i, did the organiz | ation file Form 4720      | ,                        |                      |
| reporting section 4911 tax for this                        | year?                  |                            |                           |                          | Yes No               |
|  |                        | veraging Period Under      | • • •                     |                          | _                    |
| (Some organizations the                                    |                        |                            |                           | of the five columns I    | pelow.               |
|  | <u> </u>               | rate instructions for li   |                           |                          |                      |
| -  | Lobbying Expe          | enditures During 4-Ye      | ar Averaging Period       |                          | +                    |
| Calendar year  | (=) 0011               | (h) 0010                   | (-) 0010                  | (4) 001 4                | (a) Tatal            |
| (or fiscal year beginning in)                              | (a) 2011               | <b>(b)</b> 2012            | (c) 2013                  | <b>(d)</b> 2014          | (e) Total            |
|  |                        |                            |                           |                          |                      |
|  |                        |                            |                           |                          |                      |
| 2a Lobbying nontaxable amount                              |                        |                            |                           |                          |                      |
| <b>b</b> Lobbying ceiling amount                           |                        |                            |                           |                          |                      |
| (150% of line 2a, column(e))                               |                        |                            |                           |                          |                      |
|  |                        |                            |                           |                          |                      |
| c Total lobbying expenditures                              |                        |                            |                           |                          |                      |
| d Creeces eta nontevable amaunt                            |                        |                            |                           |                          |                      |
| d Grassroots nontaxable amount e Grassroots ceiling amount |                        |                            |                           |                          |                      |
| (150% of line 2d, column (e))                              |                        |                            |                           |                          |                      |
| (15070 Of lifte 2d, Coldifier (e))                         |                        |                            |                           |                          |                      |
| f Grassroots lobbying expenditures                         |                        |                            |                           |                          |                      |
| f Grassroots lobbying expenditures                         |                        |                            |                           |                          |                      |

Schedule C (Form 990 or 990-EZ) 2014

## Schedule C (Form 990 or 990-EZ) 2014 AMERICAN HEART ASSOCIATION, INC. 13-5613797 Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

| For ea | ach "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description  | (a             | 1)          | (I           | o)       |
|--------|--|----------------|-------------|--------------|----------|
| of the | lobbying activity.   | Yes            | No          | Amo          | ount     |
| 1      | During the year, did the filing organization attempt to influence foreign, national, state or  |                |             |              |          |
|        | local legislation, including any attempt to influence public opinion on a legislative matter   |                |             |              |          |
|        | or referendum, through the use of:   |                |             |              |          |
| а      | Volunteers?  | Х              |             |              |          |
|        | Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?   | X              |             |              |          |
|        | Media advertisements?  | Х              |             |              | 283,615. |
|        | Mailings to members, legislators, or the public?   | Х              |             |              | 91,020.  |
|        | Publications, or published or broadcast statements?  | Х              |             |              | 57,672.  |
|        | Grants to other organizations for lobbying purposes?   | Х              |             | 2,           | 916,160. |
|        | Direct contact with legislators, their staffs, government officials, or a legislative body?  | Х              |             |              | 701,720. |
| h      | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?  | Х              |             |              | 469,131. |
|        | Other activities?  |                | X           |              |          |
|        | Total. Add lines 1c through 1i   |                |             | 4,           | 519,318. |
|        | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?  |                | X           |              |          |
|        | If "Yes," enter the amount of any tax incurred under section 4912  |                |             |              |          |
|        | If "Yes," enter the amount of any tax incurred by organization managers under section 4912   |                |             |              |          |
| d      | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?   | <u> </u>       | <b>(</b> 5) |              |          |
| Par    | t III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5)  | on 501(c)      | (5), or se  | ection       |          |
|        | 501(c)(6).   |                |             | Vaa          | Na       |
|        |  |                |             | Yes          | No       |
| 1      | Were substantially all (90% or more) dues received nondeductible by members?   |                |             |              |          |
| 2      | Did the organization make only in-house lobbying expenditures of \$2,000 or less?  |                |             |              |          |
| 3      | Did the organization agree to carry over lobbying and political expenditures from the prior year?  t III-B   Complete if the organization is exempt under section 501(c)(4), section |                |             |              |          |
|        | 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes."   |                |             | t III-A, lii | ne 3, is |
| 1      | Dues, assessments and similar amounts from members   |                | 1           |              |          |
| 2      | Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenditures)  | cal            |             |              |          |
|        | expenses for which the section 527(f) tax was paid).   |                |             |              |          |
| а      | Current year   |                | 2a          |              |          |
|        | Carryover from last year   |                |             |              |          |
| С      | Total  |                |             |              |          |
| 3      | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues $$   |                | 3           |              |          |
| 4      | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the exc   |                |             |              |          |
|        | does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and p  | oolitical      |             |              |          |
|        | expenditure next year?   |                | 4           |              |          |
|        | Taxable amount of lobbying and political expenditures (see instructions)   |                | 5           |              |          |
| Par    |  |                |             |              |          |
|        | de the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group   | list); Part II | -A, lines 1 | and 2 (see   |          |
|        | ctions); and Part II-B, line 1. Also, complete this part for any additional information.   |                |             |              |          |
| PART   | II-B, LINE 1, LOBBYING ACTIVITIES:   |                |             |              |          |
| EXPL   | ANATION: IN SUPPORT OF ITS MISSION TO BUILD HEALTHIER LIVES, FREE OF   |                |             |              |          |
| CARD   | IOVASCULAR DISEASES AND STROKE, THE AMERICAN HEART ASSOCIATION (AHA)   |                |             |              |          |
| PLAN   | S, COORDINATES AND IMPLEMENTS A PUBLIC ADVOCACY PROGRAM. AT THE  |                |             |              |          |
| NATI   | ONAL LEVEL, THIS PROGRAM INCLUDES MAINTAINING AND EXPANDING CONTACTS   |                |             |              |          |
|        | MEMBERS OF CONCRESS STATIAN DELATIONSUIDS ARE DITTED BY THE DESIGNAL   |                |             |              |          |

## Part IV | Supplemental Information (continued) AFFILIATES, ADVOCATING AT THE STATE AND LOCAL LEVELS. TO GUIDE ITS FEDERAL STATE AND LOCAL EFFORTS. THE ASSOCIATION IMPLEMENTS A PUBLIC POLICY AGENDA BY MAINTAINING ACTIVE PARTNERSHIPS IN HEALTH-RELATED COALITIONS WITH OTHER LIKE-MINDED GROUPS; ROBUST POLICY RESEARCH THAT IS SCIENCE AND EVIDENCE-BASED, PRODUCING DOCUMENTS SUCH AS POLICY POSITION STATEMENTS, FACT SHEETS, AND PUBLISHED PAPERS, MEDIA ADVOCACY, INCLUDING LETTERS TO THE EDITOR, OP-ED PIECES, ADVERTORIALS AND NEWS CONFERENCES; MONITORING AND COMMENTING ON REGULATORY PROPOSALS; SUBMITTING TESTIMONY AND STATEMENTS FOR THE RECORD IN RESPONSE TO PROPOSED POLICY INITIATIVES; MAINTAINING AN ACTIVE VOLUNTEER GRASSROOTS NETWORK AVAILABLE TO WRITE. CALL AND/OR VISIT LOCAL, STATE AND FEDERAL POLICYMAKERS; AND LOBBYING OF LOCAL, STATE AND FEDERAL LEGISLATIVE BODIES. THE AMERICAN HEART ASSOCIATION IS COMMITTED THROUGHOUT ITS PUBLIC POLICY WORK TO PROACTIVELY CONFRONT AND ADDRESS THE HEALTH INEQUITIES AND DISPARITIES THAT EXIST IN OUR COUNTRY. THE ASSOCIATION ENCOURAGES CONGRESS AND STATE LEGISLATURES TO JOIN THE FIGHT AGAINST CARDIOVASCULAR DISEASE, INCLUDING STROKE, THE LEADING CAUSE OF DEATH IN THE UNITED STATES. THE ASSOCIATION'S STRATEGIC PUBLIC POLICY PRIORITIES ARE IN THE FOLLOWING AREAS: HEART DISEASE AND STROKE RESEARCH: A TOP PRIORITY OF THE ASSOCIATION IS TO ENSURE SUPPORT FOR BASIC, CLINICAL, TRANSLATIONAL, HEALTH SERVICES OUTCOMES, GENOMICS, AND COMPARATIVE EFFECTIVENESS RESEARCH AND THE OVERALL RESEARCH ENVIRONMENT AS WELL AS COMMUNITY HEALTH SERVICES, PUBLIC HEALTH PROGRAMS, POLICY EVALUATION AND ECONOMICS. THE AHA ADVOCATES FOR SIGNIFICANTLY INCREASING FUNDING FOR THE NATIONAL INSTITUTES OF HEALTH AND OTHER STATE AND FEDERAL GOVERNMENT AGENCIES TO ENHANCE HEART AND STROKE RESEARCH. IMPROVING CARDIOVASCULAR HEALTH (PREVENTION): THE AMERICAN HEART

## Part IV | Supplemental Information (continued) ASSOCIATION PRIORITIZES PUBLIC POLICIES AIMED AT PROMOTING AND IMPROVING THE HEALTH FACTORS FOR ALL AMERICANS. THESE POLICY PRIORITIES ADDRESS OBESITY PREVENTION, DIAGNOSIS, AND TREATMENT, INCREASING ACCESS TO HEALTHY AND AFFORDABLE FOODS, HEALTHY DIET AND NUTRITION, INCREASING PHYSICAL ACTIVITY, ADDRESSING TOBACCO CONTROL AND PREVENTION, AND AIR POLLUTION. THE AHA ADDRESSES THESE ISSUES AT THE LOCAL, STATE, AND FEDERAL LEVEL WITH LEGISLATION, REGULATION, AND OTHER POLICY CHANGE. SUPPORT HIGH QUALITY/HIGH VALUE HEART AND STROKE CARE AND REDUCE HEALTH DISPARITIES: THE AHA PROMOTES PUBLIC POLICIES AIMED AT IMPROVING HEALTH CARE QUALITY. REDUCING HEALTH DISPARITIES. AND PROMOTING HIGH VALUE EVIDENCE-BASED CARDIOVASCULAR CARE. TO PROMOTE HEALTH CARE QUALITY, THE AHA ADDRESSES CLINICAL GUIDELINES AND TREATMENT PROTOCOLS, DEVELOPMENT OF DISEASE REGISTRIES, THE ROLE OF QUALITY IN HEALTH CARE PAYMENT SYSTEMS, DRUG FORMULARY POLICY, DELIVERY SYSTEM REFORMS AND CONTINUUM OF CARE, IMPROVED CARE COORDINATION. THE ROLE, DEVELOPMENT AND IMPLEMENTATION OF ELECTRONIC MEDICAL RECORDS AND RELATED HEALTH INFORMATION TECHNOLOGY. AND PROMOTING SAFE, EVIDENCE-BASED AND HIGH VALUE TREATMENTS FOR CARDIOVASCULAR DISEASE. ENSURE APPROPRIATE AND TIMELY ACCESS TO HEART DISEASE AND STROKE CARE: THE AHA ADVANCES COMPREHENSIVE COVERAGE AND TIMELY ACCESS TO APPROPRIATE CARE FOR HEART DISEASE, PERIPHERAL ARTERY DISEASE, AND STROKE WITH A FOCUS ON ADEQUATE AND AFFORDABLE COVERAGE, APPROPRIATE SYSTEMS OF EMERGENCY CARE, TELEMEDICINE AND SURVEILLANCE. THIS INCLUDES PROMOTING SYSTEMS OF CARE AROUND STROKE, ST ELEVATED MYOCARDIAL INFARCTION (STEMI), EMERGENCY CARE, OUT OF HOSPITAL CARDIAC ARREST, AND TELEHEALTH. CHARITABLE ORGANIZATIONS: THE ASSOCIATION SUPPORTS POLICIES THAT PRESERVE THE VIABILITY OF NON-PROFIT ORGANIZATIONS BY MONITORING AND AS APPROPRIATE, INCLUDING LEGISLATIVE AND REGULATORY EFFORTS THAT ATTEMPT TO

| Schedule C (Form 990 or 990-EZ) 2014 AMERICAN HEART ASSOCIATION, INC.      | 13-5613797 | Page 4 |
|--|------------|--------|
| Part IV Supplemental Information (continued)                               |            |        |
| RESTRICT OR PROHIBIT CHARITABLE GIVING AND OTHER NON-PROFIT EFFORTS AND    |            |        |
| ACTIVITIES. THESE INCLUDE PROTECTING NON-PROFIT SECTOR INTERESTS,          |            |        |
| PROMOTING TAX POLICY CONDUCIVE TO CHARITABLE ORGANIZATIONS, ENCOURAGING    |            |        |
| VOLUNTEERISM, PRESERVING PUBLIC FUNDING FOR VOLUNTARY HEALTH               |            |        |
| ORGANIZATIONS, AND SAFEGUARDING THE ABILITY OF CHARITABLE ORGANIZATIONS TO |            |        |
| ENGAGE IN ADVOCACY.  |            |        |
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#### SCHEDULE D (Form 990)

Department of the Treasury

Internal Revenue Service

### Supplemental Financial Statements

► Complete if the organization answered "Yes" to Form 990,

Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990. ► Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990. OMB No. 1545-0047 Inspection

Name of the organization

**Employer identification number** 

AMERICAN HEART ASSOCIATION, INC. 13-5613797 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the Part I organization answered "Yes" to Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts Total number at end of year ..... 1 Aggregate value of contributions to (during year) Aggregate value of grants from (during year) 3 Aggregate value at end of year Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7. Purpose(s) of conservation easements held by the organization (check all that apply). Preservation of land for public use (e.g., recreation or education) Preservation of a historically important land area Protection of natural habitat Preservation of a certified historic structure Preservation of open space 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year. Held at the End of the Tax Year a Total number of conservation easements 2a **b** Total acreage restricted by conservation easements 2b c Number of conservation easements on a certified historic structure included in (a) **2**c d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year > Number of states where property subject to conservation easement is located Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? 6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year > \$ 7 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements. Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8. 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items. b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: (i) Revenue included in Form 990, Part VIII, line 1 (ii) Assets included in Form 990, Part X 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: a Revenue included in Form 990, Part VIII, line 1

b Assets included in Form 990, Part X

|        |  | EART ASSOCIATION             | , INC.                          |                      | 13-56              | 13797                                   | Page <b>2</b>                           |
|--------|--|------------------------------|---------------------------------|----------------------|--------------------|---|---|
| Pai    | rt III Organizations Maintaining C   | collections of Ar            | t, Historical Tr                | easures, or Ot       | her Similar As     | sets(contin                             | ued)                                    |
| 3      | Using the organization's acquisition, accessi                                      | on, and other record         | s, check any of the             | following that are a | significant use of | its collection                          | n items                                 |
|        | (check all that apply):  |                              |                                 |                      |                    |   |   |
| а      | Public exhibition  | d                            | Loan or exc                     | hange programs       |                    |   |   |
| b      | Scholarly research   | е                            | Other                           |                      |                    |   |   |
| С      | Preservation for future generations  |                              |                                 |                      |                    |   |   |
| 4      | Provide a description of the organization's co                                     | ollections and explair       | n how they further t            | he organization's ex | kempt purpose in F | Part XIII.                              |   |
| 5      | During the year, did the organization solicit o                                    |                              | •                               |                      |                    |   |   |
| _      | to be sold to raise funds rather than to be ma                                     |                              |                                 |                      |                    | Yes                                     | No_                                     |
| Pai    | rt IV Escrow and Custodial Arran   |                              | te if the organizatio           | n answered "Yes" t   | o Form 990, Part I | V, line 9, or                           |   |
|        | reported an amount on Form 990, Par  |                              |                                 |                      |                    |   |   |
| 1a     | Is the organization an agent, trustee, custodi                                     |                              |                                 |                      |                    |   |   |
|        | on Form 990, Part X?   |                              |                                 |                      |                    | Yes                                     | ∟ No                                    |
| b      | If "Yes," explain the arrangement in Part XIII                                     | and complete the fol         | llowing table:                  |                      |                    |   |   |
|        |  |                              |                                 |                      |                    | Amount                                  |   |
| С      | Beginning balance  |                              |                                 |                      |                    |   |   |
| d      | Additions during the year  |                              |                                 |                      |                    |   |   |
| е      | Distributions during the year  |                              |                                 |                      |                    |   |   |
| f      | Ending balance   |                              |                                 |                      | 1f                 | 1.5                                     |   |
|        | Did the organization include an amount on Fo                                       |                              |                                 |                      | •                  | Yes                                     | No                                      |
|        | If "Yes," explain the arrangement in Part XIII.  Tr V Endowment Funds. Complete in |                              |                                 |                      |                    |   |   |
| Fai    | Endowment i dids. Complete i   |                              |                                 | ·                    | i                  | ok (a) Four                             | voore book                              |
| 4.     | Designing of year balance  | (a) Current year 59,247,803. | (b) Prior year<br>51,925,992.   |                      | (d) Three years ba |   | years back<br>736,847.                  |
| 1a     | Beginning of year balance  | 1,000,570.                   | 1,527,764.                      | · · ·                | <del>' ' '</del>   |   | 771,259.                                |
| D      | Contributions  | 724,008.                     | 7,416,550.                      | · · ·                |                    |   | 946,271.                                |
| C      | Net investment earnings, gains, and losses   | 724,000.                     | 7,410,550.                      | 4,714,020            | . 333,01           | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | , |
| u      | Grants or scholarships   |                              |                                 |                      |                    |   |   |
| e      | Other expenditures for facilities  | 2,184,603.                   | 1,622,503.                      | 1,582,504            | 1,697,50           | 2                                       | 596,401.                                |
|        | and programs   | 2,104,003.                   | 1,022,303.                      | 1,302,304            | . 1,037,30         |   | 330,401.                                |
| f      | Administrative expenses  | 58,787,778.                  | 59,247,803.                     | 51,925,992           | . 46,999,29        | 2 48                                    | 857,976.                                |
| g<br>2 | End of year balance  |                              |                                 |                      | •                  | 2.                                      | ,037,370.                               |
| a      | Board designated or quasi-endowment  | rent year end balanc         | e (iii le 19, colui iii (a<br>% | a)) Held as.         |                    |   |   |
| b      | Permanent endowment 75.80  | %                            |                                 |                      |                    |   |   |
|        | Temporarily restricted endowment   | 24.20 %                      |                                 |                      |                    |   |   |
| ·      | The percentages in lines 2a, 2b, and 2c shou                                       |                              |                                 |                      |                    |   |   |
| 3a     | Are there endowment funds not in the posse   | •                            | ation that are held a           | nd administered for  | r the organization |   |   |
|        | by:  | esisii si ura ergames        |                                 |                      | and organization   | Γ                                       | Yes No                                  |
|        | (i) unrelated organizations  |                              |                                 |                      |                    | 3a(i)                                   | X                                       |
|        | (ii) related organizations   |                              |                                 |                      |                    |   | Х                                       |
| b      | If "Yes" to 3a(ii), are the related organizations                                  | s listed as required o       | n Schedule R?                   |                      |                    | 3b                                      |   |
| 4      | Describe in Part XIII the intended uses of the                                     |                              |                                 |                      |                    |   | I                                       |
| Pai    | rt VI Land, Buildings, and Equipm  |                              |                                 |                      |                    |   |   |
|        | Complete if the organization answered  | d "Yes" to Form 990          | , Part IV, line 11a. S          | ee Form 990, Part    | K, line 10.        |   |   |
|        | Description of property  | (a) Cost or of               | ther (b) Cost                   | or other (c)         | Accumulated        | (d) Book                                | k value                                 |
|        | ,  | basis (investr               | ` '                             | 1 ' '                | lepreciation       | .,                                      |   |
| 1a     | Land   |                              | 10                              | ,599,770.            |                    | 10,                                     | 599,770.                                |
|        | Buildings  |                              | 75                              | ,368,413.            | 40,246,509.        | 35,                                     | 121,904.                                |
|        | Leasehold improvements   |                              | 5                               | ,384,590.            | 3,560,969.         | 1,                                      | 823,621.                                |
|        | Equipment  |                              | 113                             | ,789,368.            | 91,346,879.        | 22,                                     | 442,489.                                |
|        | Other  |                              |                                 | 468,048.             | 411,336.           |   | 56,712.                                 |
| Total  | L Add lines 1a through 1e (Column (d) must e                                       | gual Form 990 Part           | X column (B) line 1             | 10c)                 | <u> </u>           | 7.0                                     | 044 496.                                |

Schedule D (Form 990) 2014

(G)

### Part VII Investments - Other Securities.

| Complete if the organization answered "Yes"                          | to Form 990, Part IV, line 1 | 11b. See Form 990, Part X, line 12.                       |
|--|------------------------------|---|
| (a) Description of security or category (including name of security) | (b) Book value               | (c) Method of valuation: Cost or end-of-year market value |
| (1) Financial derivatives  |                              |   |
| (2) Closely-held equity interests                                    |                              |   |

(2) Closely-held equity interests
(3) Other
(A)
(B)
(C)
(D)
(E)
(F)

(H)

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶

#### Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment                                    | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|--|----------------|---|
| (1)  |                |   |
| (2)  |                |   |
| (3)  |                |   |
| (4)  |                |   |
| (5)  |                |   |
| (6)  |                |   |
| (7)  |                |   |
| (8)  |                |   |
| (9)  |                |   |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) |                |   |

### Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description  | (b) Book value |
|--|----------------|
| (1) SPLIT INTEREST AGREEMENTS                                      | 73,288,105.    |
| (2) BENEFICIAL INTEREST IN PERPETUAL TRUSTS                        | 145,839,073.   |
| (3)  |                |
| (4)  |                |
| (5)  |                |
| (6)  |                |
| (7)  |                |
| (8)  |                |
| (9)  |                |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) | 219,127,178.   |

#### Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1.     | (a) Description of liability                                | (b) Book value |
|--------|---|----------------|
| (1)    | Federal income taxes  |                |
| (2)    | CAPITAL LEASE OBLIGATIONS                                   | 1,173,899.     |
| (3)    | POST-RETIREMENT BENEFITS                                    | 12,873,443.    |
| (4)    | CHARITABLE GIFT ANNUITIES                                   | 14,814,294.    |
| (5)    | RENT DEFERRALS/AMORTIZATION                                 | 2,477,802.     |
| (6)    | SUPPLEMENTAL RETIREMENT PLAN                                | 4,291,132.     |
| (7)    | OTHER PAYABLES  | 269,759.       |
| (8)    |   |                |
| (9)    |   |                |
| Total. | (Column (b) must equal Form 990, Part X, col. (B) line 25.) | 35,900,329.    |

<sup>2.</sup> Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

| Sche   | dule D (Form 990) 2014 AMERICAN HEART ASSOCIATION, INC.                          |          |                | 13-5613797 | Page <b>4</b> |
|--|--|----------|----------------|------------|---------------|
| Pai  | t XI Reconciliation of Revenue per Audited Financial Stateme                     | nts With | Revenue per R  | eturn.     |               |
|  | Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.      |          |                |            |               |
| 1  | Total revenue, gains, and other support per audited financial statements         |          |                | 1          | 808,084,882.  |
| 2  | Amounts included on line 1 but not on Form 990, Part VIII, line 12:              |          |                |            |               |
| а  | Net unrealized gains (losses) on investments                                     | 2a       | -11,421,762.   |            |               |
| b  | Donated services and use of facilities   | 2b       | 9,565,616.     |            |               |
|  | Recoveries of prior year grants  |          |                |            |               |
|  | Other (Describe in Part XIII.)   |          |                |            |               |
|  | Add lines 2a through 2d  |          |                | 2e         | -1,856,146.   |
| 3  | Subtract line 2e from line 1   |          |                | 3          | 809,941,028.  |
| 4  | Amounts included on Form 990, Part VIII, line 12, but not on line 1:             |          |                |            |               |
| а  | Investment expenses not included on Form 990, Part VIII, line 7b                 | 4a       | 1,816,340.     |            |               |
| b  | Other (Describe in Part XIII.)   | 4b       | -31,482,226.   |            |               |
| С  | Add lines 4a and 4b  |          |                | 4c         | -29,665,886.  |
| _5   | Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)  |          |                | 5          | 780,275,142.  |
| Pai  | t XII Reconciliation of Expenses per Audited Financial Stateme                   | ents Wit | h Expenses per | Return.    |               |
|  | Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.      |          |                |            |               |
| 1  | Total expenses and losses per audited financial statements                       |          |                | 1          | 783,491,346.  |
| 2  | Amounts included on line 1 but not on Form 990, Part IX, line 25:                |          |                |            |               |
| а  | Donated services and use of facilities   | 2a       | 9,565,616.     |            |               |
| b  | Prior year adjustments   | 2b       |                |            |               |
| С  | Other losses   | 2c       |                |            |               |
| d  | Other (Describe in Part XIII.)   | 2d       | 203,445.       |            |               |
| е  | Add lines 2a through 2d  |          |                | 2e         | 9,769,061.    |
| 3  | Subtract line 2e from line 1   |          |                | 3          | 773,722,285.  |
| 4  | Amounts included on Form 990, Part IX, line 25, but not on line 1:               |          |                |            |               |
| а  | Investment expenses not included on Form 990, Part VIII, line 7b                 | 4a       | 1,816,340.     |            |               |
| b  | Other (Describe in Part XIII.)   | 4b       | -31,482,226.   |            |               |
| С  | Add lines 4a and 4b  |          |                | 4c         | -29,665,886.  |
|  | Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) |          |                | 5          | 744,056,399.  |
| Part XIII Supplemental Information.  |  |          |                |            |               |
| Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, |  |          |                |            |               |
| lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.   |  |          |                |            |               |
|  |  |          |                |            |               |
|  |  |          |                |            |               |
| PART V, LINE 4:  |  |          |                |            |               |
|  |  |          |                |            |               |
| THE INTENDED USE OF ENDOWMENT FUNDS IS TO PROVIDE FUNDING FOR RESEARCH AND   |  |          |                |            |               |
|  |  |          |                |            |               |
| OTHER MISSION-RELATED PROGRAMS.  |  |          |                |            |               |
|  |  |          |                |            |               |
|  |  |          |                |            |               |
|  |  |          |                |            |               |
| PART X, LINE 2:  |  |          |                |            |               |
|  |  |          |                |            |               |
| THE  | ASSOCIATION IS EXEMPT FROM FEDERAL INCOME TAXES ON                               |          |                |            |               |
|  |  |          |                |            |               |
| RELA   | TED INCOME UNDER SECTION 501(A) OF THE INTERNAL REVENUE CODE (                   | IRC) OF  |                |            |               |
|  |  |          |                |            |               |
| 1986, AS AMENDED, AS AN ORGANIZATION DESCRIBED IN IRC SECTION 501(C)(3).   |  |          |                |            |               |
|  |  |          |                |            |               |
| FURTHER, THE ASSOCIATION HAS BEEN CLASSIFIED AS AN ORGANIZATION THAT IS  |  |          |                |            |               |
|  |  |          |                |            |               |
| NOT A PRIVATE FOUNDATION UNDER IRC SECTION 509(A) AND, AS SUCH,  |  |          |                |            |               |
|  |  |          |                |            |               |
| CONTRIBUTIONS TO THE ASSOCIATION QUALIFY FOR DEDUCTION AS CHARITABLE   |  |          |                |            |               |
|  |  |          |                |            |               |
| CONTRIBUTIONS. HOWEVER, INCOME GENERATED FROM ACTIVITIES UNRELATED TO THE  |  |          |                |            |               |

RETIREE HEALTHCARE AND OTHER POSTRETIREMENT PLANS IN THEIR BALANCE SHEETS. THE EFFECT OF THIS CHANGE ON AHA IS -\$203,445 FOR FISCAL YEAR ENDED JUNE 30, 2015.

## SCHEDULE F (Form 990)

Department of the Treasury

Internal Revenue Service

## Statement of Activities Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

➤ Attach to Form 990.

▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 Open to Public Inspection

Name of the organization

AMERICAN HEART ASSOCIATION, INC.

**Employer identification number** 

13-5613797

General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Part I Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ..... X Yes No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

| 3 Activities per Region. (T      | he following Par                          | t I, line 3 table ca   | an be duplicated if additional space is  | needed.)   |  |
|----------------------------------|---|--|--|--|--|
| <b>(a)</b> Region                | (b) Number of<br>offices<br>in the region | (c) Number of employees, agents, and independent contractors in region | (d) Activities conducted in region<br>(by type) (e.g., fundraising, program<br>services, investments, grants to<br>recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total<br>expenditures<br>for and<br>investments<br>in region |
|                                  |   | irregion   |  | SALES OF EDUCATIONAL &   | <del>                                     </del>                 |
|                                  |   |  |  | TRAINING MATERIALS   |  |
| CENTRAL AMERICA AND              |   |  |  | RELATED TO   |  |
| THE CARIBBEAN                    |   | 0  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 41,525.  |
|                                  |   |  |  | SALES OF EDUCATIONAL &   |  |
|                                  |   |  |  | TRAINING MATERIALS   |  |
| EAST ASIA AND THE                |   |  |  | RELATED TO   |  |
| PACIFIC                          | 1   | _ 2  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 893,871.   |
|                                  |   |  |  | SALES OF EDUCATIONAL &   | 100,000  |
|                                  |   |  |  | TRAINING MATERIALS   |  |
| EUROPE (INCL ICELAND             |   |  |  | RELATED TO   |  |
| / GREENLAND)                     | 1   | 1  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 503,986.   |
| ,,                               | _   |  |  | SALES OF EDUCATIONAL &   | 1 200,200.   |
|                                  |   |  |  | TRAINING MATERIALS   |  |
| MIDDLE EAST AND                  |   |  |  | RELATED TO   |  |
| NORTH AFRICA                     | 1   | 1  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 863,854.   |
|                                  |   |  |  | SALES OF EDUCATIONAL &   | 111,111  |
|                                  |   |  |  | TRAINING MATERIALS   |  |
|                                  |   |  |  | RELATED TO   |  |
| NORTH AMERICA                    |   | 0  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 725,460.   |
|                                  |   |  |  | SALES OF EDUCATIONAL &   | 1  |
|                                  |   |  |  | TRAINING MATERIALS   |  |
|                                  |   |  |  | RELATED TO   |  |
| SOUTH AMERICA                    |   | 0  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 554,256.   |
|                                  |   |  |  | SALES OF EDUCATIONAL &   |  |
|                                  |   |  |  | TRAINING MATERIALS   |  |
|                                  |   |  |  | RELATED TO   |  |
| SOUTH ASIA                       |   | 0  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 316,438.   |
|                                  |   |  |  | SALES OF EDUCATIONAL &   | 1 1 1 1 1 1  |
|                                  |   |  |  | TRAINING MATERIALS   |  |
|                                  |   |  |  | RELATED TO   |  |
| SUB-SAHARAN AFRICA               | l o                                       | 0  | PROGRAM SERVICES   | CARDIOVASCULAR CARE  | 77,906.  |
| 3 a Sub-total                    | 3   | 4  |  |  | 3,977,296.   |
| <b>b</b> Total from continuation |   |  |  |  | 1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                          |
| sheets to Part I                 | l o                                       | 0  |  |  | 129,222,837.   |
| c Totals (add lines 3a           |   |  |  |  | 1 , = = , , = = .  |
| and 3b)                          | 3   | 4  |  |  | 133,200,133.   |
|                                  | 1   |  |  |  |  |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2014

| Part I   Continuation of Activities per Region. (Schedule F (Form 990), Part I, line 3) |               |                  |   |  |              |  |  |  |  |
|---|---------------|------------------|---|--|--------------|--|--|--|--|
| (a) Region  | (b) Number of | (c) Number of    | (d) Activities conducted in region                            | (e) If activity listed in (d)                  | (f) Total    |  |  |  |  |
|   | offices       | employees or     | (by type) (i.e., fundraising,                                 | is a program service,                          | expenditures |  |  |  |  |
|   | in the region | agents in region | program services, grants to recipients located in the region) | describe specific type of service(s) in region | for region   |  |  |  |  |
|   |               | region           | recipients located in the region,                             | or service(s) in region                        |              |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| EAST ASIA AND THE   |               |                  | CD A NUMA WING  | STUDENT SCHOLARSHIP                            | 1 500        |  |  |  |  |
| PACIFIC   | 0             | 0                | GRANTMAKING   | STUDENT SCHOLARSHIP                            | 1,500.       |  |  |  |  |
| EAST ASIA AND THE   |               |                  |   | SCIENCE RESEARCH PRIZE                         |              |  |  |  |  |
| PACIFIC   | 0             | 0                | GRANTMAKING   | AND HONORARIUM                                 | 24,000.      |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| EAST ASIA AND THE   |               |                  |   |  |              |  |  |  |  |
| PACIFIC   | 0             | 0                | GRANTMAKING   | TRAVEL STIPEND                                 | 22,700.      |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| EUROPE (INCL ICELAND  |               |                  |   |  |              |  |  |  |  |
| / GREENLAND)  | 0             | 0                | GRANTMAKING   | STUDENT SCHOLARSHIP                            | 1,500.       |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| EUROPE (INCL ICELAND  |               |                  |   | SCIENCE RESEARCH PRIZE                         |              |  |  |  |  |
| / GREENLAND)  | 0             | 0                | GRANTMAKING   | AND HONORARIUM                                 | 21,198.      |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| EUROPE (INCL ICELAND  |               |                  |   |  |              |  |  |  |  |
| / GREENLAND)  | 0             | 0                | GRANTMAKING   | TRAVEL STIPEND                                 | 15,152.      |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
|   |               |                  |   | SCIENCE RESEARCH PRIZE                         |              |  |  |  |  |
| NORTH AMERICA   | 0             | 0                | GRANTMAKING   | AND HONORARIUM                                 | 10,000.      |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| NORTH AMERICA   | 0             | 0                | GRANTMAKING   | TRAVEL STIPEND                                 | 12,000.      |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| RUSSIA AND THE NEWLY  |               |                  |   |  |              |  |  |  |  |
| INDEPENDENT STATES  | 0             | 0                | GRANTMAKING   | TRAVEL STIPEND                                 | 3,000.       |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| CENTRAL AMERICA AND   |               |                  |   |  |              |  |  |  |  |
| THE CARIBBEAN   | 0             | 0                | INVESTMENTS   |  | 59,599.      |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
|   |               |                  |   |  |              |  |  |  |  |
| Totals  |               |                  |   |  |              |  |  |  |  |

| Schedule F (Form 990)           | AMERICAN HEA                                 |  |   | 13-56137   | 797 Page                                |
|---------------------------------|--|--|---|--|---|
| Part I Continuation             | on of Activitie                              | s per Regio  | n.(Schedule F (Form 990), Part I, line 3)   | l .  |   |
| (a) Region                      | (b) Number of offices in the region          | (c) Number of<br>employees or<br>agents in<br>region | (d) Activities conducted in region<br>(by type) (i.e., fundraising,<br>program services, grants to<br>recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total<br>expenditures<br>for region |
|                                 |  |  |   |  |   |
| EAST ASIA AND THE               |  |  |   |  |   |
| PACIFIC                         | 0  | 0  | INVESTMENTS   |  | 39,595,562                              |
| EUROPE (INCL ICELAND            |  |  |   |  |   |
| / GREENLAND)                    | 0  | 0  | INVESTMENTS   |  | 65,296,782                              |
|                                 |  |  |   |  |   |
| MIDDLE EAST AND<br>NORTH AFRICA | 0  | 0  | INVESTMENTS   |  | 954,131                                 |
|                                 |  |  |   |  |   |
| NORTH AMERICA                   | 0  | 0  | INVESTMENTS   |  | 17,360,778                              |
|                                 |  |  |   |  |   |
| RUSSIA AND THE NEWLY            |  |  |   |  |   |
| INDEPENDENT STATES              | 0  | 0  | INVESTMENTS   |  | 936,301                                 |
|                                 |  |  |   |  |   |
| SOUTH AMERICA                   | 0  | 0  | INVESTMENTS   |  | 2,513,820                               |
| SOUTH ASIA                      | 0  | 0  | INVESTMENTS   |  | 1,196,962                               |
|                                 |  |  |   |  |   |
| SUB-SAHARAN AFRICA              | 0  | 0  | INVESTMENTS   |  | 1,197,852                               |
|                                 |  |  |   |  |   |
|                                 |  |  |   |  |   |
|                                 |  |  |   |  |   |
|                                 |  |  |   |  |   |
|                                 |  |  |   |  |   |
|                                 |  |  |   |  |   |
| Totals                          | <u>·                                    </u> |  |   |  | 129,222,837                             |

|                            |  |                                 | Outside the United States. Cated if additional space is ne       |                          | rganization answered            | d "Yes" on Form                   | 990, Part IV, line 15, fo                    | r any   |
|----------------------------|--|---------------------------------|--|--------------------------|---------------------------------|-----------------------------------|--|---|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region                      | (d) Purpose of grant   | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description<br>of non-cash<br>assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
|                            |  |                                 |  |                          |                                 |                                   |  |   |
| 2 Enterted mark            | unaliniant currents !!                       | no linto di alto con distribuit |  | foundam                  |                                 |                                   |  |   |
|                            |  |                                 | recognized as charities by the<br>n 501(c)(3) equivalency letter |                          |                                 |                                   |  |   |
| 3 Enter total number of    | other organizations                          | or entities                     |  |                          |                                 |                                   |  |   |

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

| Part III can be duplicated it   | additional space is neede |                          |                          | <b>,</b>                        |                                   |  |  |
|---------------------------------|---------------------------|--------------------------|--------------------------|---------------------------------|-----------------------------------|--|--|
| (a) Type of grant or assistance | (b) Region                | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 | EAST ASIA AND THE         |                          |                          |                                 |                                   |  |  |
| STUDENT SCHOLARSHIP             | PACIFIC                   | 3                        | 1,500.                   | WIRE TRANSFER                   | 0.                                |  |  |
|                                 | EAST ASIA AND THE         |                          |                          |                                 |                                   |  |  |
|                                 | PACIFIC -                 |                          |                          |                                 |                                   |  |  |
| SCIENCE RESEARCH PRIZE AND      | AUSTRALIA,                |                          |                          |                                 |                                   |  |  |
| HONORARIUM                      | BRUNEI, BURMA,            | 38                       | 46,700.                  | WIRE TRANSFER                   | 0.                                |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 | EUROPE (INCLUDING         |                          |                          |                                 |                                   |  |  |
|                                 | ICELAND &                 | _                        |                          |                                 |                                   |  |  |
| STUDENT SCHOLARSHIP             | GREENLAND)                | 3                        | 1,500.                   | WIRE TRANSFER                   | 0.                                |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
| ACTUMED DESCRIPTION DRIVE AND   | EUROPE (INCLUDING         |                          |                          |                                 |                                   |  |  |
| SCIENCE RESEARCH PRIZE AND      | ICELAND &                 | 49                       | 26 250                   | WIDE WEAVERED                   | 0.                                |  |  |
| HONORARIUM                      | GREENLAND)                | 49                       | 36,350.                  | WIRE TRANSFER                   | 0.                                |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
| SCIENCE RESEARCH PRIZE AND      |                           |                          |                          |                                 |                                   |  |  |
| HONORARIUM                      | NORTH AMERICA             | 27                       | 22 000                   | WIRE TRANSFER                   | 0.                                |  |  |
| TONORIMI ON                     | NORTH IMPRICE             | 27                       | 22,000.                  | WIRE IMMSTER                    | •                                 |  |  |
|                                 | RUSSIA AND                |                          |                          |                                 |                                   |  |  |
| SCIENCE RESEARCH PRIZE AND      | NEIGHBORING               |                          |                          |                                 |                                   |  |  |
| HONORARIUM                      | STATES                    | 3                        | 3,000.                   | WIRE TRANSFER                   | 0.                                |  |  |
|                                 |                           |                          | , -                      |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |
|                                 |                           |                          |                          |                                 |                                   |  |  |

# Schedule F (Form 990) 2014 Part IV Foreign Forms

| 1 | Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)  | X Yes | □ No |
|---|---|-------|------|
| 2 | Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990) | Yes   | X No |
| 3 | Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)  | X Yes | □ No |
| 4 | Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)   | X Yes | □ No |
| 5 | Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)  | Yes   | X No |
| 6 | Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)  | X Yes | ☐ No |

Schedule F (Form 990) 2014

# Part V | Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c)

| (estimated number of recipients), as applicable. Also complete this part to provide any additional information. |
|---|
| PART I, LINE 2:   |
| WITH RESPECT TO GRANTS MADE BY AMERICAN HEART ASSOCIATION TO FOREIGN  |
| INDIVIDUALS, THE RECIPIENT OF AHA FUNDS MUST SATISFY CERTAIN REQUIREMENTS                                       |
| OUTLINED IN THE GRANT AGREEMENT. UPON SATISFACTORY COMPLETION OF THE  |
| AGREEMENT AND WRITTEN ACCEPTANCE OF ALL SERVICES, AHA REMITS THE  |
| REMAINING BALANCE OF THE GRANTED FUNDS TO THE RECIPIENT.  |
|   |
| PART I, LINE 3  |
| THE ASSOCIATION'S INVESTMENTS IN SECURITIES OF FOREIGN CORPORATIONS ARE   |
| MADE THROUGH U.S. BROKERAGE ACCOUNTS. THESE INVESTMENTS ARE MANAGED BY  |
| INDEPENDENT INVESTMENT MANAGERS AS PART OF A DIVERSIFIED STRATEGY FOR   |
| THE ASSOCIATION'S INVESTMENTS. THE INVESTMENT MANAGERS ARE GUIDED BY  |
| THE ASSOCIATION'S INVESTMENT POLICY OVERSEEN BY THE INVESTMENT  |
| COMMITTEE OF THE BOARD OF DIRECTORS.  |
|   |
| PART IV, LINE 6   |
| THE ASSOCIATION FILED FORM 5713 WITH ITS FEDERAL FORM 990-T TO REPORT   |
| SALES OF EDUCATION AND TRAINING MATERIALS IN THE UNITED ARAB EMIRATES   |
| (UAE). ALTHOUGH UAE IS CONSIDERED A BOYCOTTING COUNTRY, THE   |
| ASSOCIATION DOES NOT PARTICIPATE IN ANY BOYCOTTING ACTIVITIES.  |
|   |
|   |
|   |
|   |
|   |
|   |

#### **SCHEDULE G**

Department of the Treasury

Internal Revenue Service

(Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

► Attach to Form 990 or Form 990-EZ.

Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form 990.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

AMERICAN HEART ASSOCIATION, INC.

Employer identification number 13-5613797

| Part I Fundraising Activities required to complete this pa  | <ol> <li>Complete if the organization answ<br/>rt.</li> </ol>   | vered "Y   | 'es" to                                       | Form 990, Part IV, I  | ine 17. Form 990-EZ  | filers are not  |
|---|---|--|---|---|--|---|
| <ul> <li>Indicate whether the organization rail</li> <li>a X Mail solicitations</li> <li>b X Internet and email solicitation</li> <li>c X Phone solicitations</li> <li>d X In-person solicitations</li> <li>2 a Did the organization have a written key employees listed in Form 990, F</li> <li>b If "Yes," list the ten highest paid incompensated at least \$5,000 by the</li> </ul> | e X Solicit f X Solicit g X Special or oral agreement with any individur Part VII) or entity in connection with dividuals or entities (fundraisers) pur | ation of<br>ation of<br>al fundra<br>al (inclue<br>profess | non-g<br>gover<br>aising<br>ding o<br>ional f | overnment grants nment grants events fficers, directors, tru fundraising services | stees or X Yes   |   |
| (i) Name and address of individual or entity (fundraiser)   | (ii) Activity   | (iii)<br>fundr<br>have c<br>or cor<br>contrib              | itrol of                                      | (iv) Gross receipts from activity   | (v) Amount paid<br>to (or retained by)<br>fundraiser<br>listed in col. (i) | (vi) Amount paid<br>to (or retained by)<br>organization |
| INFOCISION MANAGEMENT   | TELEMARKETING   | Yes  | No  |   |  |   |
| CORPORATION - 33 SPRINGSIDE   | SOLICITATIONS   |  | Х   | 5,939,034.  | 2,765,830.   | 3,173,204.  |
| INSURANCE AUTO AUCTIONS -   |   |  |   |   |  | , , , , , , , , , , , , , , , , , , ,                   |
| 13085 HAMILTON CROSSING,  | DONATED VEHICLE PROGRAM   | x  |   | 286,079.  | 72,362.  | 213,717.  |
| STRATEGIC FUNDRAISING, INC  | DIRECT MAIL DONOR   |  |   | ,   | •  | ,   |
| 7800 3RD N. SUITE 900, ST.  | MARKETING   |  | x   | 255,958.  | 235,151.   | 20,807.   |
|   |   |  |   |   |  |   |
| Total   |   |  |   | 6,481,071.  | 3,073,343.   | 3,407,728.  |
| List all states in which the organization or licensing.   |   |  |   |   | d it is exempt from re   | egistration   |
| AL, AK, AZ, AR, CA, CO, CT, DE, DC, FL, G   |   |  |   |   |  |   |
| OR,OK,OH,ND,NC,NY,NM,NJ,NH,NV,N   | E,MT,MO,MS,MN,MI,MA,MD,ME,  | LA,KY,   | KS,I  | A,IN,IL   |  |   |
| WV  |   |  |   |   |  |   |
|   |   |  |   |   |  | _   |
|   |   |  |   |   |  |   |
|   |   |  |   |   |  |   |
|   |   |  |   |   |  |   |
|   |   |  |   |   |  |   |
|   |   |  |   |   |  |   |

Schedule G (Form 990 or 990-EZ) 2014 AMERICAN HEART ASSOCIATION, INC. 13-5613797 Page Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000

|                        |      | of fundraising event contributions and gr   |                            |                                       |                      | ts greater than \$5,000.     |
|------------------------|------|---|----------------------------|---------------------------------------|----------------------|------------------------------|
|                        |      |   | (a) Event #1               | (b) Event #2                          | (c) Other events     | (d) Total events             |
|                        |      |   | DALLAS HEARTWALK           | DALLAS HEART BALL                     | 7145                 | (add col. <b>(a)</b> through |
| a)                     |      |   | (event type)               | (event type)                          | (total number)       | COI. <b>(C)</b> )            |
| -<br>Sun               |      |   |                            |                                       |                      |                              |
| Revenue                | 1    | Gross receipts  | 5,865,568.                 | 3,817,466.                            | 314,893,598.         | 324,576,632.                 |
| ш                      | 2    | Less: Contributions   | 5,865,568.                 | 2,096,712.                            | 294,141,394.         | 302,103,674.                 |
|                        |      |   |                            |                                       |                      |                              |
|                        | 3    | Gross income (line 1 minus line 2)  |                            | 1,720,754.                            | 20,752,204.          | 22,472,958.                  |
|                        | 4    | Cash prizes   |                            |                                       |                      |                              |
|                        | _ ا  | Name and a signal   | 65 017                     | 0 062                                 | 11 617 720           | 11 602 507                   |
| Ś                      | 5    | Noncash prizes  | 65,917.                    | 9,862.                                | 11,617,728.          | 11,693,507.                  |
| <b>Direct Expenses</b> | 6    | Rent/facility costs   | 112,422.                   | 257,538.                              | 10,004,894.          | 10,374,854.                  |
| rect E)                | 7    | Food and beverages  | 1,300.                     | 7,268.                                | 7,481,962.           | 7,490,530.                   |
| ₫                      | ١.   |   |                            |                                       |                      |                              |
|                        | 8    | Entertainment   |                            |                                       |                      |                              |
|                        | 9    | Other direct expenses   |                            | · · · · · · · · · · · · · · · · · · · |                      | 2,752,856.                   |
|                        | 10   | Direct expense summary. Add lines 4 throug  |                            |                                       |                      | 33,921,748.                  |
| Pa                     |      | Net income summary. Subtract line 10 from lill Gaming. Complete if the organization | ne 3, column (d)           | 000 Dort IV line 10 or                | ranartad mara than   | -11,448,790.                 |
| 1 6                    |      | \$15,000 on Form 990-EZ, line 6a.   | answered res to Form       | 990, Part IV, line 19, 01             | reported more triair |                              |
|                        |      | \$15,000 on Form 990-EZ, line oa.   |                            | (b) Pull tabs/instant                 |                      | (d) Total gaming (add        |
| ne                     |      |   | (a) Bingo                  | bingo/progressive bingo               | (c) Other gaming     | col. (a) through col. (c)    |
| Revenue                |      |   |                            | singe, progressive singe              |                      | ooi. (a) amoagir ooi. (o)    |
| Re                     | ١.,  | Cross revenue   | 8,598.                     |                                       | 325,125.             | 333,723.                     |
|                        | 1    | Gross revenue   | 0,330.                     |                                       | 323,123.             | 333,723.                     |
|                        | ١,   | Cash prizes   |                            |                                       |                      |                              |
| ses                    |      | Casii prizes  |                            |                                       |                      |                              |
| Expenses               | 3    | Noncash prizes  |                            |                                       | 44,441.              | 44,441.                      |
| Direct                 | 4    | Rent/facility costs   |                            |                                       |                      |                              |
|                        | _    |   |                            |                                       |                      |                              |
|                        | 5    | Other direct expenses   |                            |                                       |                      |                              |
|                        |      | W.L. 1  | Yes %                      | Yes %                                 | Yes %                |                              |
|                        | 6    | Volunteer labor   | X No                       | ∟ No                                  | X No                 |                              |
|                        | 7    | Direct expense summary. Add lines 2 throug  | h 5 in column (d)          |                                       | <b>&gt;</b>          | 44,441.                      |
|                        | 8    | Net gaming income summary. Subtract line 7  | 7 from line 1, column (d)  |                                       | <b>&gt;</b>          | 289,282.                     |
|                        |      |   |                            |                                       |                      |                              |
| 9                      | En   | ter the state(s) in which the organization cond                                     | ucts gaming activities: Al | L, AR, FL, LA, MS, NY, TI             | N,TX                 |                              |
|                        |      | the organization licensed to conduct gaming a                                       |                            |                                       |                      | Yes X No                     |
| b                      | lf " | No," explain: LICENSED WHERE REQUIRED.  | . SOME STATES DO N         | OT REQUIRE SPECIFI                    | С                    |                              |
|                        | L    | ICENSURE OR THE ACTIVITY IS BELOW T   | THE SPECIFIED THRES        | HOLD.                                 |                      |                              |
|                        |      |   |                            |                                       |                      |                              |
| 10a                    | We   | ere any of the organization's gaming licenses r                                     | evoked, suspended or te    | rminated during the tax               | year?                | Yes X No                     |
| b                      | If " | Yes," explain:  |                            |                                       |                      |                              |
|                        |      |   |                            |                                       |                      |                              |
|                        |      |   |                            |                                       |                      |                              |

| Sch      | edule G (Form 990 or 990-EZ) 2014 AMERICAN HEART ASSOCIATION, INC.   | .3/9/  |       | Page 3   |
|----------|--|--------|-------|----------|
| 11       | Does the organization conduct gaming activities with nonmembers?   |        | Yes   | X No     |
| 12       | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed   | _      |       |          |
|          | to administer charitable gaming?   | Х      | Yes   | └─ No    |
| 13       | Indicate the percentage of gaming activity conducted in:   |        |       |          |
| а        | The organization's facility  | 13a    |       | %        |
| b        | An outside facility  | 13b    | 1     | .00.00 % |
| 14       | Enter the name and address of the person who prepares the organization's gaming/special events books and records:  |        |       |          |
|          | Name Sunder Joshi, CFO CAO   |        |       |          |
|          | Address > 7272 GREENVILLE AVENUE - DALLAS, TX 75231  |        |       |          |
| 15a      | Does the organization have a contract with a third party from whom the organization receives gaming revenue?   | . 🗆    | Yes   | X No     |
| b        | o If "Yes," enter the amount of gaming revenue received by the organization > \$ and the amount  |        |       |          |
|          | of gaming revenue retained by the third party >\$  |        |       |          |
| c        | If "Yes," enter name and address of the third party:   |        |       |          |
|          | Name   |        |       |          |
|          | Address ►  |        |       |          |
| 16       | Gaming manager information:  |        |       |          |
|          | Name SEE SCHEDULE G, PART IV   |        |       |          |
|          | Gaming manager compensation ▶ \$   |        |       |          |
|          | Description of services provided   |        |       |          |
|          |  |        |       |          |
|          |  |        |       |          |
|          |  |        |       |          |
|          | Director/officer Employee Independent contractor   |        |       |          |
| 17       | Mandatory distributions:   |        |       |          |
|          | s the organization required under state law to make charitable distributions from the gaming proceeds to   |        |       |          |
| d        |  |        | Yes   | X No     |
| <b>L</b> | retain the state gaming license?   | . —    | 103   | 110      |
| L        | Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the   |        |       |          |
| Da       | organization's own exempt activities during the tax year  \$\int IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, li                            | 200 0  | 0h 1  | 0h 15h   |
| Га       | Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, line 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions). | nes 9, | 90, 1 | 00, 150, |
|          | 136, 10, and 175, as applicable. Also provide any additional information (see instructions).   |        |       |          |
| SCH      | EDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:  |        |       |          |
|          |  |        |       |          |
| (I)      | NAME OF FUNDRAISER: INFOCISION MANAGEMENT CORPORATION  |        |       |          |
| (T)      | ADDRESS OF FUNDRAISER: 33 SPRINGSIDE DRIVE, AKRON, OH 44333  |        |       |          |
| (1)      | INDICATE OF TOUDANTEEN. 33 STRINGSIDE BRIVE, IMAGN, OF 44333   |        |       |          |
| (I)      | NAME OF FUNDRAISER: INSURANCE AUTO AUCTIONS  |        |       |          |
|          | ADDRESS OF FUNDRAISER:   |        |       |          |
| ` + /    |  |        |       |          |
| 130      | 85 HAMILTON CROSSING, SUITE 500, CARMEL, IN 46032  |        |       |          |

### SCHEDULE I (Form 990)

Department of the Treasury Internal Revenue Service Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

➤ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 **2014** 

Open to Public Inspection

| Name of the organization   |                  |                               |                          |   | •  |  | Employer identification number     |
|--|------------------|-------------------------------|--------------------------|---|--|--|------------------------------------|
|  | RT ASSOCIATION   | , INC.                        |                          |   |  |  | 13-5613797                         |
| Part I General Information on Grants   |                  |                               |                          |   |  |  |                                    |
| 1 Does the organization maintain record  |                  |                               |                          |   |  |  |                                    |
| criteria used to award the grants or as  | sistance?        |                               |                          |   |  |  | Yes No                             |
| 2 Describe in Part IV the organization's  Part II Grants and Other Assistance          |                  |                               |                          |   |  |  | W. W. O. A.                        |
| Granto and Other Addictance  |                  |                               |                          |   | anization answered "   | Yes" to Form 990, Part                 | IV, line 21, for any               |
| recipient that received more tha  1 (a) Name and address of organization or government |                  | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation (book,<br>FMV, appraisal,<br>other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| A-1 AMBULANCE INC.   |                  |                               |                          |   |  |  |                                    |
| 507 CENTENNIAL AVENUE  |                  |                               |                          |   |  |  | DEFIBRILLATORS AND                 |
| BUTTE, MT 59701  | 81-0395765       |                               | 24,877.                  | 0.                                      |  |  | MONITORS                           |
|  |                  |                               |                          |   |  |  |                                    |
| ABSAROKEE AMBULANCE SERVICE<br>PO BOX 324<br>ABSAROKEE, MT 59001                       | 84-1397309       |                               | 24,992.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS     |
| ACTIVE TRANSPORTATION ALLIANCE 9 WEST HUBBARD STREET, SUITE 402 CHICAGO, IL 60654      | 36-3385886       | 501(C)(3)                     | 91,996.                  | 0.                                      |  |  | CHILDHOOD OBESITY<br>INITIATIVE    |
| AIUM CARDIOVASCULAR, INC.<br>6575 145TH STREET EAST<br>NORTHFIELD, MN 55057            | 27-4642245       |                               | 25,000.                  | 0.                                      |  |  | INNOVATION GRANT                   |
| ALBERT EINSTEIN COLLEGE OF<br>MEDICINE - 1300 MORRIS PARK AVENU<br>- BRONX, NY 10461   | E 13-1624225     | 501(C)(3)                     | 795,431.                 | 0.                                      |  |  | RESEARCH                           |
| ALLEN MEMORIAL HOSPITAL<br>1825 LOGAN AVENUE<br>WATERLOO, IA 50703                     | 42-0698265       | 501(C)(3)                     | 53,400.                  | 0.                                      |  |  | EMERGENCY EQUIPMENT<br>UPGRADE     |
| 2 Enter total number of section 501(c)(3   | and government o | organizations listed in t     | he line 1 table          |   |  |  | 425.                               |
| 3 Enter total number of other organization   |                  |                               |                          |   |  |  |                                    |

| Part II Continuation of Grants and Other            | Assistance to G | overnments and Organ          | nizations in the U       | <b>nited States</b> (Scho               | edule I (Form 990), Pa<br>I                                    | ırt II.)<br>T                          | <u> </u>                           |
|---|-----------------|-------------------------------|--------------------------|---|--|--|------------------------------------|
| (a) Name and address of organization or government  | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| ALLIANCE FOR A HEALTHIER                            |                 |                               |                          |   |  |  |                                    |
| GENERATION - 55 WEST 125TH STREET                   |                 |                               |                          |   |  |  | CHILDHOOD OBESITY                  |
| - NEW YORK, NY 10027                                | 27-2028308      | 501(C)(3)                     | 2,060,000.               | 0.                                      |  |  | INITIATIVE                         |
| ALPINE EMS  |                 |                               |                          |   |  |  |                                    |
| PO BOX 3030   |                 |                               |                          |   |  |  | EMERGENCY EQUIPMENT                |
| ALPINE, WY 83128                                    | 46-3063166      | 501(C)(3)                     | 28,395.                  | 0.                                      |  |  | UPGRADE                            |
|   |                 |                               |                          |   |  |  |                                    |
| ALTURA AMBULANCE                                    |                 |                               |                          |   |  |  |                                    |
| 25 NORTH MAIN STREET                                |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                 |
| ALTURA, MN 55910                                    | 41-6004932      | CITY OF ALTURA                | 18,982.                  | 0.                                      |  |  | MONITORS                           |
| AMERICAN LUNG ASSOCIATION OF THE                    |                 |                               |                          |   |  |  |                                    |
| MIDLAND - 5900 WILCOX PLACE -                       |                 |                               |                          |   |  |  |                                    |
| DUBLIN, OH 43016                                    | 31-4379531      | 501(C)(3)                     | 32,000.                  | 0.                                      |  |  | ANTI-TOBACCO ADVOCACY              |
|   |                 |                               | ,,                       |   |  |  |                                    |
| AMERICAN MEDICAL RESPONSE                           |                 |                               |                          |   |  |  |                                    |
| 600 EAST CARLSON STREET, SUITE 101                  |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                 |
| CHEYENNE, WY 82009                                  | 75-2474011      | CITY OF CHEYENNE              | 71,459.                  | 0.                                      |  |  | MONITORS                           |
| AMERICAN MEDICAL RESPONSE                           |                 |                               |                          |   |  |  |                                    |
| AMBULANCE INC 6200 SOUTH                            |                 |                               |                          |   |  |  |                                    |
| SYRACUSE WAY, SUITE 200 -                           |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                 |
| GREENWOOD VILLAGE, CO 80111                         | 04-3147881      |                               | 23,758.                  | 0.                                      |  |  | MONITORS                           |
| ADEME EDUCAMION INC                                 |                 |                               |                          |   |  |  |                                    |
| ARETE EDUCATION INC. 577 GRAND CONCOURSE, SUITE 140 |                 |                               |                          |   |  |  |                                    |
| BRONX, NY 10451                                     | 80-0789207      | 501(C)(3)                     | 30,000.                  | 0.                                      |  |  | COMMUNITY IMPACT GRANT             |
| BRONA, NI 10431                                     | 00 0703207      | 501(0/(5/                     | 30,000.                  | 0.                                      |  |  | COMMONTIT IMPACT GRANT             |
| ARIZONA STATE UNIVERSITY, TEMPE                     |                 |                               |                          |   |  |  |                                    |
| 1151 SOUTH FOREST AVENUE                            |                 |                               |                          |   |  |  |                                    |
| TEMPE, AZ 85287                                     | 86-0196696      | STATE OF AZ                   | 44,788.                  | 0.                                      |  |  | RESEARCH                           |
| ASIAN PACIFIC AMERICAN NETWORK OF                   |                 |                               | •                        |   |  |  |                                    |
| OREGON - 2788 SOUTHEAST 82ND                        |                 |                               |                          |   |  |  |                                    |
| AVENUE, SUITE 203 -                                 |                 |                               |                          |   |  |  | CHILDHOOD OBESITY                  |
| PORTLAND, OR 97266                                  | 80-0252850      | 501(C)(3)                     | 9,776.                   | 0.                                      |  |  | INITIATIVE                         |

| Part II Continuation of Grants and Other   | Assistance to G | overnments and Organ          | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | rt II.)                                   |                                       |
|--|-----------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government                                   | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| BAYARD FIRE AND RESCUE<br>1 MAIN STREET<br>BAYARD, IA 50029                          | 47-6006091      | 501(C)(3)                     | 25,467.                  | 0.                                      |  |   | DEFIBRILLATORS AND<br>MONITORS        |
| BAYLOR COLLEGE OF MEDICINE<br>PO BOX 301207<br>DALLAS, TX 75303                      | 74-1613878      | 501(C)(3)                     | 1,439,062.               | 0.                                      |  |   | RESEARCH                              |
| BEAVERHEAD EMERGENCY MEDICAL SERVICES - 330 EAST REEDER STREET - DILLON, MT 59725    | 81-0373318      | 501(C)(3)                     | 25,214.                  | 0.                                      |  |   | DEFIBRILLATORS AND<br>MONITORS        |
| BENEFIS HOSPITALS INC.<br>1101 26TH STREET SOUTH<br>GREAT FALLS, MT 59405            | 81-0232122      | 501(C)(3)                     | 77,900.                  | 0.                                      |  |   | EMERGENCY EQUIPMENT<br>UPGRADE        |
| BERTRAND RESCUE UNIT<br>510 MINOR AVENUE<br>BERTRAND, NE 68927                       | 47-6006103      | CITY OF BERTRAND              | 25,170.                  | 0.                                      |  |   | DEFIBRILLATORS AND<br>MONITORS        |
| BETH ISRAEL DEACONESS MEDICAL<br>CENTER - 330 BROOKLINE AVENUE -<br>BOSTON, MA 02215 | 04-2103881      | 501(C)(3)                     | 1,565,092.               | 0.                                      |  |   | RESEARCH                              |
| BEYOND SOCCER INC.<br>60 ISLAND STREET, SUITE 508E<br>LAWRENCE, MA 01840             | 45-0648718      | 501(C)(3)                     | 17,500.                  | 0.                                      |  |   | COMMUNITY IMPACT GRANT                |
| BICYCLE TRANSPORTATION<br>618 NORTHWEST GLISAN STE 401<br>PORTLAND, OR 97209         | 93-1057956      | 501(C)(3)                     | 89,704.                  | 0.                                      |  |   | CHILDHOOD OBESITY<br>INITIATIVE       |
| BIG HORN COUNTY AMBULANCE<br>PO BOX 908<br>HARDIN, MT 59034                          | 81-6001333      |                               | 70,185.                  | 0.                                      |  |   | DEFIBRILLATORS AND<br>MONITORS        |

| (a) Name and address of            | (b) EIN    | (c) IRC section  | (d) Amount of | (e) Amount of          | (f) Method of                                 | (g) Description of  | (h) Purpose of grant |
|------------------------------------|------------|------------------|---------------|------------------------|---|---------------------|----------------------|
| organization or government         | (b) EIN    | if applicable    | cash grant    | non-cash<br>assistance | valuation<br>(book, FMV,<br>appraisal, other) | non-cash assistance | or assistance        |
| IG SANDY VOLUNTEER FIRE            |            |                  |               |                        |   |                     |                      |
| DEPARTMENT - 258 JUDITH LANDING    |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| ROAD - BIG SANDY, MT 59520         | 81-0502018 |                  | 24,841.       | 0.                     |   |                     | MONITORS             |
| BIG SKY FIRE DEPARTMENT            |            |                  |               |                        |   |                     |                      |
| 550 RAINBOW TROUT RUN              |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| BIG SKY, MT 59716                  | 81-0480831 |                  | 24,652.       | 0.                     |   |                     | MONITORS             |
| ,                                  |            |                  | , -           |                        |   |                     |                      |
| BIG STONE HEALTHCARE FOUNDATION    |            |                  |               |                        |   |                     |                      |
| 450 EASTVOLD AVENUE                |            |                  |               |                        |   |                     | EMERGENCY EQUIPMENT  |
| ORTONVILLE, MN 56278               | 41-1659162 | 501(C)(3)        | 11,999.       | 0.                     |   |                     | UPGRADE              |
| BILLINGS CLINIC FOUNDATION         |            |                  |               |                        |   |                     |                      |
| LO20 NORTH 27TH STREET             |            |                  |               |                        |   |                     | EMERGENCY EQUIPMENT  |
| BILLINGS, MT 59101                 | 81-0407289 | 501(C)(3)        | 64,650.       | 0.                     |   |                     | UPGRADE              |
| ·                                  |            |                  | ·             |                        |   |                     |                      |
| BIOMEDICAL RESEARCH FOUNDATION OF  |            |                  |               |                        |   |                     |                      |
| SOUTH TEXAS, INC PO BOX 40512 -    |            |                  |               |                        |   |                     |                      |
| SAN ANTONIO, TX 78229              | 74-2522436 | 501(C)(3)        | 125,406.      | 0.                     |   |                     | RESEARCH             |
| BLAINE I INC.                      |            |                  |               |                        |   |                     |                      |
| PO BOX 1053                        |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| CHINOOK, MT 59523                  | 81-0529293 | 501(C)(3)        | 24,940.       | 0.                     |   |                     | MONITORS             |
|                                    |            |                  |               |                        |   |                     |                      |
| BLOOD CENTER OF WISCONSIN          |            |                  |               |                        |   |                     |                      |
| PO BOX 78961                       |            |                  |               |                        |   |                     |                      |
| MILWAUKEE, WI 53278                | 39-0807235 | 501(C)(3)        | 128,093.      | 0.                     |   |                     | RESEARCH             |
| BLOOMING PRAIRIE AMBULANCE SERVICE |            |                  |               |                        |   |                     |                      |
| PO BOX 68                          |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| BLOOMING PRAIRIE, MN 56917         | 41-6004989 | CITY OF BLOOMING | 26,194.       | 0.                     |   |                     | MONITORS             |
|                                    |            |                  |               |                        |   |                     |                      |
| BOSTON COLLEGE                     |            |                  |               |                        |   |                     |                      |
| 140 COMMONWEALTH AVENUE            | 04.04.05.  | 504 (5) (2)      |               | _                      |   |                     | L                    |
| CHESTNUT HILL, MA 02467            | 04-2103545 | DOT(G)(3)        | 206,920.      | 0.                     |   |                     | RESEARCH             |

| Part II Continuation of Grants and Other           | Assistance to G | overnments and Organ          | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | rt II.)                                   |   |
|--|-----------------|-------------------------------|--------------------------|---|--|---|---|
| (a) Name and address of organization or government | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | <b>(h)</b> Purpose of grant or assistance |
| BOSTON MEDICAL CENTER                              |                 |                               |                          |   |  |   |   |
| 660 HARRISON AVENUE, 2ND FLOOR                     |                 |                               |                          |   |  |   |   |
| BOSTON, MA 02118                                   | 04-3314093      | 501(C)(3)                     | 531,183.                 | 0.                                      |  |   | RESEARCH                                  |
| BOSTON UNIVERSITY MEDICAL CAMPUS                   |                 |                               |                          |   |  |   |   |
| 85 EAST NEWTON STREET                              |                 |                               |                          |   |  |   |   |
| BOSTON, MA 02118                                   | 04-2103547      | 501(C)(3)                     | 533,691.                 | 0.                                      |  |   | RESEARCH                                  |
| DOWN I HOGDINA                                     |                 |                               |                          |   |  |   |   |
| BOWDLE HOSPITAL<br>8001 WEST 5TH STREET            |                 |                               |                          |   |  |   | EMERCENCY FOLLTOMENT                      |
| BOWDLE, SD 57428                                   | 46-0369929      | 501(C)(3)                     | 6,000.                   | 0.                                      |  |   | EMERGENCY EQUIPMENT UPGRADE               |
| BONDEE, BD 37420                                   | 40 0303323      | 501(0)(3)                     | 0,000.                   | • |  |   | or Grand I                                |
| BOZEMAN DEACONESS FOUNDATION                       |                 |                               |                          |   |  |   |   |
| 931 HIGHLAND BLVD, SUITE 3200                      |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                       |
| BOZEMAN, MT 59715                                  | 84-1407943      | 501(C)(3)                     | 52,900.                  | 0.                                      |  |   | UPGRADE                                   |
|  |                 |                               |                          |   |  |   |   |
| BRIDGER CANYON VOLUNTEER FIRE                      |                 |                               |                          |   |  |   |   |
| DEPARTMENT - 8081 BRIDGER CANYON                   |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                        |
| ROAD - BOZEMAN, MT 59715                           | 81-0535971      |                               | 24,992.                  | 0.                                      |  |   | MONITORS                                  |
| DDIGUIN & WONDN'G WOODIEN                          |                 |                               |                          |   |  |   |   |
| BRIGHAM & WOMEN'S HOSPITAL PO BOX 3887             |                 |                               |                          |   |  |   |   |
| BOSTON, MA 02241                                   | 04-2312909      | 501(C)(3)                     | 2,595,532.               | 0.                                      |  |   | RESEARCH                                  |
| BOSION, MI 02241                                   | 04 2312303      | 501(0)(3)                     | 2,333,332.               | • |  |   | KEBERIKOII                                |
| BRODSTONE MEMORIAL HOSPITAL                        |                 |                               |                          |   |  |   |   |
| 520 EAST 10TH STREET                               |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                       |
| SUPERIOR, NE 68978                                 | 47-0388012      | 501(C)(3)                     | 11,996.                  | 0.                                      |  |   | UPGRADE                                   |
|  |                 |                               |                          |   |  |   |   |
| BROKEN BOW FIRE AND RESCUE                         |                 |                               |                          |   |  |   |   |
| 314 SOUTH 10TH AVENUE                              |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                        |
| BROKEN BOW, NE 68822                               | 47-6006117      | CITY OF BROKEN B              | 25,467.                  | 0.                                      |  |   | MONITORS                                  |
| BROOKINGS HEALTH SYSTEM                            |                 |                               |                          |   |  |   |   |
| 300 22ND AVENUE                                    |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                       |
| BROOKINGS, SD 57006                                | 27-1785343      |                               | 10,000.                  | 0.                                      |  |   | UPGRADE                                   |
|  | 1 000010        | 1                             | 10,000.                  | <u>.</u>                                | l  | l   | <del></del>                               |

| PO BOX 1929 PROVIDENCE, RI 02912  05-0258809  501(C)(3)  476,418.  0.  RESEARCH  BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH  BUFFALO LAKE, MN 55314  91-6005019  CITY OF BUFFALO  18,982.  0.  DEFIBRILLATORS AND  MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE FLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  DEFIBRILLATORS AND  BURWELL RURAL FIRE DISTRICT 917 G STREET  DEFIBRILLATORS AND  BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD  HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDDE RESCUE SERVICE 722 PATTERSON STREET  CAMBRIDDE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  ACTION REGISTRY  CAMBRIDGE, NE 69022  31-1524546  501(C)(3)  8,700.  0.  ACTION REGISTRY  ACTION REGISTRY  CAMBRIDGE NOW TOBACCO FREE KLDS ACTION TREGISTRY  ACTION REGISTRY  ACTION REGISTRY  ACTION REGISTRY  CAMBRIDGE NOW TOBACCO FREE KLDS ACTION 1400 I STREET NORTHWEST, SUITE 1200  - PARKERESURG WV 26102  ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THE SUITE THE THE THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THE   | Part II Continuation of Grants and Other | Assistance to Go | overnments and Orgar | nizations in the U | <b>nited States</b> (Scho | edule I (Form 990), Pa<br>I | ırt II.)<br>T |                       |
|--|--|------------------|----------------------|--------------------|---------------------------|-----------------------------|---------------|-----------------------|
| 142 WEST 4TH STREET  36 4634828 CITY OF AINSWORT 25,532. 0. MCNITORS  BROWN UNIVERSITY PO BOX 1929 PROVIDENCE, RI 02912 05-0258809 501(c)(3) 476,418. 0. RESEARCH  BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH BUFFALO LAKE, MN 55314 91-6005019 CITY OF BUFFALO 18,982. 0. MCNITORS  BURKE MEDICAL RESEARCH INSTITUTE 85 MAMARGANECK AVENUE 8107 MARGANECK 8107 MARGANECK AVENUE 8107 MARGANECK  | • •                                      | (b) EIN          |                      |                    | non-cash                  | valuation<br>(book, FMV,    | , · · ·       |                       |
| 142 MEST 4TH STREET  36 -4634828 CITY OF AINSWORT 25,332. 0. DEFIBRILLATORS AND AINSWORTH, NE 69210  36 -4634828 CITY OF AINSWORT 25,332. 0. MONITORS  BOWN UNIVERSITY  DO BOX 1929  PROVIDENCE, RI 02912 05-0258809 501(c)(3) 476,418. 0. RESEARCH  BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH  BUFFALO LAKE, NN 55314 91-6005019 CITY OF BUFFALO 18,982. 0. DEFIBRILLATORS AND MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONCEK AVERUE 787 MAMARONCEK AVERUE 789 MAMARONCEK AVERUE 780 MAMARONCEK AVERUE 780 MAMARONCEK AVERUE 781 MAMARONCEK AVERUE 781 MAMARONCEK AVERUE 782 MAMARONCEK AVERUE 783 MAMARONCEK AVERUE 784 MAMARONCEK AVERUE 785 MAMARONCEK AVERUE 786 MAMARONCEK AVERUE 787 MAMARONCEK AVERUE 787 MAMARONCEK AVERUE 788 MAMARONCEK AVERUE 789 MAMARONCEK AVERUE 780 MAMARONCEK AVERUE 780 MAMARONCEK AVERUE 781 MAMARONCEK AVERUE 781 MAMARONCEK AVERUE 781 MAMARONCEK AVERUE 782 MAMARONCEK AVERUE 783 MAMARONCEK AVERUE 784 MAMARONCEK AVERUE 784 MAMARONCEK AVERUE 784 MAMARONCEK AVERUE 785 MAMARONCEK AVERUE 785 MAMARONCEK AVERUE 785 MAMARONCEK AVERUE 786 MAMARONCEK AVERUE 785 MAMARONCEK AVERUE 786 MAMARONCEK AVERUE 786 MAMARONCEK AVERUE 787 MAMARONCEK AVERUE 787 MAMARONCEK AVERUE 785 MAMARONCEK AVERUE 786 MAMARONCEK AVERUE 787 MAMARONCEK AVERUE 788 MAMARONCEK AVERUE 788 MAMARONCEK AVERUE 789 MAMARONCEK 789 MAMARONC | RROWN COUNTY AMBIILANCE ASSOCIATION      |                  |                      |                    |                           |                             |               |                       |
| AINSWORTH, NE 69210 36-6534828 CITY OF AINSWORT 25,532. 0. MONITORS  BROWN UNIVERSITY PO BOX 1929  BROYDIDENCE, RI 02912 05-0258809 501(c)(3) 476,418. 0. RESEARCH  BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH  BUFFALO LAKE, MN 55314 91-6005019 CITY OF BUFFALO 18,982. 0. MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 765 MANARONECK AVENUE  WHITE PLAINS, NY 10605 13-3434924 501(c)(3) 84,738. 0. RESEARCH  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823 47-0721571 CITY OF BURWELL 25,467. 0. MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WY 25701 55-0675666 501(c)(3) 6,800. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. ACTION REGISTRY   |  |                  |                      |                    |                           |                             |               | DEFIBRILLATORS AND    |
| BROWN UNIVERSITY PO BOX 1929 PROVIDENCE, RI 02912  05-0258809  501(C)(3)  476,418.  0.  RESEARCH  DEFIBRILLATORS AND BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH BUFFALO LAKE, NN 55314  91-6005019  CITY OF BUFFALO  18,982.  0.  DEFIBRILLATORS AND MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE FLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  BURNELL RURAL FIRE DISTRICT 917 G STREET  BURNELL, NE 68823  47-0721571  CITY OF BURNELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, NV 25701  55-0675666  501(C)(3)  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  ACTION REGISTRY  CAMBRIDGE, NE 69022  31-1524546  501(C)(3)  8,700.  0.  ACTION REGISTRY  CAMBRIDGE, NO GARPIELD AVEUE 7ARCHESSUES NO GARPIELD AVEUE 7ARCHESSUES NO GARPIELD AVEUE 7ARCHESSUES NO TORACCO FREE KIDS ACTION REGISTRY  CAMBRIDGE TRACES OF TORACCO FREE KIDS ACTION REGISTRY  CAMBRIDGE TRACES OF TORACCO FREE KIDS ACTION TRACEOFREE  |  | 36-4634828       | CITY OF AINSWORT     | 25 532.            | 0.                        |                             |               |                       |
| PO BOX 1929 PROVIDENCE, RI 02912  05-0258809  501(C)(3)  476,418.  0.  RESEARCH  BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH  BUFFALO LAKE, MN 55314  91-6005019  CITY OF BUFFALO  18,982.  0.  DEFIBRILLATORS AND  MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE FLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  DEFIBRILLATORS AND  BURWELL RURAL FIRE DISTRICT 917 G STREET  DEFIBRILLATORS AND  BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD  HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDDE RESCUE SERVICE 722 PATTERSON STREET  CAMBRIDDE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  ACTION REGISTRY  CAMBRIDGE, NE 69022  31-1524546  501(C)(3)  8,700.  0.  ACTION REGISTRY  ACTION REGISTRY  CAMBRIDGE NOW TOBACCO FREE KLDS ACTION TREGISTRY  ACTION REGISTRY  ACTION REGISTRY  ACTION REGISTRY  CAMBRIDGE NOW TOBACCO FREE KLDS ACTION 1400 I STREET NORTHWEST, SUITE 1200  - PARKERESURG WV 26102  ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THE SUITE THE THE THEREST NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KLDS ACTION THE   |  |                  |                      | , -                |                           |                             |               |                       |
| PROVIDENCE, RI 02912 05-0258809 501(C)(3) 476,418. 0. RESEARCH  BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH  BUFFALO LAKE, MN 55314 91-6005019 CITY OF BUFFALO 18,982. 0. MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE FLAINS, NY 10605 13-3434924 501(C)(3) 84,738. 0. RESEARCH  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823 47-0721571 CITY OF BURWELL 25,467. 0. MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701 55-0675666 501(C)(3) 6,800. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 7.22 PATTERSON STREET CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. MONITORS  CAMBELL HUNTINGTON - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  SUITE 1200 0. ACTION REGISTRY   | BROWN UNIVERSITY                         |                  |                      |                    |                           |                             |               |                       |
| BUFFALO LAKE AMBULANCE SERVICE 203 MAIN STREET NORTH BUFFALO LAKE, MN 55314  91-6005019 CITY OF BUFFALO  18,982.  0.  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE FLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  BURWELL RURAL FIRE DISTRICT  917 G STREET  BURWELL, NE 68823  47-0721571 CITY OF BURWELL  25,467.  0.  CABELL HUNTINGTON HOSPITAL INC.  1340 HAL GREER BLVD  HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 FATTERSON STREET  CAMBRIDGE, NE 69022  47-6006127 CITY OF CAMBRIDG  CAMBRIDGE, NE 69022  47-6006127 CITY OF CAMBRIDG  24,763.  0.  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  24,763.  0.  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY  CAMBRIDGE NE 69022  47-6006127 CITY OF CAMBRIDG  ACTION REGISTRY   | PO BOX 1929                              |                  |                      |                    |                           |                             |               |                       |
| 203 MAIN STREET NORTH BUFFALO LAKE, MN 55314  91-6005019  CITY OF BUFFALO  18,982.  0.  DEFIBRILLATORS AND MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE FLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  DEFIBRILLATORS AND MONITORS  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  31-1524546  501(C)(3)  8,700.  0.  ACTION REGISTRY  | PROVIDENCE, RI 02912                     | 05-0258809       | 501(C)(3)            | 476,418.           | 0.                        |                             |               | RESEARCH              |
| 203 MAIN STREET NORTH BUFFALO LAKE, MN 55314  91-6005019  CITY OF BUFFALO  18,982.  0.  DEFIBRILLATORS AND MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE FLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  DEFIBRILLATORS AND MONITORS  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  31-1524546  501(C)(3)  8,700.  0.  ACTION REGISTRY  |  |                  |                      |                    |                           |                             |               |                       |
| BUFRALO LAKE, MN 55314 91-6005019 CITY OF BUFFALO 18,982. 0. MONITORS  BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARORECK AVENUE WHITE PLAINS, NY 10605 13-3434924 501(C)(3) 84,738. 0. RESEARCH  BURWELL RURAL FIRE DISTRICT 917 G STREET DEFIBRILLATORS AND MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701 55-0675666 501(C)(3) 6,800. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATKERSON STREET CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDGE CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 724 PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 74 PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMBRIDGE CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 74 PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY   | BUFFALO LAKE AMBULANCE SERVICE           |                  |                      |                    |                           |                             |               |                       |
| BURKE MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE WHITE PLAINS, NY 10605  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823  47-0721571 CITY OF BURWELL 25,467.  0.  DEFIBRILLATORS AND MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127 CITY OF CAMBRIDG 24,763.  0.  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBUGG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  - VICTOR OF TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  | 203 MAIN STREET NORTH                    |                  |                      |                    |                           |                             |               | DEFIBRILLATORS AND    |
| 785 MAMARONECK AVENUE WHITE PLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDGE  24,763.  0.  MONITORS  DEPIBRILLATORS AND MONITORS  ACTION REGISTRY  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  ACTION REGISTRY  CAMBRIDGE CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200   | BUFFALO LAKE, MN 55314                   | 91-6005019       | CITY OF BUFFALO      | 18,982.            | 0.                        |                             |               | MONITORS              |
| 785 MAMARONECK AVENUE WHITE PLAINS, NY 10605  13-3434924  501(C)(3)  84,738.  0.  RESEARCH  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDGE  24,763.  0.  MONITORS  DEPIBRILLATORS AND MONITORS  ACTION REGISTRY  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  ACTION REGISTRY  CAMBRIDGE CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  - CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200   |  |                  |                      |                    |                           |                             |               |                       |
| WHITE PLAINS, NY 10605 13-3434924 501(C)(3) 84,738. 0. RESEARCH  BURWELL RURAL FIRE DISTRICT 917 G STREET BURWELL, NE 68823 47-0721571 CITY OF BURWELL 25,467. 0. MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701 55-0675666 501(C)(3) 6,800. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -   |  |                  |                      |                    |                           |                             |               |                       |
| BURWELL RURAL FIRE DISTRICT 917 G STREET 918 G 5878ET 919 G STREET 919 |  | 12 2424024       | E01/G)/2)            | 04 720             | 0                         |                             |               | DEGEARGI              |
| DEFIBRILLATORS AND BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC.  1340 HAL GREER BLVD  HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE  722 PATTERSON STREET  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL  CORPORATION - 800 GARFIELD AVENUE  - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS  ACTION - 1400 I STREET NORTHWEST,  SUITE 1200  - DEFIBRILLATORS AND  O.  ACTION REGISTRY  ACTION REGISTRY  O.  ACTION REGISTRY   | WHITE PLAINS, NY 10605                   | 13-3434924       | 501(C)(3)            | 84,/38.            | 0.                        |                             |               | RESEARCH              |
| DEFIBRILLATORS AND BURWELL, NE 68823  47-0721571  CITY OF BURWELL  25,467.  0.  MONITORS  CABELL HUNTINGTON HOSPITAL INC.  1340 HAL GREER BLVD  HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE  722 PATTERSON STREET  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  24,763.  0.  MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL  CORPORATION - 800 GARFIELD AVENUE  - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS  ACTION - 1400 I STREET NORTHWEST,  SUITE 1200  - DEFIBRILLATORS AND  O.  ACTION REGISTRY  ACTION REGISTRY  O.  ACTION REGISTRY   | BURWELL BURAL FIRE DISTRICT              |                  |                      |                    |                           |                             |               |                       |
| BURWELL, NE 68823 47-0721571 CITY OF BURWELL 25,467. 0. MONITORS  CABELL HUNTINGTON HOSPITAL INC. 1340 HAL GREER BLVD HUNTINGTON, WV 25701 55-0675666 501(C)(3) 6,800. 0.  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. MONITORS  CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -  |  |                  |                      |                    |                           |                             |               | DEFIBRILLATORS AND    |
| CABELL HUNTINGTON HOSPITAL INC.  1340 HAL GREER BLVD  HUNTINGTON, WV 25701  55-0675666  501(C)(3)  6,800.  0.  ACTION REGISTRY   CAMBRIDGE RESCUE SERVICE  722 PATTERSON STREET  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  ACTION REGISTRY   O.  ACTION REGISTRY  ACTION REGISTRY  ACTION REGISTRY  ACTION REGISTRY  ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS  ACTION - 1400 I STREET NORTHWEST,  SUITE 1200  -  |  | 47-0721571       | CITY OF BURWELL      | 25 467.            | 0.                        |                             |               |                       |
| 1340 HAL GREER BLVD HUNTINGTON, WV 25701  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  ACTION BOOK OF STREET NORTHWEST, SUITE 1200  ACTION ACTION BOOK OF STREET NORTHWEST, SUITE 1200   | ,  |                  |                      | _ , , _ , , ,      |                           |                             |               |                       |
| HUNTINGTON, WV 25701 55-0675666 501(C)(3) 6,800. 0. ACTION REGISTRY  CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -   | CABELL HUNTINGTON HOSPITAL INC.          |                  |                      |                    |                           |                             |               |                       |
| CAMBRIDGE RESCUE SERVICE 722 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127 CITY OF CAMBRIDG 24,763.  0.  MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  DEFIBRILLATORS AND MONITORS  0.  ACTION REGISTRY  | 1340 HAL GREER BLVD                      |                  |                      |                    |                           |                             |               |                       |
| T22 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG 24,763.  0.  MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  DEFIBRILLATORS AND MONITORS  0.  ACTION REGISTRY  | HUNTINGTON, WV 25701                     | 55-0675666       | 501(C)(3)            | 6,800.             | 0.                        |                             |               | ACTION REGISTRY       |
| T22 PATTERSON STREET CAMBRIDGE, NE 69022  47-6006127  CITY OF CAMBRIDG 24,763.  0.  MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200  DEFIBRILLATORS AND MONITORS  0.  ACTION REGISTRY  |  |                  |                      |                    |                           |                             |               |                       |
| CAMBRIDGE, NE 69022 47-6006127 CITY OF CAMBRIDG 24,763. 0. MONITORS  CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -  | CAMBRIDGE RESCUE SERVICE                 |                  |                      |                    |                           |                             |               |                       |
| CAMDEN CLARK MEMORIAL HOSPITAL CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -   | 722 PATTERSON STREET                     |                  |                      |                    |                           |                             |               | DEFIBRILLATORS AND    |
| CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -  | CAMBRIDGE, NE 69022                      | 47-6006127       | CITY OF CAMBRIDG     | 24,763.            | 0.                        |                             |               | MONITORS              |
| CORPORATION - 800 GARFIELD AVENUE - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -  |  |                  |                      |                    |                           |                             |               |                       |
| - PARKERSBURG, WV 26102 31-1524546 501(C)(3) 8,700. 0. ACTION REGISTRY  CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -  |  |                  |                      |                    |                           |                             |               |                       |
| CAMPAIGN FOR TOBACCO FREE KIDS ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -  |  | 21 1504546       | E01/G)/2)            | 0 500              | _                         |                             |               | A CHION DEGLESSO      |
| ACTION - 1400 I STREET NORTHWEST, SUITE 1200 -   |  | 31-1524546       | 5U1(C)(3)            | 8,700.             | 0.                        |                             |               | ACTION REGISTRY       |
| SUITE 1200 -   |  |                  |                      |                    |                           |                             |               |                       |
|  | •  |                  |                      |                    |                           |                             |               |                       |
|  | WASHINGTON, DC 20005                     | 52_1969967       | 501(C)(3)            | 87,500 <b>.</b>    | 0.                        |                             |               | ANTI-TOBACCO ADVOCACY |

|   | # N = W .       | ( ) 170                       |                          |   |  | ( ) 5                                     |                                       |
|---|-----------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government          | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| CAMPBELL COUNTY MEMORIAL HOSPITAL                           |                 |                               |                          |   |  |   |                                       |
| PO BOX 3011   |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| GILLETTE, WY 82716  | 83-0234097      | 501(C)(3)                     | 23,408.                  | 0.                                      |  |   | UPGRADE                               |
|   |                 |                               |                          |   |  |   |                                       |
| CARL T. HAYDEN MEDICAL RESEARCH                             |                 |                               |                          |   |  |   |                                       |
| FOUNDATION - 650 EAST INDIAN                                |                 |                               |                          |   |  |   |                                       |
| SCHOOL ROAD - PHOENIX, AZ 85012                             | 86-0907729      | 501(C)(3)                     | 125,406.                 | 0.                                      |  |   | RESEARCH                              |
| CARNEGIE MELLON UNIVERSITY                                  |                 |                               |                          |   |  |   |                                       |
| PO BOX 371032   |                 |                               |                          |   |  |   |                                       |
| PITTSBURGH, PA 15250  | 25-0969449      | 501(C)(3)                     | 35,830.                  | 0.                                      |  |   | RESEARCH                              |
|   |                 |                               | ,                        | - •                                     |  |   |                                       |
| CASE WESTERN RESERVE UNIVERSITY                             |                 |                               |                          |   |  |   |                                       |
| 10900 EUCLID AVENUE   |                 |                               |                          |   |  |   |                                       |
| CLEVELAND, OH 44106   | 34-1018992      | 501(C)(3)                     | 610,905.                 | 0.                                      |  |   | RESEARCH                              |
|   |                 |                               |                          |   |  |   |                                       |
| CASPER COLLEGE  |                 |                               |                          |   |  |   |                                       |
| 125 COLLEGE DRIVE   |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| CASPER, WY 82601  | 83-6001132      | STATE OF WY                   | 25,143.                  | 0.                                      |  |   | UPGRADE                               |
| GEDADG GINAT MEDICAL GENEED                                 |                 |                               |                          |   |  |   |                                       |
| CEDARS-SINAI MEDICAL CENTER                                 |                 |                               |                          |   |  |   |                                       |
| 6500 WILSHIRE BOULEVARD, SUITE 115<br>LOS ANGELES, CA 90048 | 95-1644600      | 501(C)(3)                     | 815,138.                 | 0.                                      |  |   | RESEARCH                              |
| LOS ANGELIES, CA 30040                                      | 33 1044000      | 501(0)(3)                     | 013,130.                 | 0.                                      |  |   | KEDEAKCII                             |
| CENTRAL CITY AMBULANCE                                      |                 |                               |                          |   |  |   |                                       |
| 1515 17TH STREET  |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| CENTRAL CITY, NE 66626                                      | 47-6006132      | CITY OF CENTRAL               | 26,440.                  | 0.                                      |  |   | MONITORS                              |
| ,   |                 |                               | ,                        |   |  |   |                                       |
| CENTRAL IOWA HEALTHCARE                                     |                 |                               |                          |   |  |   |                                       |
| 3 SOUTH 4TH AVENUE  |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| MARSHALLTOWN, IA 50158                                      | 42-0948420      | 501(C)(3)                     | 53,400.                  | 0.                                      |  |   | UPGRADE                               |
|   |                 |                               |                          |   |  |   |                                       |
| CENTRAL MONTANA MEDICAL FACILITIES                          |                 |                               |                          |   |  |   |                                       |
| INC 408 WENDELL AVENUE -                                    |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| LEWISTOWN, MT 59457   | 23-7169043      | 501(C)(3)                     | 36,841.                  | 0.                                      |  |   | MONITORS                              |

| Part II Continuation of Grants and Other                   | Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) |                               |                          |   |  |   |  |  |  |
|--|---|-------------------------------|--------------------------|---|--|---|--|--|--|
| (a) Name and address of organization or government         | (b) EIN   | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance        |  |  |
| CENTRAL VALLEY FIRE DISTRICT                               |   |                               |                          |   |  |   |  |  |  |
| 205 EAST MAIN STREET                                       |   |                               |                          |   |  |   | DEFIBRILLATORS AND                           |  |  |
| BELGRADE, MT 59714   | 81-0438237  |                               | 24,249.                  | 0.                                      |  |   | MONITORS                                     |  |  |
| CHADRON COMMUNITY HOSPITAL                                 |   |                               |                          |   |  |   |  |  |  |
| 825 CENTENNIAL DRIVE                                       |   |                               |                          |   |  |   | EMERGENCY EQUIPMENT                          |  |  |
| CHADRON, NE 69337  | 47-0482234  | 501(C)(3)                     | 11,999.                  | 0.                                      |  |   | UPGRADE                                      |  |  |
|  |   |                               |                          |   |  |   |  |  |  |
| CHEYENNE REGIONAL MEDICAL CENTER                           |   |                               |                          |   |  |   |  |  |  |
| 214 EAST 23RD STREET                                       | 00 5000404  | 504 (5) (2)                   | 50.040                   |   |  |   | EMERGENCY EQUIPMENT                          |  |  |
| CHEYENNE, WY 82001   | 83-6000194  | 501(C)(3)                     | 59,813.                  | 0.                                      |  |   | UPGRADE                                      |  |  |
| CHILDREN AT RISK   |   |                               |                          |   |  |   |  |  |  |
| 2900 WESLAYAN STREET, SUITE 400                            |   |                               |                          |   |  |   | CHILDHOOD OBESITY                            |  |  |
| HOUSTON, TX 77027  | 76-0360533  | 501(C)(3)                     | 97,712.                  | 0.                                      |  |   | INITIATIVE                                   |  |  |
| ·  |   |                               | ,                        |   |  |   |  |  |  |
| CHILDREN'S HOSPITAL BOSTON                                 |   |                               |                          |   |  |   |  |  |  |
| P.O BOX 414413   |   |                               |                          |   |  |   |  |  |  |
| BOSTON, MA 02115   | 04-2774441  | 501(C)(3)                     | 600,246.                 | 0.                                      |  |   | RESEARCH                                     |  |  |
|  |   |                               |                          |   |  |   |  |  |  |
| CHILDRENS HOSPITAL LOS ANGELES                             |   |                               |                          |   |  |   |  |  |  |
| 4650 SUNSET BOULEVARD, MAIL STOP # LOS ANGELES, CA 90025   | 95-1690977  | 501(C)(3)                     | 125,406.                 | 0.                                      |  |   | RESEARCH                                     |  |  |
| LOS ANGELLES, CA 90023                                     | 33-1030377  | 501(0/(3/                     | 123,400.                 | ,                                       |  |   | RESEARCH                                     |  |  |
| CHILDREN'S HOSPITAL MEDICAL CENTER                         |   |                               |                          |   |  |   |  |  |  |
| 5700 MARTIN LUTHER KING JR. WAY                            |   |                               |                          |   |  |   |  |  |  |
| OAKLAND, CA 94609  | 94-0382330  | 501(C)(3)                     | 42,996.                  | 0.                                      |  |   | RESEARCH                                     |  |  |
|  |   |                               |                          |   |  |   |  |  |  |
| CHILDREN'S HOSPITAL, CINCINNATI                            |   |                               |                          |   |  |   |  |  |  |
| 3333 BURNET AVENUE   |   |                               |                          |   |  |   |  |  |  |
| CINCINNATI, OH 45229                                       | 31-0833936  | 501(C)(3)                     | 2,769,512.               | 0.                                      |  |   | RESEARCH                                     |  |  |
| CULL DDENG DECEMBAL INCOLONG                               |   |                               |                          |   |  |   |  |  |  |
| CHILDRENS RESEARCH INSTITUTE 111 MICHIGAN AVENUE NORTHWEST |   |                               |                          |   |  |   |  |  |  |
| WASHINGTON, DC 20010                                       | 52-1654453  | 501(C)(3)                     | 182,734.                 | 0.                                      |  |   | RESEARCH                                     |  |  |
| miditation, be 20010                                       | 1 22 1034433  | 501(0)(3)                     | 102,734.                 | ı                                       |  | 1   | rinoni in i |  |  |

| (a) Name and address of organization or government   | <b>(b)</b> EIN | (c) IRC section<br>if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|----------------|----------------------------------|--------------------------|---|--|--|---------------------------------------|
| CHRISTIAN UNITY HOSPITAL<br>CORPORATION - 164 WEST 13TH STREET<br>- GRAFTON, ND 58237                | 45-0310159     | 501(C)(3)                        | 13,000.                  | 0.                                      |  |  | EMERGENCY EQUIPMENT<br>UPGRADE        |
| CITY OF BILLINGS FIRE DEPARTMENT<br>210 NORTH 27TH STREET<br>BILLINGS, MT 59101                      | 81-6001237     | CITY OF BILLINGS                 | 50,021.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| CITY OF CANNON FALLS AMBULANCE<br>918 RIVER ROAD<br>CANNON FALLS, MN 55009                           | 41-6005032     | CITY OF CANNON F                 | 27,635.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| CITY OF EDWARDSVILLE<br>418 NORTH MAIN STREET<br>EDWARDSVILLE, IL 62025                              | 37-6001409     | CITY OF EDWARDSV                 | 12,000.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| CITY OF FAIRFAX AMBULANCE SERVICE<br>PO BOX K<br>FAIRFAX, MN 55332                                   | 41-6005146     | CITY OF FAIRFAX                  | 19,540.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| CITY OF GENEVA RESCUE<br>167 SOUTH 10TH STREET<br>GENEVA, NE 68361                                   | 47-6006196     | CITY OF GENEVA                   | 25,170.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| CITY OF GRAND ISLAND FIRE<br>DEPARTMENT - 100 EAST 1ST STREET -<br>GRAND ISLAND, NE 68802            | 47-6006205     | CITY OF GRAND IS                 | 22,532.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| CITY OF HAVRE FIRE DEPARTMENT<br>520 4TH STREET<br>HAVRE, MT 59501                                   | 81-6001274     | CITY OF HAVRE                    | 24,807.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| CITY OF INTERNATIONAL FALLS<br>AMBULANCE SERVICE - 600 4TH STREET<br>- INTERNATIONAL FALLS, MN 56649 | 41-6005254     | CITY OF INTERNAT                 | 27,635.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |

| Part II Continuation of Grants and Other           | Assistance to Go | overnments and Organ          | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | ırt II.)                                  |                                       |
|--|------------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| CITY OF KALISPELL FIRE DEPARTMENT                  |                  |                               |                          |   |  |   |                                       |
| PO BOX 1997  |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| KALISPELL, MT 59901                                | 81-6001281       | CITY OF KALISPEL              | 24,887.                  | 0.                                      |  |   | MONITORS                              |
| CITY OF MADISON FIRE AND RESCUE                    |                  |                               |                          |   |  |   |                                       |
| PO BOX 527   |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| MADISON, NE 68748                                  | 47-6006267       | CITY OF MADISON               | 17,717.                  | 0.                                      |  |   | MONITORS                              |
| CITY OF MARTINS FERRY                              |                  |                               |                          |   |  |   |                                       |
| PO BOX 68  |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| MARTINS FERRY, OH 43935                            | 34-6001819       | CITY OF MARTINS               | 9,752.                   | 0.                                      |  |   | MONITORS                              |
|  |                  |                               | ,,,,,,,,                 | - •                                     |  |   |                                       |
| CITY OF MISSOULA                                   |                  |                               |                          |   |  |   |                                       |
| 435 RYMAN STREET                                   |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| MISSOULA, MT 59802                                 | 81-6001293       | CITY OF MISSOULA              | 7,882.                   | 0.                                      |  |   | MONITORS                              |
| GIMY OF PAYENNA TWG                                |                  |                               |                          |   |  |   |                                       |
| CITY OF RAVENNA EMS 416 GRAND AVENUE               |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| RAVENNA, NE 68869                                  | 47-6006337       | CITY OF RAVENNA               | 25,532.                  | 0.                                      |  |   | MONITORS                              |
| TAVENNA, NE 00009                                  | 47-0000337       | CITI OF RAVENNA               | 23,332.                  | <u> </u>                                |  |   | MONITORS                              |
| CITY OF WINDOM AMBULANCE SERVICE                   |                  |                               |                          |   |  |   |                                       |
| 444 9TH STREET                                     |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| WINDOM, MN 56101                                   | 41-6005647       | CITY OF WINDOM                | 23,235.                  | 0.                                      |  |   | MONITORS                              |
| CLAREMONT GRADUATE UNIVERSITY                      |                  |                               |                          |   |  |   |                                       |
| 150 EAST TENTH STREET                              |                  |                               |                          |   |  |   |                                       |
| CLAREMONT, CA 91711                                | 95-1664100       | 501(C)(3)                     | 125,406.                 | 0.                                      |  |   | RESEARCH                              |
| ,  |                  |                               | ,                        |   |  |   |                                       |
| CLEVELAND CLINIC FOUNDATION                        |                  |                               |                          |   |  |   |                                       |
| 9500 EUCLID AVENUE                                 |                  |                               |                          |   |  |   |                                       |
| CLEVELAND, OH 44195                                | 34-0714585       | 501(C)(3)                     | 2,280,577.               | 0.                                      |  |   | RESEARCH                              |
| CLINTON RURAL FIRE DISTRICT                        |                  |                               |                          |   |  |   |                                       |
| 20300 US HIGHWAY 10 EAST                           |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| CLINTON, MT 59825                                  | 81-0469188       |                               | 24,842.                  | 0.                                      |  |   | MONITORS                              |

| Part II Continuation of Grants and Other                                       | Assistance to G | overnments and Organ          | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | rt II.)                                   |                                       |
|--|-----------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government                             | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| COLORADO STATE UNIVERSITY, FORT  |                 |                               |                          |   |  |   |                                       |
| COLLINS - 2002 CAMPUS DELIVERY - FORT COLLINS, CO 80523                        | 84-6000545      | STATE OF CO                   | 173,777.                 | 0.                                      |  |   | RESEARCH                              |
| COLUMBIA UNIVERSITY, NEW YORK  |                 |                               |                          |   |  |   |                                       |
| PO BOX 29789   | 12 5500002      | E01/G)/3)                     | 1 572 400                |   |  |   | DEGEARGI                              |
| NEW YORK, NY 10087   | 13-5598093      | 501(C)(3)                     | 1,572,409.               | 0.                                      |  |   | RESEARCH                              |
| COMMUNITY ALLIANCE OF TENANTS 2810 NORTHEAST 14TH AVENUE                       | 31-1571929      | 501(C)(3)                     | 6 414                    | 0.                                      |  |   | CHILDHOOD OBESITY                     |
| PORTLAND, OR 97212   | 31-13/1929      | 501(C)(3)                     | 6,414.                   | 0.                                      |  |   | INITIATIVE                            |
| COMMUNITY HEALTHCARE FOUNDATION<br>2000 CAMPBELL DRIVE<br>TORRINGTON, WY 82240 | 83-0289853      | 501(C)(3)                     | 7,500.                   | 0.                                      |  |   | DEFIBRILLATORS AND MONITORS           |
|  | 00 0203000      |                               | ,,,,,,,,,                |   |  |   |                                       |
| COMMUNITY MEDICAL CENTER INC.<br>2827 FORT MISSOULA ROAD                       |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| MISSOULA, MT 59804   | 81-0247705      | 501(C)(3)                     | 76,900.                  | 0.                                      |  |   | UPGRADE                               |
| COMMUNITY MEMORIAL HOSPITAL PO BOX 280   |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| TURTLE LAKE, ND 58576  | 45-0226711      | CITY OF TURTLE L              | 13,000.                  | 0.                                      |  |   | UPGRADE                               |
| COOPERSTOWN MEDICAL CENTER 1200 ROBERTS AVENUE NORTHEAST                       |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| COOPERSTOWN, ND 58425  | 45-0227753      | 501(C)(3)                     | 10,060.                  | 0.                                      |  |   | UPGRADE                               |
| CORPORACION DE SALUD ASEGURADA POR<br>NUESTRA ORG SARIDARIA INC - PO BOX       |                 |                               |                          |   |  |   |                                       |
| 1025 - CAGUAS, PR 00726  | 66-0671421      | 501(C)(3)                     | 27,329.                  | 0.                                      |  |   | ACTION REGISTRY                       |
| GOINTHU OF DIALING   |                 |                               |                          |   |  |   |                                       |
| COUNTY OF BLAINE PO BOX 278  |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| CHINOOK, MT 59523  | 81-6001335      | BLAINE COUNTY                 | 24,807.                  | 0.                                      |  |   | MONITORS                              |

| (a) Name and address of  | (b) EIN    | (c) IRC section  | (d) Amount of | (e) Amount of          | (f) Method of                                 | (g) Description of  | (h) Purpose of grant   |
|--|------------|------------------|---------------|------------------------|---|---------------------|------------------------|
| organization or government   | (3) =      | if applicable    | cash grant    | non-cash<br>assistance | valuation<br>(book, FMV,<br>appraisal, other) | non-cash assistance | or assistance          |
| COUNTY OF JUDITH BASIN   |            |                  |               |                        |   |                     |                        |
| PO BOX 427   |            |                  |               |                        |   |                     | DEFIBRILLATORS AND     |
| STANFORD, MT 59479   | 81-6001379 | JUDITH BASIN COU | 24,748.       | 0.                     |   |                     | MONITORS               |
| CRISPI MOBILE GROCERY STORE LLC                                      |            |                  |               |                        |   |                     |                        |
| 721 NORTH LESALLE STREET, 7TH FLOO                                   |            |                  |               |                        |   |                     |                        |
| CHICAGO, IL 60654  | 46-1073616 |                  | 70,000.       | 0.                     |   |                     | COMMUNITY IMPACT GRANT |
| CROFTON RESCUE SQUAD   |            |                  |               |                        |   |                     |                        |
| 55153 895 ROAD   |            |                  |               |                        |   |                     | DEFIBRILLATORS AND     |
| CROFTON, NE 68730  | 47-0663731 | CITY OF CROFTON  | 25,467.       | 0.                     |   |                     | MONITORS               |
| CDOM CREEK CTOHY AMRIHANCE   |            |                  |               |                        |   |                     |                        |
| CROW CREEK SIOUX AMBULANCE<br>206 EAST SAM BOY DRIVE                 |            |                  |               |                        |   |                     | DEFIBRILLATORS AND     |
| FORT THOMPSON, SD 57339  | 46-0235600 | CITY OF FORT THO | 10,000.       | 0.                     |   |                     | MONITORS               |
| ,  |            |                  | , -           |                        |   |                     |                        |
| DAKOTA CITY VOLUNTEER FIRE   |            |                  |               |                        |   |                     |                        |
| DEPARTMENT - PO BOX 46 - DAKOTA                                      |            |                  |               |                        |   |                     | DEFIBRILLATORS AND     |
| CITY, NE 68731   | 47-6042728 | 501(C)(3)        | 25,215.       | 0.                     |   |                     | MONITORS               |
| DANA-FARBER CANCER INSTITUTE, INC.                                   |            |                  |               |                        |   |                     |                        |
| 450 BROOKLINE AVENUE   |            |                  |               |                        |   |                     |                        |
| BOSTON, MA 02115   | 04-2263040 | 501(C)(3)        | 613,234.      | 0.                     |   |                     | RESEARCH               |
| DEG MOTNEG INTVERGENY OGMEODAMILIG                                   |            |                  |               |                        |   |                     |                        |
| DES MOINES UNIVERSITY OSTEOPATHIC MEDICAL CENTER - 3200 GRAND AVENUE |            |                  |               |                        |   |                     |                        |
| - DES MOINES, IA 50312   | 42-0730347 | 501(C)(3)        | 189,813.      | 0.                     |   |                     | RESEARCH               |
| DEC MOINES, IN 30312   | 42 0/3034/ | 301(0)(3)        | 105,015.      | · ·                    |   |                     | KIBBINKEII             |
| DOUGLAS COUNTY AMBULANCE   |            |                  |               |                        |   |                     |                        |
| 708 8TH STREET   |            |                  |               |                        |   |                     | DEFIBRILLATORS AND     |
| ARMOUR, SD 57313   | 46-0400557 | DOUGLAS COUNTY   | 15,000.       | 0.                     |   |                     | MONITORS               |
| DREXEL UNIVERSITY  |            |                  |               |                        |   |                     |                        |
| 3141 CHESTNUT STREET   |            |                  |               |                        |   |                     |                        |
| PHILADELPHIA, PA 19104   | 23-1352630 | 501(C)(3)        | 79,722.       | 0.                     |   |                     | RESEARCH               |

| Part II Continuation of Grants and Other           | Assistance to G | overnments and Orga           | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | ırt II.)                               |                                       |
|--|-----------------|-------------------------------|--------------------------|---|--|--|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| DUKE UNIVERSITY MEDICAL CENTER                     |                 |                               |                          |   |  |  |                                       |
| PO BOX 602651                                      |                 |                               |                          |   |  |  |                                       |
| CHARLOTTE, NC 28260                                | 56-0532129      | 501(C)(3)                     | 1,217,332.               | 0.                                      |  |  | RESEARCH                              |
| CHARLOTTE, NC 20200                                | 30-0332129      | 501(0/(3/                     | 1,217,332.               | 0.                                      |  |  | RESEARCH                              |
| EAST CAROLINA UNIVERSITY                           |                 |                               |                          |   |  |  |                                       |
| 2200 SOUTH CHARLES BOULEVARD                       |                 |                               |                          |   |  |  |                                       |
| GREENVILLE, NC 27858                               | 56-6000403      | STATE OF NC                   | 214,981.                 | 0.                                      |  |  | RESEARCH                              |
| GREENVILLE, NC 27050                               | 30 0000403      | DIATE OF NC                   | 214,501.                 | 0.                                      |  |  | RESEARCH                              |
| EASTERN IDAHO REGIONAL MEDICAL                     |                 |                               |                          |   |  |  |                                       |
| CENTER - PO BOX 2077 - IDAHO                       |                 |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
|  | 82-0410103      | 501(C)(3)                     | 14 650                   | 0.                                      |  |  | UPGRADE                               |
| FALLS, ID 83401                                    | 82-0410103      | 501(C)(3)                     | 14,650.                  | 0.                                      |  |  | OPGRADE                               |
| EASTERN WYOMING AMBULANCE SERVICE                  |                 |                               |                          |   |  |  |                                       |
|  |                 |                               |                          |   |  |  | DESTRUCTION AND                       |
| 2450 WEST MARIPOSA PARKWAY                         | 02 0000211      |                               | 14 000                   |   |  |  | DEFIBRILLATORS AND                    |
| WHEATLAND, WY 82201                                | 83-0298311      |                               | 14,000.                  | 0.                                      |  |  | MONITORS                              |
| EAT SMART & MOVE MORE SOUTH                        |                 |                               |                          |   |  |  |                                       |
| CAROLINA - 111 STONEMARK LANE,                     |                 |                               |                          |   |  |  |                                       |
| SUITE 115 -  |                 |                               |                          |   |  |  | CHILDHOOD OBESITY                     |
| COLUMBIA, SC 29210                                 | 57-1096619      | 501(C)(3)                     | 135,559.                 | 0.                                      |  |  | INITIATIVE                            |
|  |                 |                               |                          |   |  |  |                                       |
| EMORY UNIVERSITY                                   |                 |                               |                          |   |  |  |                                       |
| PO BOX 935084                                      |                 |                               |                          |   |  |  |                                       |
| ATLANTA, GA 31193                                  | 58-0566256      | 501(C)(3)                     | 4,015,860.               | 0.                                      |  |  | RESEARCH                              |
|  |                 |                               |                          |   |  |  |                                       |
| EVELETH AMBULANCE SERVICE                          |                 |                               |                          |   |  |  |                                       |
| 413 PIERCE STREET                                  |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| EVELETH, MN 55734                                  | 41-6005140      | CITY OF EVELETH               | 27,663.                  | 0.                                      |  |  | MONITORS                              |
|  |                 |                               |                          |   |  |  |                                       |
| FAITH REGIONAL HEALTH SERVICES                     |                 |                               |                          |   |  |  |                                       |
| 1500 KOENIGSTEIN AVENUE                            |                 |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
| NORFOLK, NE 68701                                  | 47-0796875      | 501(C)(3)                     | 81,300.                  | 0.                                      |  |  | UPGRADE                               |
|  |                 |                               |                          |   |  |  |                                       |
| FLORIDA STATE UNIVERSITY                           |                 |                               |                          |   |  |  |                                       |
| 2000 LEVY AVENUE                                   |                 |                               |                          |   |  |  |                                       |
| TALLAHASSEE, FL 32310                              | 59-3211153      | STATE OF FL                   | 240,958.                 | 0.                                      |  |  | RESEARCH                              |

| Part II Continuation of Grants and Other           | Assistance to G | overnments and Organ          | nizations in the U       | nited States (Scho                | edule I (Form 990), Pa<br>I                                    | ırt II.)                               |                                    |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| (a) Name and address of organization or government | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| FOOD FOR THOUGHT                                   |                 |                               |                          |                                   |  |  |                                    |
| 191 VILLAGE CENTER                                 |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                 |
| BOZEMAN, MT 59718                                  | 26-2386091      |                               | 7,724.                   | 0.                                |  |  | MONITORS                           |
| FOUNDATION FOR COMMUNITY CARE OF                   |                 |                               | ,                        |                                   |  |  |                                    |
| RICHLAND COUNTY, INC 221 2ND                       |                 |                               |                          |                                   |  |  |                                    |
| STREET NORTHWEST - SIDNEY, MT                      |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                 |
| 59270  | 81-0417465      | 501(C)(3)                     | 36,744.                  | 0.                                |  |  | MONITORS                           |
|  |                 |                               |                          |                                   |  |  |                                    |
| FOUNDATION FOR HEALTHY GENERATIONS                 |                 |                               |                          |                                   |  |  |                                    |
| 419 3RD AVENUE WEST                                |                 |                               |                          |                                   |  |  | CHILDHOOD OBESITY                  |
| SEATTLE, WA 98119                                  | 91-6186093      | 501(C)(3)                     | 75,915.                  | 0.                                |  |  | INITIATIVE                         |
| EDEMONT GOVERNMENT AND THE ANGEL                   |                 |                               |                          |                                   |  |  |                                    |
| FREMONT COUNTY AMBULANCE                           |                 |                               |                          |                                   |  |  |                                    |
| 1052 PETERSDORF DRIVE                              | 02 6000107      | EDEMONE COUNTY                | 14 000                   | 0                                 |  |  | DEFIBRILLATORS AND                 |
| RIVERTON, WY 82501                                 | 83-6000107      | FREMONT COUNTY                | 14,000.                  | 0.                                |  |  | MONITORS                           |
| FRENCHTOWN RURAL FIRE DISTRICT                     |                 |                               |                          |                                   |  |  |                                    |
| PO BOX 119   |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                 |
| FRENCHTOWN, MT 59834                               | 81-0474710      |                               | 24,877.                  | 0.                                |  |  | MONITORS                           |
| TREMERIONA, MI 33034                               | 01 04/4/10      |                               | 24,077.                  | <u> </u>                          |  |  | HONTTOKS                           |
| FULLERTON RESCUE SQUAD                             |                 |                               |                          |                                   |  |  |                                    |
| 903 BROADWAY STREET                                |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                 |
| FULLERTON, NE 68638                                | 47-6006195      | CITY OF FULLERTO              | 25,170.                  | 0.                                |  |  | MONITORS                           |
|  |                 |                               |                          |                                   |  |  |                                    |
| GEORGE WASHINGTON UNIVERSITY                       |                 |                               |                          |                                   |  |  |                                    |
| 45155 RESEARCH PLACE, SUITE 240V                   |                 |                               |                          |                                   |  |  |                                    |
| ASHBURN, VA 20147                                  | 53-0196584      | 501(C)(3)                     | 255,290.                 | 0.                                |  |  | RESEARCH                           |
|  |                 |                               |                          |                                   |  |  |                                    |
| GEORGIA BIKES INC.                                 |                 |                               |                          |                                   |  |  |                                    |
| PO BOX 10045                                       |                 |                               |                          |                                   |  |  | CHILDHOOD OBESITY                  |
| SAVANNAH, GA 31412                                 | 20-0295376      | 501(C)(3)                     | 10,226.                  | 0.                                |  |  | INITIATIVE                         |
|  |                 |                               |                          |                                   |  |  |                                    |
| GEORGIA REGENTS UNIVERSITY                         |                 |                               |                          |                                   |  |  |                                    |
| PO BOX 945552                                      | F0 1410005      | gm, mp. op. 55                | 1 100 070                |                                   |  |  |                                    |
| ATLANTA, GA 30394                                  | 58-1418202      | STATE OF GA                   | 1,108,279.               | 0.                                |  |  | RESEARCH                           |

| (a) Name and address of                                 | (b) EIN    | (c) IRC section  | (d) Amount of | (e) Amount of          | (f) Method of                                 | (g) Description of  | (h) Purpose of grant |
|---|------------|------------------|---------------|------------------------|---|---------------------|----------------------|
| organization or government                              |            | if applicable    | cash grant    | non-cash<br>assistance | valuation<br>(book, FMV,<br>appraisal, other) | non-cash assistance | or assistance        |
| GEORGIA STATE UNIVERSITY                                |            |                  |               |                        |   |                     |                      |
| PO BOX 3999   |            |                  |               |                        |   |                     |                      |
| ATLANTA, GA 30302                                       | 58-1845423 | STATE OF GA      | 338,900.      | 0.                     |   |                     | RESEARCH             |
| GEORGIA TECH RESEARCH CORPORATION                       |            |                  |               |                        |   |                     |                      |
| PO BOX 100117   |            |                  |               |                        |   |                     |                      |
| ATLANTA, GA 30384                                       | 58-0603146 | 501(C)(3)        | 671,673.      | 0.                     |   |                     | RESEARCH             |
| GERING VOLUNTEER FIRE DEPARTMENT                        |            |                  |               |                        |   |                     |                      |
| 1025 M STREET   |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| GERING, NE 69341  | 47-0589261 | CITY OF GERING   | 24,795.       | 0.                     |   |                     | MONITORS             |
| GLADGEONE INGELEGIES GAN EDANGIGO                       |            |                  |               |                        |   |                     |                      |
| GLADSTONE INSTITUTE, SAN FRANCISCO<br>1650 OWENS STREET |            |                  |               |                        |   |                     |                      |
| SAN FRANCISCO, CA 94158                                 | 23-7203666 | 501(C)(3)        | 22,394.       | 0.                     |   |                     | RESEARCH             |
| Em Tiumerbee, en 91190                                  | 23 /203000 | 501(6)(3)        | 22,331.       | •••                    |   |                     |                      |
| GLENCOE REGIONAL HEALTH SERVICES                        |            |                  |               |                        |   |                     |                      |
| 1805 HENNEPIN AVENUE NORTH                              |            |                  |               |                        |   |                     | EMERGENCY EQUIPMENT  |
| GLENCOE, MN 55336                                       | 41-1949230 | 501(C)(3)        | 35,706.       | 0.                     |   |                     | UPGRADE              |
| GLENDO VOLUNTEER AMBULANCE SERVICE                      |            |                  |               |                        |   |                     |                      |
| PO BOX 404  |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| GLENDO, WY 82213  | 83-6000854 |                  | 28,315.       | 0.                     |   |                     | MONITORS             |
| GOOD SAMARITAN HOSPITAL                                 |            |                  |               |                        |   |                     |                      |
| PO BOX 1990   |            |                  |               |                        |   |                     | EMERGENCY EQUIPMENT  |
| KEARNEY, NE 68848                                       | 47-0379755 | 501(C)(3)        | 52,900.       | 0.                     |   |                     | UPGRADE              |
|   |            |                  | ,             |                        |   |                     |                      |
| GRACEVILLE AMBULANCE SERVICE                            |            |                  |               |                        |   |                     |                      |
| 415 STUDDART AVENUE                                     |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| GRACEVILLE, MN 56240                                    | 41-6005196 | CITY OF GRACEVIL | 25,415.       | 0.                     |   |                     | MONITORS             |
| GRAND MEADOW AREA AMBULANCE                             |            |                  |               |                        |   |                     |                      |
| SERVICE - 200 SOUTHEAST 2ND STREET                      |            |                  |               |                        |   |                     | DEFIBRILLATORS AND   |
| - GRAND MEADOW, NE 55936                                | 41-6005198 | CITY OF GRAND ME | 27,635.       | 0.                     |   |                     | MONITORS             |

| Part II Continuation of Grants and Other           | Assistance to G | overnments and Organ          | nizations in the U       | nited States (School              | edule I (Form 990), Pa   | ırt II.)                               | <u> </u>                              |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| (a) Name and address of organization or government | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| GRAND TETON NATIONAL PARK                          |                 |                               |                          |                                   |  |  |                                       |
| PO BOX 170   |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| MOOSE, WY 83013                                    | 53-0197094      | FEDERAL GOV'T                 | 47,217.                  | 0.                                |  |  | MONITORS                              |
| GREAT FALLS EMERGENCY SERVICES                     |                 |                               |                          |                                   |  |  |                                       |
| 514 9TH AVENUE SOUTH                               |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| GREAT FALLS, MT 59405                              | 81-0492458      |                               | 24,122.                  | 0.                                |  |  | MONITORS                              |
| GREAT PLAINS HEALTH CARE                           |                 |                               |                          |                                   |  |  |                                       |
| FOUNDATION - 601 WEST LEOTA STREET                 |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| - NORTH PLATTE, NE 69101                           | 39-3954197      | 501(C)(3)                     | 81,300.                  | 0.                                |  |  | UPGRADE                               |
| CDEAMED DOCKON NINEWARD                            |                 |                               |                          |                                   |  |  |                                       |
| GREATER BOSTON VINEYARD 15 NOTRE DAME AVENUE       |                 |                               |                          |                                   |  |  |                                       |
| CAMBRIDGE, MA 02140                                | 04-3296440      | 501(C)(3)                     | 25,000.                  | 0.                                |  |  | COMMUNITY IMPACT GRANT                |
| CIMBRIDGE, IMI UZIIU                               | 01 3230110      | 301(3)(3)                     | 23,000.                  | ,                                 |  |  |                                       |
| GUERNSEY AMBULANCE SERVICE                         |                 |                               |                          |                                   |  |  |                                       |
| PO BOX 667   |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| GUERNSEY, WY 82214                                 | 83-6000067      | CITY OF GUERNSEY              | 9,820.                   | 0.                                |  |  | MONITORS                              |
| HAMILTON COUNTY AMBULANCE                          |                 |                               |                          |                                   |  |  |                                       |
| 916 13TH STREET                                    |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| AURORA, NE 68818                                   | 47-6006468      | HAMILTON COUNTY               | 25,720.                  | 0.                                |  |  | MONITORS                              |
| HANS P. PETERSON MEMORIAL HOSPITAL                 |                 |                               |                          |                                   |  |  |                                       |
| 503 WEST PINE STREET                               |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| PHILIP, SD 57567                                   | 46-0361016      | CITY OF PHILIP                | 10,000.                  | 0.                                |  |  | UPGRADE                               |
|  | 10 0001010      |                               | 20,000.                  |                                   |  |  |                                       |
| HARBOR HEALTH SERVICES INC.                        |                 |                               |                          |                                   |  |  |                                       |
| 1135 MORTON STREET                                 |                 |                               |                          |                                   |  |  |                                       |
| MATTAPAN, MA 02126                                 | 23-7100550      | 501(C)(3)                     | 7,500.                   | 0.                                |  |  | COMMUNITY IMPACT GRANT                |
| HARBOR-UCLA RESEARCH AND EDUCATION                 |                 |                               |                          |                                   |  |  |                                       |
| INSTITUTE - 1124 WEST CARSON                       |                 |                               |                          |                                   |  |  |                                       |
| STREET - TORRANCE, CA 90502                        | 95-2138184      | 501(C)(3)                     | 125,406.                 | 0.                                |  |  | RESEARCH                              |

| (a) Name and address of organization or government                                     | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| HARMONY AMBULANCE SERVICE<br>225 3RD AVENUE SOUTHWEST<br>HARMONY, MN 55939             | 41-6005218      | CITY OF HARMONY               | 23,490.                  | 0.                                |  |  | DEFIBRILLATORS AND                    |
| HARTINGTON AMBULANCE SERVICE 107 WEST STATE STREET HARTINGTON, NE 68739                | 47-6006217      | CITY OF HARTINGT              | 22,467.                  | 0.                                |  |  | DEFIBRILLATORS AND                    |
| HARVARD SCHOOL OF PUBLIC HEALTH 677 HUNTINGTON AVENUE BOSTON, MA 02115                 | 04-2103580      | 501(C)(3)                     | 494,726.                 | 0.                                |  |  | RESEARCH                              |
| HAWAII PUBLIC HEALTH INSTITUTE<br>850 RICHARDS STREET, SUITE 201<br>HONOLULU, HI 96813 | 68-0637054      | 501(C)(3)                     | 44,829.                  | 0.                                |  |  | CHILDHOOD OBESITY<br>INITIATIVE       |
| HAY SPRINGS FIRE DEPARTMENT<br>234 NORTH MAIN STREET<br>HAY SPRINGS, NE 69347          | 47-6006223      | CITY OF HAY SPRI              | 25,532.                  | 0.                                |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| HEALTH RESEARCH INCORPORATED 150 BROADWAY STREET, SUITE 560 MENANDS, NY 12204          | 14-1402155      | 501(C)(3)                     | 177,360.                 | 0.                                |  |  | RESEARCH                              |
| HEALTHWORKS COMMUNITY FITNESS 137 NEWBURY STREET, 5TH FLOOR BOSTON, MA 02116           | 04-3431534      | 501(C)(3)                     | 10,000.                  | 0.                                |  |  | COMMUNITY IMPACT GRANT                |
| HEALTHY CHILD INITIATIVE BALLOT<br>MEASURE - PO BOX 3997 - BERKELEY,<br>CA 94703       | 46-4790401      |                               | 23,000.                  | 0.                                |  |  | CHILDHOOD OBESITY<br>INITIATIVE       |
| HECTOR AMBULANCE SERVICE PO BOX 457 HECTOR, MN 55342                                   | 41-6005224      | CITY OF HECTOR                | 22,737.                  | 0.                                |  |  | DEFIBRILLATORS AND<br>MONITORS        |

| Part II Continuation of Grants and Other           | Assistance to Go | overnments and Orga           | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | rt II.)                                   |                                       |
|--|------------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government | ( <b>b)</b> EIN  | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| HENDERSON AMBULANCE SERVICE                        |                  |                               |                          |   |  |   |                                       |
| PO BOX 189   |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| HENDERSON, NE 68371                                | 47-6006227       | CITY OF HENDERSO              | 23,532.                  | 0.                                      |  |   | MONITORS                              |
|  |                  |                               | -                        |   |  |   |                                       |
| HENDERSON HEALTH CARE SERVICES                     |                  |                               |                          |   |  |   |                                       |
| INC 1621 FRONT STREET -                            |                  |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| HENDERSON, NE 68371                                | 47-0366569       | 501(C)(3)                     | 11,999.                  | 0.                                      |  |   | UPGRADE                               |
| HENDRICKS COMMUNITY HOSPITAL                       |                  |                               |                          |   |  |   |                                       |
| ASSOCIATION - PO BOX 106 -                         |                  |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| HENDRICKS, MN 56136                                | 41-0307617       | 501(C)(3)                     | 11,999.                  | 0.                                      |  |   | UPGRADE                               |
| HENDRICKS, AN 30130                                | 41 0307017       | 501(0)(3)                     | 11,555.                  | <u> </u>                                |  |   |                                       |
| HENNING AMBULANCE SERVICE                          |                  |                               |                          |   |  |   |                                       |
| 612 FRONT STREET                                   |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| HENNING, MN 56551                                  | 41-6005228       | CITY OF HENNING               | 23,220.                  | 0.                                      |  |   | MONITORS                              |
|  |                  |                               |                          |   |  |   |                                       |
| HENRY AND STARK COUNTY HEALTH                      |                  |                               |                          |   |  |   |                                       |
| DEPARTMENT - 4424 U. S. HIGHWAY 34                 |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| - KEWANEE, IL 61443                                | 36-6006568       | HENRY AND STARK               | 28,750.                  | 0.                                      |  |   | MONITORS                              |
|  |                  |                               |                          |   |  |   |                                       |
| HENRY FORD HEALTH SYSTEM                           |                  |                               |                          |   |  |   |                                       |
| 2799 WEST GRAND BOULEVARD                          | 20 1255000       | E01/G)/2)                     | 056 106                  |   |  |   |                                       |
| DETROIT, MI 48202                                  | 38-1357020       | 501(C)(3)                     | 256,186.                 | 0.                                      |  |   | RESEARCH                              |
| HOLDREGE FIRE DEPARTMENT RESCUE                    |                  |                               |                          |   |  |   |                                       |
| 502 EAST AVENUE                                    |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| HOLDREDGE, NE 68949                                | 47-6006232       | CITY OF HOLDREDG              | 25,170.                  | 0.                                      |  |   | MONITORS                              |
| HORIZON FOUNDATION OF HOWARD                       |                  |                               |                          |   |  |   |                                       |
| COUNTY INC 10480 LITTLE                            |                  |                               |                          |   |  |   |                                       |
| PATUXENT PARKWAY, SUITE 900 -                      |                  |                               |                          |   |  |   | CHILDHOOD OBESITY                     |
| COLUMBIA, MD 21044                                 | 52-2119011       | 501(C)(3)                     | 131,858.                 | 0.                                      |  |   | INITIATIVE                            |
|  |                  |                               |                          |   |  |   |                                       |
| HOT SPRINGS COUNTY MEMORIAL                        |                  |                               |                          |   |  |   |                                       |
| HOSPITAL - 150 EAST ARAPAHOE                       |                  |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| STREET - THERMOPOLIS, WY 82443                     | 83-6000182       | 501(C)(3)                     | 7,500.                   | 0.                                      |  |   | UPGRADE                               |

| (a) Name and address of organization or government     | <b>(b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | <b>(f)</b> Method of valuation (book, FMV, | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|----------------|-------------------------------|--------------------------|---|--|--|------------------------------------|
|  |                |                               |                          |   | appraisal, other)                          |  |                                    |
| OUSTON METHODIST HOSPITAL                              |                |                               |                          |   |  |  |                                    |
| 670 BERTNER AVENUE                                     |                |                               |                          |   |  |  |                                    |
| OUSTON, TX 77030                                       | 87-0721923     | 501(C)(3)                     | 309,867.                 | 0.                                      |  |  | RESEARCH                           |
| ,  |                |                               | •                        |   |  |  |                                    |
| IOVEN AMBULANCE SERVICE                                |                |                               |                          |   |  |  |                                    |
| O BOX 162  |                |                               |                          |   |  |  | DEFIBRILLATORS AND                 |
| IOVEN, SD 57450  | 46-6003552     | CITY OF HOVEN                 | 10,000.                  | 0.                                      |  |  | MONITORS                           |
| WARDOLDE DEGGEE  |                |                               |                          |   |  |  |                                    |
| HUMBOLDT RESCUE  |                |                               |                          |   |  |  | DEETBRILLAMORG AND                 |
| PO BOX 126<br>HUMBOLDT, NE 68376                       | 47-6006236     | CITY OF HUMBOLDT              | 21,967.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS     |
| TOMBOLDI, NE 00370                                     | 47-0000230     | CIII OF HOMBOLDI              | 21,907.                  | 0.                                      |  |  | MONITORS                           |
| CAHN SCHOOL OF MEDICINE AT MOUNT                       |                |                               |                          |   |  |  |                                    |
| INAI - ONE GUSTAVE L. LEVY PLACE                       |                |                               |                          |   |  |  |                                    |
| NEW YORK, NY 10029                                     | 13-6171197     | 501(C)(3)                     | 1,032,444.               | 0.                                      |  |  | RESEARCH                           |
|  |                |                               |                          |   |  |  |                                    |
| ILLINOIS PUBLIC HEALTH INSTITUTE                       |                |                               |                          |   |  |  |                                    |
| 954 WEST WASHINGTON BLVD, SUITE 40                     |                |                               |                          |   |  |  | CHILDHOOD OBESITY                  |
| CHICAGO, IL 60607                                      | 26-2757523     | 501(C)(3)                     | 219,652.                 | 0.                                      |  |  | INITIATIVE                         |
| INDIANA INTIMOGRAMY INDIANADOLIG                       |                |                               |                          |   |  |  |                                    |
| INDIANA UNIVERSITY, INDIANAPOLIS PO BOX 66057          |                |                               |                          |   |  |  |                                    |
|  | 35-6001673     | STATE OF IN                   | 885,608.                 | 0.                                      |  |  | RESEARCH                           |
| INDIANAPOLIS, IN 46266<br>INTERNATIONAL FALLS MEMORIAL | 33-0001073     | SIRIE OF IN                   | 003,000.                 | 0.                                      |  |  | RESEARCH                           |
| HOSPITAL ASSOCIATION - 1400                            |                |                               |                          |   |  |  |                                    |
| HIGHWAY 71 - INTERNATIONAL FALLS,                      |                |                               |                          |   |  |  | EMERGENCY EQUIPMENT                |
| 4N 56649   | 41-0726171     | 501(C)(3)                     | 11,999.                  | 0.                                      |  |  | UPGRADE                            |
|  |                |                               |                          |   |  |  |                                    |
| ACKSON HOLE FIRE EMS                                   |                |                               |                          |   |  |  |                                    |
| РО ВОХ 901   |                |                               |                          |   |  |  | DEFIBRILLATORS AND                 |
| JACKSON, WY 83001                                      | 83-6000127     |                               | 26,720.                  | 0.                                      |  |  | MONITORS                           |
|  |                |                               |                          |   |  |  |                                    |
| TACKSONVILLE JAGUARS FOUNDATION                        |                |                               |                          |   |  |  |                                    |
| INC - ONE EVERBANK FIELD DRIVE -                       | 50 2040625     | E01/G)/2)                     | 05 000                   |   |  |  |                                    |
| JACKSONVILLE, FL 32202                                 | 59-3249687     | DOT(C)(3)                     | 25,000.                  | 0.                                      |  |  | COMMUNITY IMPACT GRAN              |

| (a) Name and address of organization or government   | <b>(b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|----------------|-------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| JASPER FIRE DEPARTMENT RELIEF<br>ASSOCIATION - 340 30TH AVENUE -<br>JASPER, MN 56144                   | 41-6022678     | 501(C)(3)                     | 22,440.                  | 0.                                |  |  | DEFIBRILLATORS AND<br>MONITORS     |
| JOHN B. PIERCE LABORATORY, INC.<br>290 CONGRESS AVENUE<br>NEW HAVEN, CT 06519                          | 06-0646780     | 501(C)(3)                     | 81,603.                  | 0.                                |  |  | RESEARCH                           |
| JOHNS HOPKINS UNIVERSITY SCHOOL OF<br>MEDICINE - 12529 COLLECTIONS<br>CENTER DRIVE - CHICAGO, IL 60693 | 52-0595110     | 501(C)(3)                     | 2,602,170.               | 0.                                |  |  | RESEARCH                           |
| JONES COUNTY AMBULANCE PO BOX 305 MURDO, SD 57559  | 36-3334529     | JONES COUNTY                  | 10,000.                  | 0.                                |  |  | DEFIBRILLATORS AND<br>MONITORS     |
| JOSLIN DIABETES CENTER, INC. ONE JOSLINE PLACE BOSTON, MA 02215  | 04-2203836     | 501(C)(3)                     | 482,812.                 | 0.                                |  |  | RESEARCH                           |
| KADOKA AMBULANCE SERVICE<br>PO BOX 116<br>KADOKA, SD 57543   | 46-0403059     | CITY OF KADOKA                | 10,000.                  | 0.                                |  |  | DEFIBRILLATORS AND<br>MONITORS     |
| KALISPELL REGIONAL MEDICAL CENTER<br>INC 310 SUNNYVIEW LANE -<br>KALISPELL, MT 59901                   | 23-7293874     | 501(C)(3)                     | 76,900.                  | 0.                                |  |  | DEFIBRILLATORS AND<br>MONITORS     |
| KANSAS STATE UNIVERSITY<br>2 FAIRCHILD HALL<br>MANHATTAN, KS 66506                                     | 48-0771751     | STATE OF KS                   | 46,579.                  | 0.                                |  |  | RESEARCH                           |
| KC HEALTHY KIDS<br>650 MINNESOTA AVENUE<br>KANSAS CITY, KS 66101                                       | 20-4613795     | 501(C)(3)                     | 87,684.                  | 0.                                |  |  | CHILDHOOD OBESITY<br>INITIATIVE    |

| (a) Name and address of                            | /b) [N]         | (a) IDC continu               | (d) Amount of            | (a) Amount of                           | (f) Mothad of  | (m) Description of                        | (h) Durage of great                   |
|--|-----------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| KEARNEY COUNTY HEALTH SERVICES                     |                 |                               |                          |   |  |   |                                       |
| 727 EAST 1ST STREET                                |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| MINDEN, NE 68959                                   | 47-6014070      | KEARNEY COUNTY                | 11,999.                  | 0.                                      |  |   | MONITORS                              |
| ,  |                 |                               |                          |   |  |   |                                       |
| KEARNEY REGIONAL MEDICAL CENTER                    |                 |                               |                          |   |  |   |                                       |
| 804 22ND AVENUE                                    |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| KEARNEY, NE 68845                                  | 27-0860326      | CITY OF KEARNEY               | 28,800.                  | 0.                                      |  |   | UPGRADE                               |
|  |                 |                               |                          |   |  |   |                                       |
| KIMBALL COUNTY AMBULANCE SERVICE                   |                 |                               |                          |   |  |   |                                       |
| 114 3RD STREET                                     |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| KIMBALL, NE 69145                                  | 47-6006480      | KIMBALL COUNTY                | 25,467.                  | 0.                                      |  |   | MONITORS                              |
| ZIMDALI MOGDIMAL HOMBOMION                         |                 |                               |                          |   |  |   |                                       |
| KIMBALL HOSPITAL FOUNDATION 505 SOUTH BURG STREET  |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| KIMBALL, NE 69145                                  | 47-0713073      | 501(C)(3)                     | 11,999.                  | 0.                                      |  |   | UPGRADE                               |
| KIMBINE, NE 05145                                  | 47 0713073      | 301(0)(3)                     | 11,333.                  | <u> </u>                                |  |   | OT GRADE                              |
| LAKE CRYSTAL AREA AMBULANCE                        |                 |                               |                          |   |  |   |                                       |
| SERVICE - 100 EAST ROBINSON STREET                 |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| - LAKE CRYSTAL, MN 56055                           | 41-6005294      | CITY OF LAKE CRY              | 24,611.                  | 0.                                      |  |   | MONITORS                              |
|  |                 |                               |                          |   |  |   |                                       |
| LAKESIDE QRU INC .                                 |                 |                               |                          |   |  |   |                                       |
| PO BOX 911   |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| LAKESIDE, MT 59922                                 | 81-0399789      | 501(C)(3)                     | 24,842.                  | 0.                                      |  |   | MONITORS                              |
|  |                 |                               |                          |   |  |   |                                       |
| LAKEWOOD HEALTH CENTER                             |                 |                               |                          |   |  |   |                                       |
| 600 MAIN AVENUE SOUTH                              | 44 0550434      | E01/G1/21                     | 11 000                   |   |  |   | EMERGENCY EQUIPMENT                   |
| BAUDETTE, MN 56623                                 | 41-0758434      | 501(C)(3)                     | 11,999.                  | 0.                                      |  |   | UPGRADE                               |
| LANDER REGIONAL HOSPITAL                           |                 |                               |                          |   |  |   |                                       |
| 1320 BISHOP RANDALL DRIVE                          |                 |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| LANDER, WY 82520                                   | 62-1823043      |                               | 15,000.                  | 0.                                      |  |   | UPGRADE                               |
|  |                 |                               | 25,300.                  | -                                       |  |   |                                       |
| LANESBORO AMBULANCE                                |                 |                               |                          |   |  |   |                                       |
| 202 PARKWAY AVENUE SOUTH                           |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| LANESBORO, MN 55949                                | 41-6005308      | CITY OF LANESBOR              | 23,167.                  | 0.                                      |  |   | MONITORS                              |

| ·  |                 |                               |                          |   |  |   |                                       |
|--|-----------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government     | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| LARAMIE COUNTY FIRE DISTRICT 8                         |                 |                               |                          |   |  |   |                                       |
| 1050 CR 210  |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| CHEYENNE, WY 82009                                     | 15-5672156      |                               | 18,560.                  | 0.                                      |  |   | MONITORS                              |
| LARAMIE FIRE DEPARTMENT                                |                 |                               |                          |   |  |   |                                       |
| PO BOX C   |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| LARAMIE, WY 82072                                      | 83-6000072      |                               | 41,553.                  | 0.                                      |  |   | MONITORS                              |
|  |                 |                               |                          |   |  |   |                                       |
| LE SUEUR AMBULANCE ASSOCIATION                         |                 |                               |                          |   |  |   | DEETDDIII AMODG AND                   |
| 203 SOUTH 2ND STREET                                   | 41-6005314      | CITY OF LE SUEUR              | 22,982.                  | 0.                                      |  |   | DEFIBRILLATORS AND<br>MONITORS        |
| LE SUEUR, MN 56058                                     | 41-0003314      | CITI OF HE SOEOK              | 22,302.                  | 0.                                      |  |   | MONITORS                              |
| LEMMON EMT ASSOCIATION                                 |                 |                               |                          |   |  |   |                                       |
| РО ВОХ 305   |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| LEMMON, SD 57638                                       | 46-0340197      | 501(C)(3)                     | 10,000.                  | 0.                                      |  |   | MONITORS                              |
|  |                 |                               |                          |   |  |   |                                       |
| LEROY AREA AMBULANCE SERVICE                           |                 |                               |                          |   |  |   |                                       |
| 121 EAST MAIN STREET                                   | 00 0010000      |                               | 07.625                   |   |  |   | DEFIBRILLATORS AND                    |
| LEROY, MN 55951  | 80-0210920      | CITY OF LEROY                 | 27,635.                  | 0.                                      |  |   | MONITORS                              |
| LEWISTON AMBULANCE                                     |                 |                               |                          |   |  |   |                                       |
| 75 RICE STREET   |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| LEWISTON, MN 55952                                     | 41-6005315      | CITY OF LEWISTON              | 20,237.                  | 0.                                      |  |   | MONITORS                              |
| I IGDON ADEA HEALMU GEDVIGEG                           |                 |                               |                          |   |  |   |                                       |
| LISBON AREA HEALTH SERVICES<br>905 MAIN STREET         |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| LISBON, ND 58054                                       | 82-0558836      | CITY OF LISBON                | 15,096.                  | 0.                                      |  |   | MONITORS                              |
| DISDON, ND 30034                                       | 02 0330030      | CITI OF HIBBON                | 15,050.                  | · ·                                     |  |   | HONITORS                              |
| LOCKWOOD RURAL FIRE DISTRICT #8                        |                 |                               |                          |   |  |   |                                       |
| 3329 DRIFTWOOD LANE                                    |                 |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| BILLINGS, MT 59101                                     | 81-0451542      | 501(C)(3)                     | 25,027.                  | 0.                                      |  |   | MONITORS                              |
| IOMA IINDA IINTUEDOTAV                                 |                 |                               |                          |   |  |   |                                       |
| LOMA LINDA UNIVERSITY 11145 ANDERSON STREET, SUITE 205 |                 |                               |                          |   |  |   |                                       |
| LOMA LINDA, CA 92350                                   | 95-1816009      | 501(C)(3)                     | 125,406.                 | 0.                                      |  |   | RESEARCH                              |

| (a) Name and address of organization or government   | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|-----------------|-------------------------------|--------------------------|---|--|--|---------------------------------------|
| LOUISIANA STATE UNIVERSITY<br>433 BOLIVAR STREET, SUITE 619                                  |                 |                               |                          |   |  |  |                                       |
| NEW ORLEANS, LA 70112  | 72-6087770      | STATE OF LA                   | 332,888.                 | 0.                                      |  |  | RESEARCH                              |
| LOUISIANA STATE UNIVERSITY AND AGRICULTURAL & MEDICAL COLLEGE - 204 THOMAS BOYD HALL - BATON |                 |                               |                          |   |  |  |                                       |
| ROUGE, LA 70803  | 72-6000848      | STATE OF LA                   | 147,800.                 | 0.                                      |  |  | RESEARCH                              |
| LOUISIANA STATE UNIVERSITY,<br>SHREVEPORT - PO BOX 33932 -<br>SHREVEPORT, LA 71103           | 72-0702002      | STATE OF LA                   | 502,519.                 | 0.                                      |  |  | RESEARCH                              |
| LOYOLA UNIVERSITY MEDICAL CENTER   | 72 0702002      | 511112 01 211                 | 302,313.                 | <u> </u>                                |  |  | NEEDING!                              |
| 820 NORTH MICHIGAN AVENUE  |                 |                               |                          |   |  |  |                                       |
| CHICAGO, IL 60611  | 36-1408475      | 501(C)(3)                     | 231,141.                 | 0.                                      |  |  | RESEARCH                              |
| MADELIA COMMUNITY AMBULANCE  |                 |                               |                          |   |  |  |                                       |
| 116 WEST MAIN STREET MADELIA, MN 56062   | 41-6005334      | CITY OF MADELIA               | 22,982.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
|  | 11 0000001      |                               | 22,502.                  |   |  |  |                                       |
| MADISON AMBULANCE  |                 |                               |                          |   |  |  |                                       |
| 404 6TH AVENUE<br>MADISON, MN 56256  | 41-6005335      | CITY OF MADISON               | 25,720.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| MAD 150N, FM 50250   | 41 0005555      | CIII OF MADISON               | 25,720.                  | 0.                                      |  |  | HONITORS                              |
| MADISON COMMUNITY HOSPITAL   |                 |                               |                          |   |  |  |                                       |
| 917 NORTH WASHINGTON AVENUE  |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| MADISON, MN 57042  | 46-0228038      | 501(C)(3)                     | 10,000.                  | 0.                                      |  |  | MONITORS                              |
| MAHNOMEN HEALTH CENTER   |                 |                               |                          |   |  |  |                                       |
| 414 WEST JEFFERSON AVENUE  |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| MAHNOMEN, MN 56557   | 41-6008946      | CITY OF MAHNOMEN              | 11,999.                  | 0.                                      |  |  | MONITORS                              |
| MADGUC DALY MEMODIAL HOCDITAL  |                 |                               |                          |   |  |  |                                       |
| MARCUS DALY MEMORIAL HOSPITAL CORPORATION - 1200 WESTWOOD DRIVE                              |                 |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
| - HAMILTON, MT 59840   | 81-0240726      | 501(C)(3)                     | 68,197.                  | 0.                                      |  |  | UPGRADE                               |

| (a) Name and address of organization or government | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|-----------------|-------------------------------|--------------------------|---|--|--|------------------------------------|
| MARIAS MEDICAL CENTER                              |                 |                               |                          |   |  |  |                                    |
| 640 PARK AVENUE                                    |                 |                               |                          |   |  |  | EMERGENCY EQUIPMENT                |
| SHELBY, MT 59474                                   | 81-6001438      | 501(C)(3)                     | 36,806.                  | 0.                                      |  |  | UPGRADE                            |
| MARION FIRE DISTRICT                               |                 |                               |                          |   |  |  |                                    |
| РО ВОХ 939   |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                 |
| MARION, MT 59925                                   | 90-0854346      | CITY OF MARION                | 24,723.                  | 0.                                      |  |  | MONITORS                           |
| MARKET UMBRELLA ORG                                |                 |                               |                          |   |  |  |                                    |
| 200 BROADWAY STREET, SUITE 107                     |                 |                               |                          |   |  |  | CHILDHOOD OBESITY                  |
| NEW ORLEANS, LA 70118                              | 26-2477706      | 501(C)(3)                     | 183,439.                 | 0.                                      |  |  | INITIATIVE                         |
| ·  |                 |                               | ,                        |   |  |  |                                    |
| MARQUETTE UNIVERSITY                               |                 |                               |                          |   |  |  |                                    |
| PO BOX 1881  |                 |                               |                          |   |  |  |                                    |
| MILWAUKEE, WI 53201                                | 39-0806251      | 501(C)(3)                     | 127,928.                 | 0.                                      |  |  | RESEARCH                           |
| MARSHALL UNIVERSITY RESEARCH                       |                 |                               |                          |   |  |  |                                    |
| CORPORATION - 401 11TH STREET,                     |                 |                               |                          |   |  |  |                                    |
| SUITE 1400 - HUNTINGTON, WV 25701                  | 55-0683361      | 501(C)(3)                     | 35,830.                  | 0.                                      |  |  | RESEARCH                           |
| ,  |                 |                               | ,                        |   |  |  |                                    |
| MARY LANNING MEMORIAL HOSPITAL                     |                 |                               |                          |   |  |  |                                    |
| 715 NORTH ST. JOSEPH AVENUE                        |                 |                               |                          |   |  |  | EMERGENCY EQUIPMENT                |
| HASTINGS, NE 68901                                 | 47-0378779      | 501(C)(3)                     | 81,300.                  | 0.                                      |  |  | UPGRADE                            |
| MASSACHUSETTS GENERAL HOSPITAL                     |                 |                               |                          |   |  |  |                                    |
| PO BOX 414876                                      |                 |                               |                          |   |  |  |                                    |
| BOSTON, MA 02114                                   | 04-2697983      | 501(C)(3)                     | 4,371,646.               | 0.                                      |  |  | RESEARCH                           |
| ,  |                 |                               | , , - 200                |   |  |  |                                    |
| MASSACHUSETTS INSTITUTE OF                         |                 |                               |                          |   |  |  |                                    |
| TECHNOLOGY - 77 MASSACHUSETTS                      |                 |                               |                          |   |  |  |                                    |
| AVENUE - CAMBRIDGE, MA 02139                       | 04-2103594      | 501(C)(3)                     | 177,360.                 | 0.                                      |  |  | RESEARCH                           |
| MASSACHUSETTS PUBLIC HEALTH                        |                 |                               |                          |   |  |  |                                    |
| ASSOCIATION - 101 TREMENT STREET.                  |                 |                               |                          |   |  |  | CHILDHOOD OBESITY                  |
| MODOCIMIION INT INDICENT STREET,                   | I               | 1                             | 1                        |   |  |  | CITTOTIOOD ODESTII                 |

| (a) Name and address of organization or government | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| MAYO CLINIC  |                 |                               |                          |                                   |  |  |                                       |
| L216 2ND STREET SOUTHWEST                          |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| ROCHESTER, MN 55902                                | 41-6011702      | 501(C)(3)                     | 77,393.                  | 0.                                |  |  | UPGRADE                               |
| MAYO CLINIC, JACKSONVILLE                          |                 |                               |                          |                                   |  |  |                                       |
| 1500 SAN PABLO ROAD SOUTH                          |                 |                               |                          |                                   |  |  |                                       |
| JACKSONVILLE, FL 32224                             | 59-3337028      | 501(C)(3)                     | 370,578.                 | 0.                                |  |  | RESEARCH                              |
|  |                 |                               |                          |                                   |  |  |                                       |
| MAYO CLINIC, ROCHESTER                             |                 |                               |                          |                                   |  |  |                                       |
| 200 FIRST STREET SOUTHWEST                         | 41 6011700      | 501/61/21                     | 335 000                  | 0                                 |  |  |                                       |
| ROCHESTER, MN 55905                                | 41-6011702      | 501(C)(3)                     | 335,908.                 | 0.                                |  |  | RESEARCH                              |
| MCKENZIE COUNTY AMBULANCE SERVICE                  |                 |                               |                          |                                   |  |  |                                       |
| 317 PARK AVENUE EAST                               |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| NATFORD CITY, ND 68854                             | 45-0324908      | MCKENZIE COUNTY               | 10,000.                  | 0.                                |  |  | MONITORS                              |
|  |                 |                               |                          |                                   |  |  |                                       |
| MEDICAL CENTER OF THE ROCKIES                      |                 |                               |                          |                                   |  |  |                                       |
| PO BOX 2103  |                 |                               |                          | _                                 |  |  | EMERGENCY EQUIPMENT                   |
| FORT COLLINS, CO 80528                             | 04-3730045      | 501(C)(3)                     | 18,400.                  | 0.                                |  |  | UPGRADE                               |
| MEDICAL COLLEGE OF WISCONSIN                       |                 |                               |                          |                                   |  |  |                                       |
| 3701 WATERTOWN PLANK ROAD                          |                 |                               |                          |                                   |  |  |                                       |
| MILWAUKEE, WI 53226                                | 39-0806261      | 501(C)(3)                     | 4,035,984.               | 0.                                |  |  | RESEARCH                              |
|  |                 |                               |                          |                                   |  |  |                                       |
| MEDICAL UNIVERSITY OF SOUTH                        |                 |                               |                          |                                   |  |  |                                       |
| CAROLINA - 19 HAGOOD AVENUE, SUITE                 |                 |                               |                          | _                                 |  |  |                                       |
| 303 - CHARLESTON, SC 29425                         | 57-6000722      | STATE OF SC                   | 3,339,735.               | 0.                                |  |  | RESEARCH                              |
| MEEKER MEMORIAL HOSPITAL                           |                 |                               |                          |                                   |  |  |                                       |
| 512 SOUTH SIBLEY AVENUE                            |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| LITCHFIELD, MN 55355                               | 16-1738379      | 501(C)(3)                     | 11,996.                  | 0.                                |  |  | UPGRADE                               |
|  |                 |                               |                          |                                   |  |  |                                       |
| MEMORIAL HOPSITAL OF CARBON COUNTY                 |                 |                               |                          |                                   |  |  |                                       |
| 2221 WEST ELM STREET                               | 02 6000025      |                               | 05.010                   |                                   |  |  | EMERGENCY EQUIPMENT                   |
| RAWLINS, WY 82301                                  | 83-6000025      |                               | 25,310.                  | 0.                                |  |  | UPGRADE                               |

| Part II Continuation of Grants and Other            | Assistance to Go | overnments and Orga           | inizations in the U      | <b>nited States</b> (Sche         | edule I (Form 990), Pa<br>I                                    | irt II.)                               |                                    |
|---|------------------|-------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| (a) Name and address of organization or government  | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| MEMORIAL HOSPITAL OF CONVERSE                       |                  |                               |                          |                                   |  |  |                                    |
| COUNTY EMS - 111 SOUTH 5TH STREET                   |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                |
| - DOUGLAS, WY 82633                                 | 83-6000097       |                               | 16,250.                  | 0.                                |  |  | UPGRADE                            |
| MEMORIAL HOSPITAL OF SWEETWATER                     |                  |                               |                          |                                   |  |  |                                    |
| COUNTY - 1200 COLLEGE DRIVE - ROCK                  |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                |
| SPRINGS, WY 82901                                   | 83-6000295       |                               | 27,260.                  | 0.                                |  |  | UPGRADE                            |
| MEMORIAL SLOAN KETTERING CANCER                     |                  |                               |                          |                                   |  |  |                                    |
| CENTER - 1275 YORK AVENUE - NEW                     |                  |                               |                          |                                   |  |  |                                    |
| YORK, NY 10065                                      | 13-1924236       | 501(C)(3)                     | 137,946.                 | 0.                                |  |  | RESEARCH                           |
| MERCY HOSPITAL OF DEVILS LAKE                       |                  |                               |                          |                                   |  |  |                                    |
| 1031 7TH STREET NORTHEAST                           |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                |
| DEVILS LAKE, ND 58301                               | 45-0227012       | 501(C)(3)                     | 11,999.                  | 0.                                |  |  | UPGRADE                            |
| DEVIEW MAND, ND 30301                               | 45 0227012       | 501(0)(3)                     | 11,333.                  | <u> </u>                          |  |  | OT GRADE                           |
| MERCY MEDICAL CENTER - CLINTON IA                   |                  |                               |                          |                                   |  |  |                                    |
| 1410 NORTH 4TH STREET                               |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                |
| CLINTON, IA 52732                                   | 42-1336618       | 501(C)(3)                     | 53,400.                  | 0.                                |  |  | UPGRADE                            |
| MERCY MEDICAL CENTER - DUBUQUE                      |                  |                               |                          |                                   |  |  |                                    |
| 250 MERCY DRIVE                                     |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                |
| DUBUQUE, IA 52001                                   | 42-1437483       | 501(C)(3)                     | 53,400.                  | 0.                                |  |  | UPGRADE                            |
|   |                  |                               |                          |                                   |  |  |                                    |
| METROHEALTH FOUNDATION INC.                         |                  |                               |                          |                                   |  |  |                                    |
| 2500 METROHEALTH DRIVE                              |                  |                               |                          |                                   |  |  |                                    |
| CLEVELAND, OH 44109                                 | 34-6607695       | 501(C)(3)                     | 46,579.                  | 0.                                |  |  | RESEARCH                           |
| MIGUICAN CHAME UNIVERSITA                           |                  |                               |                          |                                   |  |  |                                    |
| MICHIGAN STATE UNIVERSITY                           |                  |                               |                          |                                   |  |  |                                    |
| 426 AUDITORIUM ROAD, SUITE 2 EAST LANSING, MI 48824 | 38-6005984       | STATE OF MI                   | 503,241.                 | 0.                                |  |  | RESEARCH                           |
| THE DANSING, HI 40024                               | 30 0003304       | DIAIL OF MI                   | 303,241.                 | 0.                                |  |  | KIDBAKCII                          |
| MICHIGAN TECHNOLOGICAL UNIVERSITY,                  |                  |                               |                          |                                   |  |  |                                    |
| HOUGHTON - 1400 TOWNSEND DRIVE -                    |                  |                               |                          |                                   |  |  |                                    |
| HOUGHTON, MI 49931                                  | 38-6005955       | STATE OF MI                   | 23,290.                  | 0.                                |  |  | RESEARCH                           |

| (a) Name and address of organization or government | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|---|---------------------------------------|
| MILES CITY FIRE RESCUE                             |                 |                               |                          |                                   |  |   |                                       |
| 2800 MAIN STREET                                   |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| MILES CITY, MT 59301                               | 81-6001292      | CITY OF MILES CI              | 24,713.                  | 0.                                |  |   | MONITORS                              |
| MILLS FIRE DEPARTMENT                              |                 |                               |                          |                                   |  |   |                                       |
| 300 LAKEVIEW DRIVE                                 |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| MILLS, WY 82604                                    | 83-6000080      |                               | 12,990.                  | 0.                                |  |   | MONITORS                              |
| MINDEN FIRE DEPARTMENT                             |                 |                               |                          |                                   |  |   |                                       |
| 325 NORTH COLORADO                                 |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| MINDEN, NE 68959                                   | 47-6006282      | CITY OF MINDEN                | 25,467.                  | 0.                                |  |   | MONITORS                              |
| MINNESOTA LAKE AMBULANCE SERVICE                   |                 |                               |                          |                                   |  |   |                                       |
| PO BOX 98  |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| MINNESOTA LAKE, MN 56068                           | 41-6005378      | CITY OF MINNESOT              | 21,167.                  | 0.                                |  |   | MONITORS                              |
| MINNESOTA VALLEY HEALTH CENTER                     |                 |                               |                          |                                   |  |   |                                       |
| 621 SOUTH 4TH STREET                               |                 |                               |                          |                                   |  |   | EMERGENCY EQUIPMENT                   |
| LE SUEUR, MN 56058                                 | 41-0837659      | 501(C)(3)                     | 11,999.                  | 0.                                |  |   | UPGRADE                               |
| MISSION VALLEY AMBULANCE                           |                 |                               |                          |                                   |  |   |                                       |
| PO BOX 535   |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| SAINT IGNATIUS, MT 59865                           | 81-0442928      |                               | 24,748.                  | 0.                                |  |   | MONITORS                              |
| MISSOULA EMERGENCY SERVICES INC.                   |                 |                               |                          |                                   |  |   |                                       |
| 1070 RIVER WALK DRIVE, SUITE 252                   |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| IDAHO FALLS, ID 83402                              | 81-0486424      |                               | 24,842.                  | 0.                                |  |   | MONITORS                              |
|  |                 |                               |                          |                                   |  |   |                                       |
| MISSOULA RURAL FIRE DISTRICT                       |                 |                               |                          |                                   |  |   |                                       |
| 2521 SOUTH AVENUE WEST                             |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| MISSOULA, MT 59804                                 | 81-0386669      |                               | 24,931.                  | 0.                                |  |   | MONITORS                              |
| MITCHELL VOLUNTEER FIRE DEPARTMENT                 |                 |                               |                          |                                   |  |   |                                       |
| 1145 CENTER AVENUE                                 |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| MITCHELL, NE 69357                                 | 47-6006283      | CITY OF MITCHELL              | 22,967.                  | 0.                                |  |   | MONITORS                              |

| Organization or government   if applicable   cash grant   non-cash   non-cash | (a) Name and address of            | (b) EIN    | (c) IRC section  | (d) Amount of | (e) Amount of | (f) Method of            | (g) Description of | (h) Purpose of grant |
|--|------------------------------------|------------|------------------|---------------|---------------|--------------------------|--------------------|----------------------|
| NO BOX 172470   STATE OF MT  | ` '                                | (D) LIN    |                  |               | non-cash      | valuation<br>(book, FMV, |                    | or assistance        |
| ### 10 BOX 172470  ### 81-6010045 STATE OF MT 275,893. 0. RESEARCH  #### 27 | MONTANA STATE HINTVERSITY ROZEMAN  |            |                  |               |               |                          |                    |                      |
| BOZEMAN, MT 59717 81-6010045 STATE OF MT 275,893. 0. RESEARCH  MONTGOMERY AREA EMERGENCY MEDICAL SERVICE - 201 ASH AVENUE SOUTHWEST - MONTGOMERY, MN 56069 CITY OF MONTGOME 22,982. 0. MONITORS  MOREHOUSE SCHOOL OF MEDICINE 720 WESTVIEW DRIVE SOUTHWEST ATLANTA, GA 30310 S8-1438873 501(C)(3) 1,165,016. 0. RESEARCH  MORGAN AMBULANCE SERVICE PO BOX 27  MORTIMORE AMBULANCE SERVICE 602 ARAFAHOE STREET HERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  MAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  NATIONAL FARK SERVICE   | -                                  |            |                  |               |               |                          |                    |                      |
| MONTGOMERY AREA EMERGENCY MEDICAL SERVICE - 201 ASH AVENUE SOUTHWEST - MONTGOMERY, MN 56069  MOREHOUSE SCHOOL OF MEDICINE 720 WESTVIEW DRIVE SOUTHWEST ATLANTA, GA 30310  58-1438873  501(C)(3)  1,165,016.  0.  RESEARCH  MORGAN AMBULANCE SERVICE FO BOX 27  MORGAN, MN 56266  41-6005383  CITY OF MORGAN  MORTIMORE AMBULANCE SERVICE 620 ARAPAMOE STREET THERMOPOLIS, WY 82443  83-0221354  9,820.  0.  MONTTONS  DEFIBRILLATORS A MONITORS  MONITORS  CHILDHOOD OBESIT  HUNTSVILLE, AL 35814  13-1084135  501(C)(3)  11,200.  0.  MORGAN  NATIONAL JEWISH HEALTH  1400 JACKSON STREET  THANOLEON, ND 58561  51-0172587  501(C)(3)  125,406.  0.  RESEARCH  DEFIBRILLATORS A MONITORS  RESEARCH  DEFIBRILLATORS A MONITORS  CHILDHOOD OBESIT  INITIATIVE  DEFIBRILLATORS A MONITORS  ANALOS SUMPLIANCE SERVICE FO BOX 247  NAPOLEON AMBULANCE SERVICE FO BOX 247  NAPOLEON, ND 58561  51-0172587  501(C)(3)  10,000.  0.  RESEARCH  NATIONAL JEWISH HEALTH  1400 JACKSON STREET  DENVER, CO 80206  74-2044647  501(C)(3)  125,406.  0.  RESEARCH   |                                    | 81-6010045 | STATE OF MT      | 275 893.      | 0.            |                          |                    | RESEARCH             |
| SERVICE - 201 ASH AVENUE SOUTHWEST - MONTGOMERY, MN 56069 CITY OF MONTGOME 22,982. 0. DEPIBRILLATORS A MONITORS  MOREHOUSE SCHOOL OF MEDICINE 720 WESTVIEW DRIVE SOUTHWEST ATLANTA, GA 30310 58-1438873 501(C)(3) 1,165,016. 0. RESEARCH  MORGAN AMBULANCE SERVICE PO BOX 27 MORGAN, MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MONITORS  MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 CHILDHOOD OBESIT HONTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. CHILDHOOD OBESIT HONTSVILLE, AL 35814 13-1084135 501(C)(3) 11,000. 0. DEFIBRILLATORS AND MONITORS  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. RESEARCH DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH RESEARCH NATIONAL PARK SERVICE  | ,                                  |            |                  |               |               |                          |                    |                      |
| - MONTGOMERY, MN 56069 CITY OF MONTGOME 22,982. 0. MONITORS  MOREHOUSE SCHOOL OF MEDICINE 720 WESTVIEW DRIVE SOUTHWEST ATLANTA, GA 30310 58-1438873 501(C)(3) 1,165,016. 0. RESEARCH  MORGAN AMBULANCE SERVICE PO BOX 27 MORGAN MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MONITORS  MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET DEFIBRILLATORS ATTERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. CILLDHOOD OBESIT HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. MONITORS  NAPOLEON AMBULANCE SERVICE FO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  | MONTGOMERY AREA EMERGENCY MEDICAL  |            |                  |               |               |                          |                    |                      |
| MOREHOUSE SCHOOL OF MEDICINE 720 WESTVIEW DRIVE SOUTHWEST ATLANTA, GA 30310 58-1438873 501(C)(3) 1,165,016. 0. RESEARCH  MORGAN AMBULANCE SERVICE FO BOX 27 MORGAN, MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET 620 ARAPAHOE STREET THERMOPOLIS, WW 82443 83-0221354 9,820. 0. MONITORS  DEFIBRILLATORS AMONITORS  MONITORS  CHILDHOOD OBESIT HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. CHILDHOOD OBESIT HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. MONITORS  DEFIBRILLATORS AMONITORS  CHILDHOOD OBESIT HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. MONITORS  NAPOLEON AMBULANCE SERVICE FO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. RESEARCH  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  | SERVICE - 201 ASH AVENUE SOUTHWEST |            |                  |               |               |                          |                    | DEFIBRILLATORS AND   |
| 720 WESTVIEW DRIVE SOUTHWEST ATLANTA, GA 30310 58-1438873 501(C)(3) 1,165,016. 0. RESEARCH  MORGAN AMBULANCE SERVICE PO BOX 27 DEFIBRILLATORS AMORGAN, MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MONITORS  MORTHMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH   | - MONTGOMERY, MN 56069             |            | CITY OF MONTGOME | 22,982.       | 0.            |                          |                    | MONITORS             |
| ATLANTA, GA 30310 58-1438873 501(C)(3) 1,165,016. 0. RESEARCH  MORGAN AMBULANCE SERVICE FO BOX 27 MORGAN, MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MONITORS  MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION FO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. TINITIATIVE  NAPOLEON AMBULANCE SERVICE FO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH   |                                    |            |                  |               |               |                          |                    |                      |
| ATLANTA, GA 30310 58-1438873 501(C)(3) 1,165,016. 0. RESEARCH  MORGAN AMBULANCE SERVICE FO BOX 27 MORGAN, MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MONITORS  MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION FO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. TINITIATIVE  NAPOLEON AMBULANCE SERVICE FO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH   | MOREHOUSE SCHOOL OF MEDICINE       |            |                  |               |               |                          |                    |                      |
| MORGAN AMBULANCE SERVICE PO BOX 27 MORGAN, MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MONITORS  MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. CHILDHOOD OBESIT HUNTSVILLE, AND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH   | 720 WESTVIEW DRIVE SOUTHWEST       |            |                  |               |               |                          |                    |                      |
| PO BOX 27  MORGAN, MN 56266  41-6005383 CITY OF MORGAN  22,982. 0. MONITORS   MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443  83-0221354  9,820. 0. MONITORS   NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814  13-1084135 501(C)(3)  11,200. 0. CHILDHOOD OBESIT INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561  51-0172587 501(C)(3)  10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647 501(C)(3)  125,406. 0. RESEARCH  | ATLANTA, GA 30310                  | 58-1438873 | 501(C)(3)        | 1,165,016.    | 0.            |                          |                    | RESEARCH             |
| PO BOX 27  MORGAN, MN 56266  41-6005383 CITY OF MORGAN  MORTIMORE AMBULANCE SERVICE  620 ARAPAHOE STREET  THERMOPOLIS, WY 82443  83-0221354  9,820.  0.  MONITORS   DEFIBRILLATORS AMONITORS  MONITORS   NAACP SOUTHEAST REGION  PO BOX 5778  HUNTSVILLE, AL 35814  13-1084135 501(C)(3)  11,200.  0.  CHILDHOOD OBESIT  INITIATIVE  NAPOLEON AMBULANCE SERVICE  PO BOX 247  NAPOLEON, ND 58561  51-0172587 501(C)(3)  10,000.  0.  MONITORS  AMONITORS  NATIONAL JEWISH HEALTH  1400 JACKSON STREET  DENVER, CO 80206  74-2044647 501(C)(3)  125,406.  0.  RESEARCH   |                                    |            |                  |               |               |                          |                    |                      |
| MORGAN, MN 56266 41-6005383 CITY OF MORGAN 22,982. 0. MONITORS  MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. CHILDHOOD OBESIT HUNTSVILLE, AL 35814 51-0172587 501(C)(3) 10,000. 0. MONITORS  NAFOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  |                                    |            |                  |               |               |                          |                    |                      |
| MORTIMORE AMBULANCE SERVICE 620 ARAPAHOE STREET THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  |                                    | 44 6005202 | GTEU OF WORDS    | 00 000        | 0             |                          |                    |                      |
| 620 ARAPAHOE STREET THERMOPOLIS, WY 82443  83-0221354  9,820.  0.  MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814  13-1084135  501(C)(3)  11,200.  0.  INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561  51-0172587  501(C)(3)  10,000.  0.  MONITORS  AMONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647  501(C)(3)  125,406.  0.  RESEARCH  | MORGAN, MN 56266                   | 41-6005383 | CITY OF MORGAN   | 22,982.       | 0.            |                          |                    | MONITORS             |
| 620 ARAPAHOE STREET THERMOPOLIS, WY 82443  83-0221354  9,820.  0.  MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814  13-1084135  501(C)(3)  11,200.  0.  INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561  51-0172587  501(C)(3)  10,000.  0.  MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647  501(C)(3)  125,406.  0.  RESEARCH   | MODETMODE AMBIILANCE SERVICE       |            |                  |               |               |                          |                    |                      |
| THERMOPOLIS, WY 82443 83-0221354 9,820. 0. MONITORS  NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814 13-1084135 501(C)(3) 11,200. 0. INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561 51-0172587 501(C)(3) 10,000. 0. MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  |                                    |            |                  |               |               |                          |                    | DEFIRETLLATORS AND   |
| NAACP SOUTHEAST REGION PO BOX 5778 HUNTSVILLE, AL 35814  13-1084135  501(C)(3)  11,200.  0.  INITIATIVE  DEFIBRILLATORS AND MONITORS  NAPOLEON, ND 58561  51-0172587  501(C)(3)  10,000.  0.  MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647  NATIONAL PARK SERVICE   |                                    | 83-0221354 |                  | 9 820.        | 0.            |                          |                    |                      |
| PO BOX 5778 HUNTSVILLE, AL 35814  13-1084135  501(C)(3)  11,200.  0.  INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561  51-0172587  501(C)(3)  10,000.  0.  MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647  501(C)(3)  125,406.  0.  RESEARCH   |                                    | 00 0222001 |                  | ,,,,,,        |               |                          |                    |                      |
| PO BOX 5778 HUNTSVILLE, AL 35814  13-1084135  501(C)(3)  11,200.  0.  INITIATIVE  NAPOLEON AMBULANCE SERVICE PO BOX 247 NAPOLEON, ND 58561  51-0172587  501(C)(3)  10,000.  0.  MONITORS  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647  501(C)(3)  125,406.  0.  RESEARCH   | NAACP SOUTHEAST REGION             |            |                  |               |               |                          |                    |                      |
| NAPOLEON AMBULANCE SERVICE  PO BOX 247  NAPOLEON, ND 58561  51-0172587  501(C)(3)  10,000.  0.  MONITORS  NATIONAL JEWISH HEALTH  1400 JACKSON STREET  DENVER, CO 80206  74-2044647  501(C)(3)  125,406.  0.  RESEARCH   |                                    |            |                  |               |               |                          |                    | CHILDHOOD OBESITY    |
| PO BOX 247 NAPOLEON, ND 58561  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647 501(C)(3)  10,000.  0.  MONITORS  RESEARCH  NATIONAL PARK SERVICE   | HUNTSVILLE, AL 35814               | 13-1084135 | 501(C)(3)        | 11,200.       | 0.            |                          |                    | INITIATIVE           |
| PO BOX 247 NAPOLEON, ND 58561  NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  74-2044647 501(C)(3)  10,000.  0.  MONITORS  RESEARCH  NATIONAL PARK SERVICE   |                                    |            |                  |               |               |                          |                    |                      |
| NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206  NATIONAL PARK SERVICE  DATE OF THE PARK SERVICE  10,000.  0.  MONITORS  10,000.  0.  RESEARCH   | NAPOLEON AMBULANCE SERVICE         |            |                  |               |               |                          |                    |                      |
| NATIONAL JEWISH HEALTH 1400 JACKSON STREET DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH NATIONAL PARK SERVICE  | PO BOX 247                         |            |                  |               |               |                          |                    | DEFIBRILLATORS AND   |
| 1400 JACKSON STREET  DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  NATIONAL PARK SERVICE   | NAPOLEON, ND 58561                 | 51-0172587 | 501(C)(3)        | 10,000.       | 0.            |                          |                    | MONITORS             |
| 1400 JACKSON STREET  DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  NATIONAL PARK SERVICE   |                                    |            |                  |               |               |                          |                    |                      |
| DENVER, CO 80206 74-2044647 501(C)(3) 125,406. 0. RESEARCH  NATIONAL PARK SERVICE  |                                    |            |                  |               |               |                          |                    |                      |
| NATIONAL PARK SERVICE  |                                    |            |                  |               |               |                          |                    |                      |
|  | DENVER, CO 80206                   | 74-2044647 | 501(C)(3)        | 125,406.      | 0.            |                          |                    | RESEARCH             |
|  | NAMIONAL DADE GERUIGE              |            |                  |               |               |                          |                    |                      |
| IDDLOWSTONE NATIONAL PARK  |                                    |            |                  |               |               |                          |                    | DESTRUCTION AND      |
| YELLOWSTONE, WY 82190 53-0197094 FEDERAL GOV'T 16,480. 0. MONITORS   |                                    | E2 0107004 | EEDEDAI GOU'M    | 16 400        | ^             |                          |                    |                      |

| Part II Continuation of Grants and Other   | Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) |                               |                          |                                   |  |   |                                       |  |  |
|--|---|-------------------------------|--------------------------|-----------------------------------|--|---|---------------------------------------|--|--|
| (a) Name and address of organization or government   | <b>(b)</b> EIN  | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |  |  |
| NATIONAL REHABILITATION HOSPITAL<br>102 IRVING STREET NORTHWEST<br>WASHINGTON, DC 20010          | 52-1369749  | 501(C)(3)                     | 44,788.                  | 0.                                |  |   | RESEARCH                              |  |  |
| NATIONWIDE CHILDREN'S HOSPITAL<br>PO BOX 715245<br>COLUMBUS, OH 43271                            | 31-6056230  | 501(C)(3)                     | 119,135.                 | 0.                                |  |   | RESEARCH                              |  |  |
| NATRONA COUNTY FIRE PROTECTION DISTRICT - PO BOX 820 - MILLS, WY 82644                           | 83-0303845  |                               | 14,575.                  | 0.                                |  |   | DEFIBRILLATORS AND<br>MONITORS        |  |  |
| NEBRASKA CITY FIRE RESCUE<br>1409 CENTRAL AVENUE<br>NEBRASKA CITY, NE 68410                      | 47-6006287  | CITY OF NEBRASKA              | 25,532.                  | 0.                                |  |   | DEFIBRILLATORS AND<br>MONITORS        |  |  |
| NEMAHA COUNTY HOSPITAL<br>2022 13TH STREET<br>AUBURN, NE 68305                                   | 47-0748056  | 501(C)(3)                     | 11,999.                  | 0.                                |  |   | EMERGENCY EQUIPMENT<br>UPGRADE        |  |  |
| NEW YORK CITY COALITION AGAINST<br>HUNGER - 50 BROAD STREET, SUITE<br>1520 - NEW YORK, NY 10004  | 13-3471350  | 501(C)(3)                     | 25,000.                  | 0.                                |  |   | COMMUNITY IMPACT GRANT                |  |  |
| NEW YORK INSTITUTE OF TECHNOLOGY<br>NORTHERN BOULEVARD GERRY HOUSE, SU<br>OLD WESTBURY, NY 11568 | 11-1788788  | 501(C)(3)                     | 275,893.                 | 0.                                |  |   | RESEARCH                              |  |  |
| NEW YORK UNIVERSITY 700 WASHINGTON SQUARE SOUTH NEW YORK, NY 10012                               | 13-5562309  | 501(C)(3)                     | 451,909.                 | 0.                                |  |   | RESEARCH                              |  |  |
| NEW YORK UNIVERSITY MEDICAL CENTER 700 WASHINGTON SQUARE SOUTH NEW YORK, NY 10012                | 13-5562308  | 501(C)(3)                     | 177,360.                 | 0.                                |  |   | RESEARCH                              |  |  |

| Part II Continuation of Grants and Other           | Assistance to Go | overnments and Organ          | nizations in the U       | <b>nited States</b> (Sch          | edule I (Form 990), Pa   | ırt II.)                               | T   |
|--|------------------|-------------------------------|--------------------------|-----------------------------------|--|--|---|
| (a) Name and address of organization or government | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | <b>(h)</b> Purpose of grant or assistance |
| NIOBRARA HEALTH AND LIFE CENTER                    |                  |                               |                          |                                   |  |  |   |
| PO BOX 780   |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                       |
| LUSK, WY 82225                                     | 87-0725536       |                               | 7,500.                   | 0.                                |  |  | UPGRADE                                   |
| NORTH BIG HORN HOSPITAL                            |                  |                               |                          |                                   |  |  |   |
| 1115 LANE 12                                       |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                       |
| LOVELL, WY 82431                                   | 83-6000891       | 501(C)(3)                     | 33,685.                  | 0.                                |  |  | UPGRADE                                   |
| NORTH CAROLINA PEDIATRIC SOCIETY                   |                  |                               |                          |                                   |  |  |   |
| INC 1100 WAKE FOREST ROAD,                         |                  |                               |                          |                                   |  |  |   |
| SUITE 200 - RALEIGH, NC                            |                  |                               |                          |                                   |  |  | CHILDHOOD OBESITY                         |
| 27604  | 31-1657902       | 501(C)(3)                     | 187,774.                 | 0.                                |  |  | INITIATIVE                                |
| NORTH DAKOTA DEPARTMENT OF HEALTH                  |                  |                               |                          |                                   |  |  |   |
| 600 EAST BOULEVARD AVENUE,                         |                  |                               |                          |                                   |  |  |   |
| DEPARTMENT 301 - BISMARCK, ND                      |                  |                               |                          |                                   |  |  |   |
| 58505  | 45-0309764       | STATE OF ND                   | 114,000.                 | 0.                                |  |  | ACTION REGISTRY                           |
| NORTHEAST AMBULANCE SERVICE INC.                   |                  |                               |                          |                                   |  |  |   |
| PO BOX 1   |                  |                               |                          |                                   |  |  | DEFIBRILLATORS AND                        |
| ROSHOLT, SD 57260                                  | 46-0361769       | 501(C)(3)                     | 10,000.                  | 0.                                |  |  | MONITORS                                  |
| 10011011, 00 07100                                 | 10 0301703       | 501(0)(0)                     | 10,000.                  |                                   |  |  | 101110115                                 |
| NORTHEAST OHIO MEDICAL UNIVERSITY                  |                  |                               |                          |                                   |  |  |   |
| 4209 STATE ROUTE 44                                |                  |                               |                          |                                   |  |  |   |
| ROOTSTOWN, OH 44272                                | 34-1131512       | STATE OF OH                   | 46,579.                  | 0.                                |  |  | RESEARCH                                  |
|  |                  |                               |                          |                                   |  |  |   |
| NORTHERN ARAPAHO TRIBE                             |                  |                               |                          |                                   |  |  |   |
| PO BOX 860   |                  |                               |                          |                                   |  |  | DEFIBRILLATORS AND                        |
| FORT WASHAKIE, WY 82514                            | 83-0254253       |                               | 25,000.                  | 0.                                |  |  | MONITORS                                  |
| NODBUEDN GUEVENNE BOIDE                            |                  |                               |                          |                                   |  |  |   |
| NORTHERN CHEYENNE TRIBE<br>PO BOX 128              |                  |                               |                          |                                   |  |  | DEFIBRILLATORS AND                        |
| LAME DEER, MT 59043                                | 81-0432358       | CHEYENNE NATION               | 24,807.                  | 0.                                |  |  | MONITORS                                  |
| DEEK, MI 37043                                     | 01-0432330       | CHETENNE NATION               | 24,007.                  | 0.                                |  |  | HONTIONS                                  |
| NORTHWEST IOWA HOSPITAL                            |                  |                               |                          |                                   |  |  |   |
| CORPORATION - 2720 STONE PARK                      |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                       |
| BOULEVARD - SIOUX CITY, IA 51104                   | 42-1019872       | 501(C)(3)                     | 53,400.                  | 0.                                |  |  | UPGRADE                                   |

| Part II Continuation of Grants and Other           | Assistance to G | overnments and Orga           | nizations in the U       | nited States (School                    | edule I (Form 990), Pa   | art II.)                               | T                                     |
|--|-----------------|-------------------------------|--------------------------|---|--|--|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| NORTHWESTERN UNIVERSITY                            |                 |                               |                          |   |  |  |                                       |
| 633 CLARK STREET                                   |                 |                               |                          |   |  |  |                                       |
| EVANSTON, IL 60208                                 | 36-2167817      | 501(C)(3)                     | 8,000.                   | 0.                                      |  |  | HOSPITAL ACCREDITATION                |
| NORTHWESTERN UNIVERSITY                            |                 |                               |                          |   |  |  |                                       |
| 633 CLARK STREET                                   |                 |                               |                          |   |  |  |                                       |
| EVANSTON, IL 60208                                 | 36-2167817      | 501(C)(3)                     | 3,435,918.               | 0.                                      |  |  | RESEARCH                              |
| OMRF (OKLAHOMA MEDICAL RESEARCH                    |                 |                               |                          |   |  |  |                                       |
| FOUNDATION) - 825 NORTHEAST 13TH                   |                 |                               |                          |   |  |  |                                       |
| STREET - OKLAHOMA CITY, OK 73104                   | 73-0580274      | 501(C)(3)                     | 982,809.                 | 0.                                      |  |  | RESEARCH                              |
| OREGON HEALTH & SCIENCE                            |                 |                               | ·                        |   |  |  |                                       |
| UNIVERSITY, PORTLAND - 690                         |                 |                               |                          |   |  |  |                                       |
| SOUTHWEST BANCROFT STREET -                        |                 |                               |                          |   |  |  |                                       |
| PORTLAND, OR 97239                                 | 93-1176109      | STATE OF OR                   | 421,005.                 | 0.                                      |  |  | RESEARCH                              |
|  |                 |                               |                          |   |  |  |                                       |
| ORGANIZING PEOPLE ACTIVATING                       |                 |                               |                          |   |  |  |                                       |
| LEADERS - 2407 SOUTHEAST 49TH                      |                 |                               |                          |   |  |  | CHILDHOOD OBESITY                     |
| AVENUE - PORTLAND, OR 97206                        | 20-2782595      | 501(C)(3)                     | 9,464.                   | 0.                                      |  |  | INITIATIVE                            |
| ORTONVILLE AMBULANCE SERVICE                       |                 |                               |                          |   |  |  |                                       |
| 209 SOUTH HIGHWAY 9, PO BOX 231                    |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| MORRIS, MN 56267                                   | 27-1504752      | CITY OF MORRIS                | 24,054.                  | 0.                                      |  |  | MONITORS                              |
| MORRIS, MV 30207                                   | 27 1304732      | CITI OF MORKID                | 24,034.                  | · ·                                     |  |  | HONITORD                              |
| PARKERS PRAIRIE COMMUNITY                          |                 |                               |                          |   |  |  |                                       |
| AMBULANCE - PO BOX 115 - PARKERS                   |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| PRAIRIE, MN 56361                                  | 41-1702095      | 501(C)(3)                     | 23,612.                  | 0.                                      |  |  | MONITORS                              |
| PARTNERSHIP FOR A HEALTHY                          |                 |                               | ,                        |   |  |  |                                       |
| MISSISSIPPI - 200 PARK CIRCLE,                     |                 |                               |                          |   |  |  |                                       |
| SUITE 3 -  |                 |                               |                          |   |  |  | CHILDHOOD OBESITY                     |
| FLOWOOD, MS 39232                                  | 64-0895372      | 501(C)(3)                     | 69,452.                  | 0.                                      |  |  | INITIATIVE                            |
| PENDER VOLUNTEER FIRE AND RESCUE                   |                 |                               |                          |   |  |  |                                       |
| 416 MAIN STREET                                    |                 |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| PENDER, NE 68047                                   | 47-6006321      | CITY OF PENDER                | 23,426.                  | 0.                                      |  |  | MONITORS                              |
| TENDER, NE 0004/                                   | -1-00003ZI      | CITI OF FEMDER                | 23,420.                  | ı                                       |  |  | MONITORS                              |

| •  |                |                               |                          |   |  |   |                                       |
|--|----------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government | <b>(b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| PENNSYLVANIA STATE UNIVERSITY,                     |                |                               |                          |   |  |   |                                       |
| UNIVERSITY PARK - 227 WEST BEAVER                  |                |                               |                          |   |  |   |                                       |
| STREET, SUITE 401 - STATE COLLEGE,                 |                |                               |                          |   |  |   |                                       |
| PA 16801   | 24-6000376     | STATE OF PA                   | 359,198.                 | 0.                                      |  |   | RESEARCH                              |
| PHELPS MEMORIAL HEALTH CENTER                      |                |                               |                          |   |  |   |                                       |
| 1215 TIBBALS STREET                                |                |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| HOLDREGE, NE 68949                                 | 47-0481628     | 501(C)(3)                     | 25,170.                  | 0.                                      |  |   | UPGRADE                               |
|  |                |                               | ,                        |   |  |   |                                       |
| PIEDMONT VOLUNTEER FIRE DEPARTMENT                 |                |                               |                          |   |  |   |                                       |
| INC - PO BOX 334 - PIEDMONT, SD                    |                |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| 57769  | 36-3496235     | CITY OF PIEDMONT              | 10,000.                  | 0.                                      |  |   | MONITORS                              |
|  |                |                               |                          |   |  |   |                                       |
| PIERCE RESCUE                                      |                |                               |                          |   |  |   |                                       |
| 106 SOUTH 1ST STREET                               | 45 6006204     |                               | 10 122                   | 0                                       |  |   | DEFIBRILLATORS AND                    |
| PIERCE, NE 68767                                   | 47-6006324     | CITY OF PIERCE                | 19,133.                  | 0.                                      |  |   | MONITORS                              |
| PIPESTONE COUNTY AMBULANCE                         |                |                               |                          |   |  |   |                                       |
| 416 SOUTH HIAWATHA AVENUE                          |                |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| PIPESTONE, MN 56164                                | 41-6005866     | PIPESTONE COUNTY              | 26,440.                  | 0.                                      |  |   | MONITORS                              |
|  |                |                               |                          |   |  |   |                                       |
| PLAYWORKS EDUCATION ENERGIZED                      |                |                               |                          |   |  |   |                                       |
| 380 WASHINGTON STREET                              |                |                               |                          |   |  |   |                                       |
| OAKLAND, CA 94607                                  | 94-3251867     | 501(C)(3)                     | 25,000.                  | 0.                                      |  |   | COMMUNITY IMPACT GRANT                |
| POLSON AMBULANCE INC.                              |                |                               |                          |   |  |   |                                       |
| PO BOX 838   |                |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
|  | 81-0540762     |                               | 10 601                   | 0.                                      |  |   | MONITORS                              |
| POLSON, MT 59860                                   | 81-0340762     |                               | 49,684.                  | 0.                                      |  |   | MONITORS                              |
| PONCA VOLUNTEER FIRE AND RESCUE                    |                |                               |                          |   |  |   |                                       |
| 123 WEST THIRD STREET                              |                |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| PONCA, NE 68770                                    | 47-6006332     | CITY OF PONCA                 | 22,967.                  | 0.                                      |  |   | MONITORS                              |
|  |                |                               |                          |   |  |   |                                       |
| POWELL COUNTY AMBULANCE SERVICE                    |                |                               |                          |   |  |   |                                       |
| INC PO BOX 735 - DEER LODGE, MT                    |                |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| 59722  | 81-0393942     | 501(C)(3)                     | 24,940.                  | 0.                                      |  |   | MONITORS                              |

| Part II Continuation of Grants and Other                 | Assistance to G | overnments and Orga           | nizations in the U       | nited States (Sch                 | edule I (Form 990), Pa   | rt II.)                                |                                       |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| (a) Name and address of organization or government       | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| PRAIRIE RIDGE HOSPITAL AND HEALTH                        |                 |                               |                          |                                   |  |  |                                       |
| SERVICES INC 1411 HIGHWAY 79                             |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| EAST - ELBOW LAKE, MN 56531                              | 41-1763968      | 501(C)(3)                     | 11,999.                  | 0.                                |  |  | UPGRADE                               |
| PRESTON EMERGENCY SERVICE                                |                 |                               |                          |                                   |  |  |                                       |
| 210 FILLMORE STREET WEST                                 |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| PRESTON, MN 55965  | 41-6005468      | CITY OF PRESTON               | 27,635.                  | 0.                                |  |  | MONITORS                              |
| PRINCETON UNIVERSITY                                     |                 |                               |                          |                                   |  |  |                                       |
| 701 CARNEGIE STREET                                      |                 |                               |                          |                                   |  |  |                                       |
| PRINCETON, NJ 08540                                      | 21-0634501      | 501(C)(3)                     | 41,205.                  | 0.                                |  |  | RESEARCH                              |
|  |                 |                               |                          |                                   |  |  |                                       |
| PUBLIC HEALTH INSTITUTE                                  |                 |                               |                          |                                   |  |  | GULL DUOOD ODEGLEY                    |
| 555 12TH STREET, 10TH FLOOR                              | 04 1646279      | E01/C)/3)                     | 416 250                  | 0                                 |  |  | CHILDHOOD OBESITY                     |
| OAKLAND, CA 94607  | 94-1646278      | 501(C)(3)                     | 416,358.                 | 0.                                |  |  | INITIATIVE                            |
| PUBLIC HEALTH LAW CENTER INC                             |                 |                               |                          |                                   |  |  |                                       |
| 875 SUMMIT AVENUE  |                 |                               |                          |                                   |  |  | CHILDHOOD OBESITY                     |
| ST PAUL, MN 55105  | 41-1896367      | 501(C)(3)                     | 216,138.                 | 0.                                |  |  | INITIATIVE                            |
| PUBLIC HOSPITAL DISTRICT FOR                             |                 |                               |                          |                                   |  |  |                                       |
| BEAVERHEAD - 600 MONTANA HIGHWAY                         |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| 91 SOUTH - DILLON, MT 59725                              | 81-0357909      |                               | 11,996.                  | 0.                                |  |  | UPGRADE                               |
| DUDDIE INTUEDATMY MEAN LAEAVENNE                         |                 |                               |                          |                                   |  |  |                                       |
| PURDUE UNIVERSITY, WEST LAFAYETTE 155 SOUTH GRANT STREET |                 |                               |                          |                                   |  |  |                                       |
| WEST LAFAYETTE, IN 47907                                 | 35-6002041      | STATE OF IN                   | 259,769.                 | 0.                                |  |  | RESEARCH                              |
| WEST DATAIBILE, IN 47707                                 | 33 0002041      | DIATE OF IN                   | 235,105.                 | 0.                                |  |  | RESEARCH                              |
| QUEENS COMMUNITY HOUSE INC.                              |                 |                               |                          |                                   |  |  |                                       |
| 108-25 62ND DRIVE  |                 |                               |                          |                                   |  |  |                                       |
| FOREST HILLS, NY 11375                                   | 11-2375583      | 501(C)(3)                     | 25,000.                  | 0.                                |  |  | COMMUNITY IMPACT GRANT                |
| RAPID CITY REGIONAL HOSPITAL                             |                 |                               |                          |                                   |  |  |                                       |
| PO BOX 6000  |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| RAPID CITY, SD 57709                                     | 46-0319070      | 501(C)(3)                     | 14,650.                  | 0.                                |  |  | UPGRADE                               |

| Part II Continuation of Grants and Other           | Assistance to G | overnments and Orga           | nizations in the U                      | nited States (Sch                       | edule I (Form 990), Pa   | rt II.)                                |                                       |
|--|-----------------|-------------------------------|---|---|--|--|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant                | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| RAYMOND AMBULANCE SERVICE                          |                 |                               |   |   |  |  |                                       |
| PO BOX 216   |                 |                               |   |   |  |  | DEFIBRILLATORS AND                    |
| RAYMOND, MN 56282                                  | 41-1248458      | CITY OF RAYMOND               | 21,702.                                 | 0.                                      |  |  | MONITORS                              |
| REDWOOD AREA HOSPITAL                              |                 |                               |   |   |  |  |                                       |
| 100 FALLWOOD ROAD                                  |                 |                               |   |   |  |  | EMERGENCY EQUIPMENT                   |
| REDWOOD FALLS, MN 56283                            | 41-1420529      | CITY OF REDWOOD               | 11,999.                                 | 0.                                      |  |  | UPGRADE                               |
| REGIONAL WEST GARDEN COUNTY                        |                 |                               |   |   |  |  |                                       |
| 4021 AVENUE B                                      |                 |                               |   |   |  |  | EMERGENCY EQUIPMENT                   |
| SCOTTSBLUFF, NE 69361                              | 39-1904975      | 501(C)(3)                     | 25,581.                                 | 0.                                      |  |  | UPGRADE                               |
| REGIONAL WEST MEDICAL CENTER                       |                 |                               |   |   |  |  |                                       |
| 4021 AVENUE B                                      |                 |                               |   |   |  |  | EMERGENCY EQUIPMENT                   |
| SCOTTSBLUFF, NE 69361                              | 47-0385129      | 501(C)(3)                     | 42,381.                                 | 0.                                      |  |  | upgrade                               |
| RENVILLE COUNTY HOSPITAL AND                       |                 |                               |   |   |  |  |                                       |
| CLINIC - 611 EAST FAIRVIEW AVENUE                  |                 |                               |   |   |  |  | EMERGENCY EQUIPMENT                   |
| - OLIVIA, MN 56277                                 | 41-6005880      | RENVILLE COUNTY               | 11,999.                                 | 0.                                      |  |  | UPGRADE                               |
| RESEARCH FOUNDATION OF SUNY                        |                 |                               |   |   |  |  |                                       |
| PO BOX 9   |                 |                               |   |   |  |  |                                       |
| ALBANY, NY 12201                                   | 14-1368361      | 501(C)(3)                     | 317,097.                                | 0.                                      |  |  | RESEARCH                              |
| RHODE ISLAND HOSPITAL                              |                 |                               |   |   |  |  |                                       |
| 593 EDDY STREET                                    |                 |                               |   |   |  |  |                                       |
| PROVIDENCE, RI 02903                               | 05-0258954      | 501(C)(3)                     | 437,397.                                | 0.                                      |  |  | RESEARCH                              |
|  |                 |                               | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |   |  |  |                                       |
| RICE UNIVERSITY                                    |                 |                               |   |   |  |  |                                       |
| 6100 MAIN STREET                                   |                 |                               |   |   |  |  |                                       |
| HOUSTON, TX 77005                                  | 74-1109620      | 501(C)(3)                     | 403,988.                                | 0.                                      |  |  | RESEARCH                              |
| RIVERTON MEMORIAL HOSPITAL LLC                     |                 |                               |   |   |  |  |                                       |
| 2100 WEST SUNSET DRIVE                             |                 |                               |   |   |  |  | EMERGENCY EQUIPMENT                   |
| RIVERTON, WY 82501                                 | 62-1762468      |                               | 15,000.                                 | 0.                                      |  |  | UPGRADE                               |

| NOSSEBUL COUNTY AMBULANCE   165 FRONT STREET   81-6001424   24,898.  | Part II Continuation of Grants and Other | Assistance to G | overnments and Orgar | nizations in the U | <b>nited States</b> (Sch | edule I (Form 990), Pa<br>I | ırt II.)<br>T | <u> </u>            |
|--|--|-----------------|----------------------|--------------------|--------------------------|-----------------------------|---------------|---------------------|
| DEFIBRILLATORS AND   | * *                                      | (b) EIN         |                      |                    | non-cash                 | valuation<br>(book, FMV,    |               |                     |
| DEFIBRILLATORS AND   | ROCKY MOJINTAIN AMBIJJANCE SERVICE       |                 |                      |                    |                          |                             |               |                     |
| HERIDAN, WY 82801 83-0329720 14,405. 0. MONITORS  ROSEBUD COUNTY AMBULANCE 165 FRONT STREET 81-6001424 24,898. 0. MONITORS  RUSH UNIVERSITY MEDICAL CENTER 700 WEST VAN BUREN STREET, SUITE FHICAGO, IL 60512 36-2174823 501(C)(3) 216,873. 0. RESEARCH  RUSTORS, THE STATE UNIVERSITY OF REW JERSEY REBS - 65 DAVIDSON ROAD, SUITE 306 - PISCATAMAY, NJ RESEARCH  R |  |                 |                      |                    |                          |                             |               | DEFIBRILLATORS AND  |
| 1165 FRONT STREET  | SHERIDAN, WY 82801                       | 83-0329720      |                      | 14,405.            | 0.                       |                             |               |                     |
| 1165 FRONT STREET  | DOGEDUD GOUNDY AMDULANCE                 |                 |                      |                    |                          |                             |               |                     |
| STATE   MEDICAL CENTER   STATE UNIVERSITY MEDICAL CENTER   STATE OF NJ   |  |                 |                      |                    |                          |                             |               | DEETDRII AMODE AND  |
| NUSH UNIVERSITY MEDICAL CENTER 1700 WEST VAN BUREN STREET, SUITE 1810 WINTERS, THE STATE UNIVERSITY OF 1810 VESSEY REHS - 65 DAVIDSON 1820 NO. RESEARCH 1811 STATE UNIVERSITY OF 1821 VESSEY REHS - 65 DAVIDSON 1822 VESSEY REHS - 65 DAVIDSON 1824 VESSEY REHS - 65 DAVIDSON 1825 VESSEY REHS - 65 DAVIDSON 1826 VESS |  | 91 6001424      |                      | 24 000             | 0                        |                             |               |                     |
| 1.700 WEST VAN BUREN STREET, SUITE   36-2174823   501(C)(3)   216,873.   0.     RESEARCH   RESEAR   | ORSITH, MT 59327                         | 81-6001424      |                      | 24,090.            | 0.                       |                             |               | MONITORS            |
| 1.700 WEST VAN BUREN STREET, SUITE   36-2174823   501(C)(3)   216,873.   0.     RESEARCH   RESEAR   | RUSH UNIVERSITY MEDICAL CENTER           |                 |                      |                    |                          |                             |               |                     |
| HICAGO, IL 60612  36-2174823  501(C)(3)  216,873.  0.  RESEARCH  R |  |                 |                      |                    |                          |                             |               |                     |
| RUTGERS, THE STATE UNIVERSITY OF REW JERSEY RBHS - 65 DAVIDSON ROAD, SUITE 306 - PISCATAWAY, NJ RBS54  | •  | 36-2174823      | 501(C)(3)            | 216 873            | 0                        |                             |               | RESEARCH            |
| NEW JERSEY REHS - 65 DAVIDSON NOAD, SUITE 306 - PISCATAWAY, NJ 18854  46-2354111 STATE OF NJ 573,284. 0. RESEARCH  25 ALIT CHARLES AMBULANCE 330 WHITEWARTER AVENUE 331 WHITEWARTER AVENUE 341 CHARLES, MN 55972  41-6005513 CITY OF SAINT CH 24,163. 0. MONITORS  25 ALIT FRANCIS MEDICAL CENTER 26 ALIT FRANCIS MEDI | ,  |                 |                      |                    |                          |                             |               |                     |
| ACAD, SUITE 306 - PISCATAWAY, NJ   AC-2354111   STATE OF NJ   573,284.   0.   RESEARCH   |  |                 |                      |                    |                          |                             |               |                     |
| SAINT CHARLES AMBULANCE   SAINT CHARLES, AND 55972   41-6005513 CITY OF SAINT CH 24,163.   0.  |  |                 |                      |                    |                          |                             |               |                     |
| SAINT CHARLES AMBULANCE SAINT CHARLES, MN 55972  41-6005513  CITY OF SAINT CH  24,163.  0.  SAINT FRANCIS MEDICAL CENTER  620 WEST FAIDLEY AVENUE  SEAND ISLAND, NE 68803  41-0695598  501(C)(3)  52,900.  0.  MONITORS  DEFIBRILLATORS AND  MONITORS  MONITORS  AND DIEGO STATE UNIVERSITY  RESEARCH FOUNDATION - 5250  CAMPANILE DRIVE - SAN DIEGO, CA  95-6042721  STATE OF CA  77,035.  0.  RESEARCH  SANFORD  PO BOX 5039  SHOUX FALLS, SD 57117  31-1527032  SO1(C)(3)  6,000.  0.  SANFORD BEMIDJI MEDICAL CENTER  SANFORD BEMIDJI MEDICAL CENTER  1300 ANNE STREET NORTHWEST  EMERGENCY EQUIPMENT  EMERGENCY EQUIPMENT   | 08854                                    | 46-2354111      | STATE OF NJ          | 573.284.           | 0.                       |                             |               | RESEARCH            |
| DEFIBRILLATORS AND MONITORS  A11NT CHARLES, MN 55972  41-6005513  CITY OF SAINT CH  24,163.  0.  BAINT FRANCIS MEDICAL CENTER  2620 WEST FAIDLEY AVENUE  SARAND ISLAND, NE 68803  41-0695598  501(C)(3)  52,900.  0.  MONITORS  DEFIBRILLATORS AND MONITORS  MONITORS  AMPANILE DRIVE - SAN DIEGO, CA  22182  95-6042721  STATE OF CA  77,035.  0.  RESEARCH  EMERGENCY EQUIPMENT  SANFORD  SANFORD BEMIDJI MEDICAL CENTER  1300 ANNE STREET NORTHWEST  EMERGENCY EQUIPMENT  |  |                 |                      | ,                  |                          |                             |               |                     |
| SAINT CHARLES, MN 55972 41-6005513 CITY OF SAINT CH 24,163. 0. MONITORS  SAINT FRANCIS MEDICAL CENTER 2620 WEST FAIDLEY AVENUE 3620 WEST FAIDLEY AVENUE 363ND ISLAND, NE 68803 41-0695598 501(C)(3) 52,900. 0. MONITORS  SAN DIEGO STATE UNIVERSITY 462520 462721 STATE OF CA 77,035. 0. RESEARCH 502182 95-6042721 STATE OF CA 77,035. 0. RESEARCH 502182 95-6042721 STATE OF CA 77,035. 0. RESEARCH 502182 95-6042721 STATE OF CA 77,035. 0. RESEARCH 502182 502 SON 5039 503  SON 5039 503 SON 5039 | SAINT CHARLES AMBULANCE                  |                 |                      |                    |                          |                             |               |                     |
| SAINT CHARLES, MN 55972 41-6005513 CITY OF SAINT CH 24,163. 0. MONITORS  SAINT FRANCIS MEDICAL CENTER 2620 WEST FAIDLEY AVENUE 3620 WEST FAIDLEY AVENUE 363ND ISLAND, NE 68803 41-0695598 501(C)(3) 52,900. 0. MONITORS  SAN DIEGO STATE UNIVERSITY 462520 462721 STATE OF CA 77,035. 0. RESEARCH 502182 95-6042721 STATE OF CA 77,035. 0. RESEARCH 502182 95-6042721 STATE OF CA 77,035. 0. RESEARCH 502182 95-6042721 STATE OF CA 77,035. 0. RESEARCH 502182 502 SON 5039 503  SON 5039 503 SON 5039 | 830 WHITEWATER AVENUE                    |                 |                      |                    |                          |                             |               | DEFIBRILLATORS AND  |
| DEFIBRILLATORS AND MONITORS  SAN DIEGO STATE UNIVERSITY RESEARCH FOUNDATION - 5250 CAMPANILE DRIVE - SAN DIEGO, CA  PO BOX 5039 SIOUX FALLS, SD 57117  SANFORD BEMIDJI MEDICAL CENTER L300 ANNE STREET NORTHWEST  DEFIBRILLATORS AND DEFIBRILLATORS AND MONITORS  DEFIBRICLATORS AND MONITORS  DEFIBRICLATORS AND MONITORS  DEFIBRILLATORS AND MONITORS  DEFIBRICLATORS AND MONITORS AND MONITORS  DEFIBRICLATORS AND MONITORS AND MONITORS  DEFIBRICLATORS AND MON | SAINT CHARLES, MN 55972                  | 41-6005513      | CITY OF SAINT CH     | 24,163.            | 0.                       |                             |               |                     |
| DEFIBRILLATORS AND MONITORS  SAN DIEGO STATE UNIVERSITY RESEARCH FOUNDATION - 5250 CAMPANILE DRIVE - SAN DIEGO, CA  PO BOX 5039 SIOUX FALLS, SD 57117  SANFORD BEMIDJI MEDICAL CENTER L300 ANNE STREET NORTHWEST  DEFIBRILLATORS AND DEFIBRILLATORS AND MONITORS  DEFIBRICLATORS AND MONITORS  DEFIBRICLATORS AND MONITORS  DEFIBRILLATORS AND MONITORS  DEFIBRICLATORS AND MONITORS AND MONITORS  DEFIBRICLATORS AND MONITORS AND MONITORS  DEFIBRICLATORS AND MON |  |                 |                      |                    |                          |                             |               |                     |
| ### STAND ISLAND, NE 68803 41-0695598 501(C)(3) 52,900. 0. MONITORS  #### STAND ISLAND, NE 68803 41-0695598 501(C)(3) 52,900. 0. MONITORS  #### STAND ISLAND, NE 68803 41-0695598 501(C)(3) 52,900. 0. MONITORS  #### STAND ISLAND, NE 68803 41-0695598 501(C)(3) 52,900. 0. MONITORS  #### STAND ISLAND, NE 68803 41-0695598 501(C)(3) 52,900. 0. MONITORS  #### MONITORS  #### MONITORS  ### MONITORS  ####  MONITORS  ###################################   | SAINT FRANCIS MEDICAL CENTER             |                 |                      |                    |                          |                             |               |                     |
| SAN DIEGO STATE UNIVERSITY RESEARCH FOUNDATION - 5250 CAMPANILE DRIVE - SAN DIEGO, CA D2182  95-6042721 STATE OF CA 77,035.  0.  RESEARCH  SANFORD PO BOX 5039 SIOUX FALLS, SD 57117  31-1527032 501(C)(3)  6,000.  0.  UPGRADE  EMERGENCY EQUIPMENT UPGRADE  SANFORD BEMIDJI MEDICAL CENTER L300 ANNE STREET NORTHWEST  | 2620 WEST FAIDLEY AVENUE                 |                 |                      |                    |                          |                             |               | DEFIBRILLATORS AND  |
| RESEARCH FOUNDATION - 5250 CAMPANILE DRIVE - SAN DIEGO, CA 95-6042721 STATE OF CA 77,035.  CAMPANILE DRIVE - SAN DIEGO, CA 95-6042721 STATE OF CA 77,035.  CAMPANILE DRIVE - SAN DIEGO, CA 95-6042721 STATE OF CA 77,035.  CAMPANILE DRIVE - SAN DIEGO, CA 95-6042721 STATE OF CA 77,035.  CAMPANILE DRIVE - SAN DIEGO, CA PSON D | GRAND ISLAND, NE 68803                   | 41-0695598      | 501(C)(3)            | 52,900.            | 0.                       |                             |               | MONITORS            |
| CAMPANILE DRIVE - SAN DIEGO, CA 95-6042721 STATE OF CA 77,035.  CAMPANILE DRIVE - SAN DIEGO, CA 95-6042721 STATE OF CA 77,035.  CAMPANILE DRIVE - SAN DIEGO, CA 95-6042721 STATE OF CA 77,035.  CAMPANILE DRIVE - SAN DIEGO, CA RESEARCH  CAMPAN | SAN DIEGO STATE UNIVERSITY               |                 |                      |                    |                          |                             |               |                     |
| 92182 95-6042721 STATE OF CA 77,035. 0. RESEARCH  SANFORD PO BOX 5039 SIOUX FALLS, SD 57117 31-1527032 501(C)(3) 6,000. 0. UPGRADE  SANFORD BEMIDJI MEDICAL CENTER 1300 ANNE STREET NORTHWEST EMERGENCY EQUIPMENT  | RESEARCH FOUNDATION - 5250               |                 |                      |                    |                          |                             |               |                     |
| EANFORD PO BOX 5039 EMERGENCY EQUIPMENT SIOUX FALLS, SD 57117 31-1527032 501(C)(3) 6,000. 0. UPGRADE EMERGENCY EQUIPMENT UPGRADE EMERGENCY EQUIPMENT EMERGENCY EQUIPMENT   | CAMPANILE DRIVE - SAN DIEGO, CA          |                 |                      |                    |                          |                             |               |                     |
| EMERGENCY EQUIPMENT SIOUX FALLS, SD 57117  31-1527032  501(C)(3)  6,000.  0.  UPGRADE  SANFORD BEMIDJI MEDICAL CENTER 1300 ANNE STREET NORTHWEST  EMERGENCY EQUIPMENT  | 92182                                    | 95-6042721      | STATE OF CA          | 77,035.            | 0.                       |                             |               | RESEARCH            |
| EMERGENCY EQUIPMENT SIOUX FALLS, SD 57117  31-1527032  501(C)(3)  6,000.  0.  UPGRADE  SANFORD BEMIDJI MEDICAL CENTER 3300 ANNE STREET NORTHWEST  EMERGENCY EQUIPMENT  |  |                 |                      |                    |                          |                             |               |                     |
| SIOUX FALLS, SD 57117 31-1527032 501(C)(3) 6,000. 0. UPGRADE SANFORD BEMIDJI MEDICAL CENTER 1300 ANNE STREET NORTHWEST EMERGENCY EQUIPMENT   |  |                 |                      |                    |                          |                             |               |                     |
| SANFORD BEMIDJI MEDICAL CENTER 1300 ANNE STREET NORTHWEST EMERGENCY EQUIPMENT  |  |                 |                      |                    |                          |                             |               | · ·                 |
| 1300 ANNE STREET NORTHWEST   | SIOUX FALLS, SD 57117                    | 31-1527032      | 501(C)(3)            | 6,000.             | 0.                       |                             |               | UPGRADE             |
| 1300 ANNE STREET NORTHWEST   | SANFORD REMIDIT MEDICAL CENTED           |                 |                      |                    |                          |                             |               |                     |
|  |  |                 |                      |                    |                          |                             |               | EMEDGENCY POTTEMENT |
|  | BEMIDJI, MN 56601                        | 41_1266000      | 501/C)/3)            | 28,400.            | 0.                       |                             |               | UPGRADE             |

| (1)  | 4 > 5 > 1  | ( ) ( ) ( )                   | ( 1) A                   |                                   | (0) 14 11 1 6  | ( ) 5                                  | 425                                   |
|--|------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| SANFORD CANBY AMBULANCE SERVICE                    |            |                               |                          |                                   |  |  |                                       |
| 112 SAINT OLAF AVENUE SOUTH                        |            |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| CANBY, MN 56220                                    | 46-0388596 | 501(C)(3)                     | 49,623.                  | 0.                                |  |  | UPGRADE                               |
| ,  |            |                               | /                        |                                   |  |  |                                       |
| SANFORD-BURNHAM MEDICAL RESEARCH                   |            |                               |                          |                                   |  |  |                                       |
| INSTITUTE - 10901 NORTH TORREY                     |            |                               |                          |                                   |  |  |                                       |
| PINES ROAD - LA JOLLA, CA 92037                    | 51-0197108 | 501(C)(3)                     | 245,172.                 | 0.                                |  |  | RESEARCH                              |
|  |            |                               |                          |                                   |  |  |                                       |
| SANTEE SIOUX NATION EMS                            |            |                               |                          |                                   |  |  |                                       |
| 425 FRAZIER AVENUE, SUITE 2                        |            |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| NIOBRARA, NE 68760                                 | 47-0533471 | SIOUX NATION                  | 26,368.                  | 0.                                |  |  | MONITORS                              |
|  |            |                               |                          |                                   |  |  |                                       |
| SCRIPPS RESEARCH INSTITUTE                         |            |                               |                          |                                   |  |  |                                       |
| 10550 NORTH TORREY PINES RD.                       |            |                               |                          |                                   |  |  |                                       |
| LA JOLLA, CA 92037                                 | 33-0435954 | 501(C)(3)                     | 427,275.                 | 0.                                |  |  | RESEARCH                              |
| SEATTLE CHILDREN'S HOSPITAL                        |            |                               |                          |                                   |  |  |                                       |
| PO BOX 5371  |            |                               |                          |                                   |  |  |                                       |
| SEATTLE, WA 98145                                  | 91-0564748 | 501(C)(3)                     | 647,385.                 | 0.                                |  |  | RESEARCH                              |
| DEATIBE, WA JULES                                  | J1 0304740 | 501(0/(5/                     | 047,303.                 | ٠.                                |  |  | RESEARCH                              |
| SEATTLE INSTITUTE FOR BIOMEDICAL                   |            |                               |                          |                                   |  |  |                                       |
| AND CLINICAL RESEARCH - 1660 SOUTH                 |            |                               |                          |                                   |  |  |                                       |
| COLUMBIAN WAY - SEATTLE, WA 98108                  | 91-1452438 | 501(C)(3)                     | 125,406.                 | 0.                                |  |  | RESEARCH                              |
| ,  |            |                               | ,                        |                                   |  |  |                                       |
| SHERBURN FIRE AND AMBULANCE                        |            |                               |                          |                                   |  |  |                                       |
| DEPARTMENT - PO BOX 667 -                          |            |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| SHERBURN, MN 56171                                 | 41-6005541 | CITY OF SHERBURN              | 22,982.                  | 0.                                |  |  | MONITORS                              |
|  |            |                               |                          |                                   |  |  |                                       |
| SHERIDAN MEMORIAL HOSPITAL                         |            |                               |                          |                                   |  |  |                                       |
| 1401 WEST 5TH STREET                               |            |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| SHERIDAN, WY 82801                                 | 83-6000241 |                               | 36,910.                  | 0.                                |  |  | UPGRADE                               |
|  |            |                               |                          |                                   |  |  |                                       |
| SIDNEY HEALTH CENTER                               |            |                               |                          |                                   |  |  | L                                     |
| 216 14TH AVENUE SOUTHWEST                          | 01 0022400 | E01/G)/2)                     | 26 544                   |                                   |  |  | DEFIBRILLATORS AND                    |
| SIDNEY, MT 59270                                   | 81-0233499 | DOT(C)(3)                     | 36,744.                  | 0.                                |  | 1                                      | MONITORS                              |

| (a) Name and address of  | (b) EIN    | (c) IRC section  | (d) Amount of | (e) Amount of          | (f) Method of                                 | (g) Description of  | (h) Purpose of grant           |
|--|------------|------------------|---------------|------------------------|---|---------------------|--------------------------------|
| organization or government                                     | (5) 2      | if applicable    | cash grant    | non-cash<br>assistance | valuation<br>(book, FMV,<br>appraisal, other) | non-cash assistance | or assistance                  |
| SLEEPY EYE AMBULANCE   |            |                  |               |                        |   |                     |                                |
| 200 MAIN STREET EAST   |            |                  |               |                        |   |                     | DEFIBRILLATORS AND             |
| SLEEPY EYE, MN 56085   | 41-6005545 | CITY OF SLEEPY E | 27,635.       | 0.                     |   |                     | MONITORS                       |
| SOUTH BIG HORN COUNTY HOSPITAL                                 |            |                  |               |                        |   |                     |                                |
| DISTRICT - 388 US HIGHWAY 20 SOUTH                             |            |                  |               |                        |   |                     | EMERGENCY EQUIPMENT            |
| - BASIN, WY 82410  | 83-0181409 |                  | 9,305.        | 0.                     |   |                     | UPGRADE                        |
| SOUTH CENTRAL WYOMING EMS                                      |            |                  |               |                        |   |                     |                                |
| PO BOX 15  |            |                  |               |                        |   |                     | DEFIBRILLATORS AND             |
| ELK MOUNTAIN, WY 82324   | 37-1582795 |                  | 18,560.       | 0.                     |   |                     | MONITORS                       |
| SOUTH LINCOLN COUNTY EMS                                       |            |                  |               |                        |   |                     |                                |
| 711 ONYX STREET  |            |                  |               |                        |   |                     | DEFIBRILLATORS AND             |
| KEMMERER, WY 83101   | 83-0128950 |                  | 47,222.       | 0.                     |   |                     | MONITORS                       |
| SOUTH SIOUX CITY FIRE RESCUE                                   |            |                  |               |                        |   |                     |                                |
| 1615 FIRST AVENUE  |            |                  |               |                        |   |                     | DEFIBRILLATORS AND             |
| SOUTH SIOUX CITY, NE 68776                                     | 47-6006363 | CITY OF SOUTH SI | 25,532.       | 0.                     |   |                     | MONITORS                       |
| SOUTHERN ILLINOIS UNIVERSITY                                   |            |                  |               |                        |   |                     |                                |
| 870 LINCOLN DRIVE, ROOM C227                                   |            |                  |               |                        |   |                     |                                |
| CARBONDALE, IL 62901   | 37-6005961 | STATE OF IL      | 128,093.      | 0.                     |   |                     | RESEARCH                       |
| SPALDING REHABILITATION HOSPITAL                               |            |                  |               |                        |   |                     |                                |
| CORPORATION - 300 FIRST AVENUE -                               |            |                  |               |                        |   |                     |                                |
| BOSTON, MA 02129   | 04-2551124 | 501(C)(3)        | 275,893.      | 0.                     |   |                     | RESEARCH                       |
| CDDING VALLEY ADEL AVOVI AVO                                   |            |                  |               |                        |   |                     |                                |
| SPRING VALLEY AREA AMBULANCE<br>SERVICE - 201 SOUTH BROADWAY - |            |                  |               |                        |   |                     | DEFIBRILLATORS AND             |
| SPRING VALLEY, MN 55975  | 41-6005554 | CITY OF SPRING V | 17,114.       | 0.                     |   |                     | MONITORS                       |
| GE MADIG MEDICAL GENERA  |            |                  |               |                        |   |                     |                                |
| ST MARYS MEDICAL CENTER 407 EAST 3RD STREET                    |            |                  |               |                        |   |                     | EMERGENCY EQUIPMENT            |
| DULUTH, MN 55805   | 41-0595604 | CITY OF DULUTH   | 27,900.       | 0.                     |   |                     | EMERGENCY EQUIPMENT<br>UPGRADE |

| Part II Continuation of Grants and Other                       | Assistance to Go | overnments and Organ          | nizations in the U       | nited States (Sch                 | edule I (Form 990), Pa   | ırt II.)                               |                                       |
|--|------------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| (a) Name and address of organization or government             | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| ST. ANDREW'S HOSPITAL  |                  |                               |                          |                                   |  |  |                                       |
| 316 OHMER STREET   |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| BOTTINEAU, ND 58318  | 45-0226426       | 501(C)(3)                     | 14,778.                  | 0.                                |  |  | UPGRADE                               |
|  |                  |                               |                          |                                   |  |  |                                       |
| ST. CLOUD HOSPITAL 1406 6TH AVENUE NORTH                       |                  |                               |                          |                                   |  |  | EMEDICENCY FOLLTDMENT                 |
| ST. CLOUD, MN 56303  | 41-0695596       | 501(C)(3)                     | 27,900.                  | 0.                                |  |  | EMERGENCY EQUIPMENT<br>UPGRADE        |
| 31. CHOOD, MN 30303  | 41-0093390       | 501(0)(3)                     | 27,300.                  | 0.                                |  |  | OFGRADE                               |
| ST. FRANCIS MEDICAL CENTER                                     |                  |                               |                          |                                   |  |  |                                       |
| 2400 ST FRANCIS DRIVE  |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| BRECKENRIDGE, MN 56520   | 41-0695598       | 501(C)(3)                     | 11,999.                  | 0.                                |  |  | UPGRADE                               |
|  |                  |                               |                          |                                   |  |  |                                       |
| ST. JAMES AMBULANCE SERVICE                                    |                  |                               |                          |                                   |  |  |                                       |
| 124 ARMSTRONG BOULEVARD SOUTH                                  |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| SAINT JAMES, MN 56081  | 41-6005517       | CITY OF SAINT JA              | 24,163.                  | 0.                                |  |  | UPGRADE                               |
| am toannu'a noantmat ann mhaimh                                |                  |                               |                          |                                   |  |  |                                       |
| ST. JOSEPH'S HOSPITAL AND HEALTH CENTER - 30 WEST 7TH STREET - |                  |                               |                          |                                   |  |  | EMEDICENCY FOLLTDMENT                 |
| DICKINSON, ND 58601  | 45-0226429       | 501(C)(3)                     | 11,999.                  | 0.                                |  |  | EMERGENCY EQUIPMENT<br>UPGRADE        |
| DICKINSON, ND 30001  | 45 0220425       | 501(0/(5/                     | 11,555.                  | · ·                               |  |  | DIGRADE                               |
| ST. JUDE CHILDREN'S RESEARCH                                   |                  |                               |                          |                                   |  |  |                                       |
| HOSPITAL - 262 DANNY THOMAS PLACE                              |                  |                               |                          |                                   |  |  |                                       |
| - MEMPHIS, TN 38105  | 62-0646012       | 501(C)(3)                     | 210,411.                 | 0.                                |  |  | RESEARCH                              |
|  |                  |                               |                          |                                   |  |  |                                       |
| ST. LOUIS UNIVERSITY   |                  |                               |                          |                                   |  |  |                                       |
| 3700 WEST PINE MALL DRIVE                                      |                  |                               |                          |                                   |  |  |                                       |
| ST. LOUIS, MO 63108  | 43-0654872       | 501(C)(3)                     | 320,233.                 | 0.                                |  |  | RESEARCH                              |
| CE LUE COMMUNICA VIDA EVENT                                    |                  |                               |                          |                                   |  |  |                                       |
| ST. LUKE COMMUNITY HEALTHCARE                                  |                  |                               |                          |                                   |  |  | EMEDOENCY FOLLTONEN                   |
| 107 6TH AVENUE SOUTHWEST<br>RONAN, MT 59864                    | 81-0221486       | 501(C)(3)                     | 11,996.                  | 0.                                |  |  | EMERGENCY EQUIPMENT UPGRADE           |
| MOMM, MI 35004   | 31 0221400       | 501(0/(3/                     | 11,330.                  | 0.                                |  |  | OI GIADE                              |
| ST. LUKE'S HOSPITAL OF DULUTH                                  |                  |                               |                          |                                   |  |  |                                       |
| 915 EAST FIRST STREET  |                  |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| DULUTH, MN 55805   | 41-0714079       | 501(C)(3)                     | 28,400.                  | 0.                                |  |  | UPGRADE                               |

|  | # N = W .  | ( ) 100                       |   |                                   | (0.14.11.1.6   | ( ) 5                                  | # N D                                 |
|--|------------|-------------------------------|---|-----------------------------------|--|--|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant                | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| ST. MARKS HOSPITAL                                 |            |                               |   |                                   |  |  |                                       |
| 1200 EAST 3900 SOUTH                               |            |                               |   |                                   |  |  | EMERGENCY EQUIPMENT                   |
| SALT LAKE CITY, UT 84124                           | 62-1650573 |                               | 18,400.                                 | 0.                                |  |  | UPGRADE                               |
| ST. MARY MEDICAL CENTER                            |            |                               |   |                                   |  |  |                                       |
| 3333 NORTH SEMINARY STREET                         |            |                               |   |                                   |  |  | EMERGENCY EQUIPMENT                   |
| PEORIA, IL 61401                                   | 37-0662581 | CITY OF PEORIA                | 18,500.                                 | 0.                                |  |  | UPGRADE                               |
| ST. PATRICK HOSPITAL FOUNDATION                    |            |                               |   |                                   |  |  |                                       |
| 500 WEST BROADWAY STREET                           |            |                               |   |                                   |  |  | EMERGENCY EQUIPMENT                   |
| MISSOULA, MT 59802                                 | 23-7056976 |                               | 89,896.                                 | 0.                                |  |  | UPGRADE                               |
| ST. THOMAS RUTHERFORD HOSPITAL                     |            |                               |   |                                   |  |  |                                       |
| 102 WOODMONT BOULEVARD, SUITE 800                  |            |                               |   |                                   |  |  |                                       |
| NASHVILLE, TN 37215                                | 62-0475842 | 501(C)(3)                     | 5,916.                                  | 0.                                |  |  | ACTION REGISTRY                       |
| ,  |            |                               | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                                   |  |  |                                       |
| ST. VINCENT HEALTHCARE FOUNDATION                  |            |                               |   |                                   |  |  |                                       |
| 1106 NORTH 30TH STREET                             |            |                               |   |                                   |  |  | EMERGENCY EQUIPMENT                   |
| BILLINGS, MT 59101                                 | 81-0468034 | 501(C)(3)                     | 79,650.                                 | 0.                                |  |  | UPGRADE                               |
| STANDING ROCK AMBULANCE                            |            |                               |   |                                   |  |  |                                       |
| 143 PROPOSAL AVENUE                                |            |                               |   |                                   |  |  | DEFIBRILLATORS AND                    |
| FORT YATES, ND 58538                               | 45-0220519 | SIOUX NATION                  | 21,999.                                 | 0.                                |  |  | MONITORS                              |
| STANFORD UNIVERSITY SCHOOL OF                      |            |                               |   |                                   |  |  |                                       |
| MEDICINE - PO BOX 44253 - SAN                      |            |                               |   |                                   |  |  |                                       |
| FRANCISCO, CA 94144                                | 94-1156365 | 501(C)(3)                     | 2,051,728.                              | 0.                                |  |  | RESEARCH                              |
|  |            |                               |   |                                   |  |  |                                       |
| STAR VALLEY MEDICAL CENTER                         |            |                               |   |                                   |  |  | EMEDGENGY FOLLDWING                   |
| 901 ADAMS STREET<br>AFTON, WY 83110                | 83-0327251 |                               | 6,000.                                  | 0.                                |  |  | EMERGENCY EQUIPMENT UPGRADE           |
| IION, NI USIIU                                     | 03 032/231 |                               | 0,000.                                  | 0.                                |  |  | OI OKADE                              |
| STATE UNIVERSITY OF NEW YORK                       |            |                               |   |                                   |  |  |                                       |
| PO BOX 9   |            |                               |   |                                   |  |  |                                       |
| ALBANY, NY 12201                                   | 14-1368361 | STATE OF NY                   | 304,378.                                | 0.                                |  |  | RESEARCH                              |

| (a) Name and address of organization or government | <b>(b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|----------------|-------------------------------|--------------------------|---|--|--|---------------------------------------|
|  |                |                               |                          |   | appraisal, other)  |  |                                       |
| STEPHEN VOLUNTEER AMBULANCE                        |                |                               |                          |   |  |  |                                       |
| PO BOX 630   |                |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| STEPHEN, MN 56757                                  | 41-6005560     | CITY OF STEPHEN               | 23,144.                  | 0.                                      |  |  | MONITORS                              |
| STILLWATER BILLINGS CLINIC                         |                |                               |                          |   |  |  |                                       |
| PO BOX 959   |                |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
| COLUMBUS, MT 59019                                 | 81-0286525     |                               | 11,996.                  | 0.                                      |  |  | UPGRADE                               |
| GUDI EMME GOUNMY DUDAT MEATING GADE                |                |                               |                          |   |  |  |                                       |
| SUBLETTE COUNTY RURAL HEALTH CARE PO BOX 787       |                |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
| BIG PINEY, WY 83113                                | 27-1760231     | 501(C)(3)                     | 26,500.                  | 0.                                      |  |  | UPGRADE                               |
| •  |                |                               | ,                        |   |  |  |                                       |
| SUPERIOR VOLUNTEER FIRE CO INC.                    |                |                               |                          |   |  |  |                                       |
| PO BOX 189   |                |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| SUPERIOR, MT 59872                                 | 81-0331365     |                               | 24,713.                  | 0.                                      |  |  | MONITORS                              |
| SUPERIOR VOLUNTEER RESCUE SQUAD                    |                |                               |                          |   |  |  |                                       |
| 135 WEST 4TH STREET                                |                |                               |                          |   |  |  | DEFIBRILLATORS AND                    |
| SUPERIOR, NE 68978                                 | 47-6006376     | CITY OF SUPERIOR              | 9,179.                   | 0.                                      |  |  | MONITORS                              |
|  |                |                               | ·                        |   |  |  |                                       |
| SUTTON VOLUNTEER RESCUE SERVICE                    |                |                               |                          |   |  |  |                                       |
| PO BOX 688   |                |                               |                          | _                                       |  |  | DEFIBRILLATORS AND                    |
| SUTTON, NE 68979                                   | 47-6006381     | CITY OF SUTTON                | 25,320.                  | 0.                                      |  |  | MONITORS                              |
| SWEETWATER MEDICS LLC                              |                |                               |                          |   |  |  |                                       |
| 1108 ROSEWOOD DRIVE                                |                |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
| ROCK SPRINGS, WY 82901                             | 20-5842094     |                               | 11,500.                  | 0.                                      |  |  | UPGRADE                               |
|  |                |                               |                          |   |  |  |                                       |
| SWIFT COUNTY BENSON HOSPITAL                       |                |                               |                          |   |  |  |                                       |
| 1815 WISCONSIN AVENUE                              |                |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
| BENSON, MN 56215                                   | 41-1670269     | SWIFT COUNTY                  | 11,996.                  | 0.                                      |  |  | UPGRADE                               |
| TELEHEALTHROBOTICS                                 |                |                               |                          |   |  |  |                                       |
| 910 SOUTH MICHIGAN AVENUE, SUITE 1                 |                |                               |                          |   |  |  |                                       |
| CHICAGO, IL 60605                                  | 45-0612400     |                               | 20,000.                  | 0.                                      |  |  | INNOVATION GRANT                      |

| Part II Continuation of Grants and Other           | Assistance to Go | overnments and Orga           | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | rt II.)                                |   |
|--|------------------|-------------------------------|--------------------------|---|--|--|---|
| (a) Name and address of organization or government | ( <b>b)</b> EIN  | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | <b>(h)</b> Purpose of grant or assistance |
| TEMPLE UNIVERSITY                                  |                  |                               |                          |   |  |  |   |
| PO BOX 824242                                      |                  |                               |                          |   |  |  |   |
| PHILADELPHIA, PA 19172                             | 23-1365971       | 501(C)(3)                     | 1,546,074.               | 0.                                      |  |  | RESEARCH                                  |
|  |                  |                               |                          |   |  |  |   |
| TEN SLEEP AMBULANCE                                |                  |                               |                          |   |  |  |   |
| 415 5TH STREET                                     |                  |                               |                          |   |  |  | DEFIBRILLATORS AND                        |
| TEN SLEEP, WY 82442                                | 83-0235655       |                               | 14,405.                  | 0.                                      |  |  | MONITORS                                  |
| TENNESSEE OBESITY TASKFORCE                        |                  |                               |                          |   |  |  |   |
| 1000 CHURCH STREET                                 |                  |                               |                          |   |  |  | CHILDHOOD OBESITY                         |
|  | 45-4318811       | E01/C)/2)                     | 43 650                   | 0.                                      |  |  | INITIATIVE                                |
| NASHVILLE, TN 37203                                | 45-4516611       | 501(C)(3)                     | 43,650.                  | 0.                                      |  |  | INITIATIVE                                |
| TEXAS A&M UNIVERSITY                               |                  |                               |                          |   |  |  |   |
| 400 HARVEY MITCHELL PARKWAY, SUITE                 |                  |                               |                          |   |  |  |   |
| COLLEGE STATION, TX 77845                          | 74-6000541       | STATE OF TX                   | 250,781.                 | 0.                                      |  |  | RESEARCH                                  |
| TEXAS A&M UNIVERSITY HEALTH                        | 74 0000341       | DIATE OF TA                   | 250,701.                 | 0.                                      |  |  | RESEARCH                                  |
| SCIENCE CENTER - 400 HARVEY                        |                  |                               |                          |   |  |  |   |
|  |                  |                               |                          |   |  |  |   |
| MITCHELL PARKWAY, SUITE 300 -                      | 74 2007552       | CMAME OF MY                   | 125 406                  | 0                                       |  |  | RESEARCH                                  |
| COLLEGE STATION, TX 77845                          | 74-2907553       | STATE OF TX                   | 125,406.                 | 0.                                      |  |  | RESEARCH                                  |
| TEXAS HEART INSTITUTE                              |                  |                               |                          |   |  |  |   |
| 6700 BERTNER STREET, SUITE C550                    |                  |                               |                          |   |  |  |   |
| HOUSTON, TX 77030                                  | 74-6053200       | 501(C)(3)                     | 416,525.                 | 0.                                      |  |  | RESEARCH                                  |
| modelon, in 7,000                                  | 71 0033200       | 301(0)(3)                     | 110,323.                 | · ·                                     |  |  |   |
| TEXAS TECH UNIVERSITY HEALTH                       |                  |                               |                          |   |  |  |   |
| SCIENCE CENTER - 3601 4TH STREET -                 |                  |                               |                          |   |  |  |   |
| LUBBOCK, TX 79430                                  | 75-2668104       | STATE OF TX                   | 166,679.                 | 0.                                      |  |  | RESEARCH                                  |
| TEXAS TECH UNIVERSITY HEALTH                       | /3 2000104       |                               | 100,075.                 | 0.                                      |  |  |   |
| SCIENCES CENTER, AMARILLO - 1400                   |                  |                               |                          |   |  |  |   |
| SOUTH COULTER STREET - AMARILLO,                   |                  |                               |                          |   |  |  |   |
| TX 79106   | 75-6002622       | STATE OF TX                   | 44,788.                  | 0.                                      |  |  | RESEARCH                                  |
|  |                  |                               | 12,,300                  | · ·                                     |  |  |   |
| THE BRIGHAM AND WOMENS HOSPITAL                    |                  |                               |                          |   |  |  |   |
| INC 75 FRANCIS STREET - BOSTON,                    |                  |                               |                          |   |  |  |   |
| MA 02115   | 04-2312909       | 501(C)(3)                     | 11,500.                  | 0.                                      |  |  | COMMUNITY IMPACT GRANT                    |

| (a) Name and address of organization or government | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| THE FEINSTEIN INSTITUTE FOR                        |                 |                               |                          |                                   |  |  |                                       |
| MEDICAL RESEARCH - 972 BRUSH                       |                 |                               |                          |                                   |  |  |                                       |
| HOLLOW ROAD, 5TH FLOOR - WESTBURY,                 |                 |                               |                          |                                   |  |  |                                       |
| NY 11590   | 11-2673595      | 501(C)(3)                     | 177,360.                 | 0.                                |  |  | RESEARCH                              |
| THE FINLEY HOSPITAL                                |                 |                               |                          |                                   |  |  |                                       |
| 350 NORTH GRANDVIEW AVENUE                         |                 |                               |                          |                                   |  |  | EMERGENCY EQUIPMENT                   |
| DUBUQUE, IA 52001                                  | 42-0680354      | 501(C)(3)                     | 53,400.                  | 0.                                |  |  | ~<br>UPGRADE                          |
| ,  |                 |                               | ,                        |                                   |  |  |                                       |
| THE FOOD TRUST                                     |                 |                               |                          |                                   |  |  |                                       |
| 1617 JFK BOULEVARD, STE 900                        |                 |                               |                          |                                   |  |  | CHILDHOOD OBESITY                     |
| PHILADELPHIA, PA 19103                             | 23-2678383      | 501(C)(3)                     | 75,594.                  | 0.                                |  |  | INITIATIVE                            |
|  |                 |                               |                          |                                   |  |  |                                       |
| THE GRADUATE CENTER FOUNDATION                     |                 |                               |                          |                                   |  |  |                                       |
| INC 365 FIFTH AVENUE - NEW                         |                 |                               |                          |                                   |  |  |                                       |
| YORK, NY 10016                                     | 13-3219419      | 501(C)(3)                     | 41,205.                  | 0.                                |  |  | RESEARCH                              |
| THE OHIO STATE UNIVERSITY                          |                 |                               |                          |                                   |  |  |                                       |
| 1960 KENNY ROAD                                    |                 |                               |                          |                                   |  |  |                                       |
| COLUMBUS, OH 43210                                 | 31-6025986      | STATE OF OH                   | 1,013,454.               | 0.                                |  |  | RESEARCH                              |
| CODOMDOD, ON 43210                                 | 31 0023300      | DIATE OF OR                   | 1,013,434.               | 0.                                |  |  | KEDEAKCII                             |
| THE PEW CHARITABLE TRUSTS                          |                 |                               |                          |                                   |  |  |                                       |
| 2005 MARKET STREET, SUITE 2800                     |                 |                               |                          |                                   |  |  | CHILDHOOD OBESITY                     |
| PHILADELPHIA, PA 19103                             | 56-2307147      | 501(C)(3)                     | 406,970.                 | 0.                                |  |  | INITIATIVE                            |
|  |                 |                               |                          |                                   |  |  |                                       |
| THE ROCKEFELLER UNIVERSITY                         |                 |                               |                          |                                   |  |  |                                       |
| 1230 YORK AVENUE                                   |                 |                               |                          |                                   |  |  |                                       |
| NEW YORK, NY 10065                                 | 13-1624158      | 501(C)(3)                     | 84,738.                  | 0.                                |  |  | RESEARCH                              |
|  |                 |                               |                          |                                   |  |  |                                       |
| THOMAS JEFFERSON UNIVERSITY                        |                 |                               |                          |                                   |  |  |                                       |
| 1020 WALNUT STREET                                 |                 |                               |                          |                                   |  |  |                                       |
| PHILADLEPHIA, PA 19107                             | 23-1352651      | 501(C)(3)                     | 311,723.                 | 0.                                |  |  | RESEARCH                              |
| THREE FORKS AREA AMBULANCE SERVICE                 |                 |                               |                          |                                   |  |  |                                       |
| PO BOX 911   |                 |                               |                          |                                   |  |  | DEFIBRILLATORS AND                    |
| 10 DOV )11   |                 | 1                             | 1                        |                                   |  | I                                      | בייים מאסושוחנים אות                  |

| (a) Name and address of organization or government | ( <b>b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|-----------------|-------------------------------|--------------------------|-----------------------------------|--|---|---------------------------------------|
| THREE MILE FIRE DISTRICT                           |                 |                               |                          |                                   |  |   |                                       |
| 1064 3 MILE CREEK ROAD                             |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| STEVENSVILLE, MT 59870                             | 84-1432459      |                               | 24,992.                  | 0.                                |  |   | MONITORS                              |
| TIDES CENTER                                       |                 |                               |                          |                                   |  |   |                                       |
| 1014 TORNEY AVENUE                                 |                 |                               |                          |                                   |  |   | CHILDHOOD OBESITY                     |
| SAN FRANCISCO, CA 94129                            | 94-3213100      | 501(C)(3)                     | 98,323.                  | 0.                                |  |   | INITIATIVE                            |
| TIDES CENTER                                       |                 |                               |                          |                                   |  |   |                                       |
| 1014 TORNEY AVENUE                                 |                 |                               |                          |                                   |  |   |                                       |
| SAN FRANCISCO, CA 94129                            | 94-3213100      | 501(C)(3)                     | 33,504.                  | 0.                                |  |   | ANTI-TOBACCO ADVOCACY                 |
| TOBACCO FREE KIDS ACTION FUND                      | J4 3213100      | 501(0/(3/                     | 33,304.                  | ٠.                                |  |   | ANTI TODACCO ADVOCACI                 |
| 1400 I STREET NORTHWEST, SUITE                     |                 |                               |                          |                                   |  |   |                                       |
| 1200 - WASHINGTON,                                 |                 |                               |                          |                                   |  |   |                                       |
| DC 20005   | 52-1974904      | 501(C)(3)                     | 187,500.                 | 0.                                |  |   | ANTI-TOBACCO ADVOCACY                 |
|  | 32 1371301      | 301(0)(3)                     | 107,300.                 | ••                                |  |   |                                       |
| TORRINGTON AMBULANCE SERVICE                       |                 |                               |                          |                                   |  |   |                                       |
| PO BOX 250   |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| TORRINGTON, WY 82240                               | 83-6000118      |                               | 17,146.                  | 0.                                |  |   | MONITORS                              |
|  | 33 3333223      |                               | 17,220.                  |                                   |  |   |                                       |
| TOWN OF PINE BLUFFS EMS                            |                 |                               |                          |                                   |  |   |                                       |
| 220 MAIN STREET                                    |                 |                               |                          |                                   |  |   | DEFIBRILLATORS AND                    |
| PINE BLUFFS, WY 82082                              | 83-6000083      |                               | 6,860.                   | 0.                                |  |   | MONITORS                              |
| ·  |                 |                               |                          |                                   |  |   |                                       |
| TRINITY HOSPITALS                                  |                 |                               |                          |                                   |  |   |                                       |
| PO BOX 5020  |                 |                               |                          |                                   |  |   | EMERGENCY EQUIPMENT                   |
| MINOT, ND 58701                                    | 41-2002771      | 501(C)(3)                     | 27,455.                  | 0.                                |  |   | UPGRADE                               |
| THE WALL STORM                                     |                 |                               |                          |                                   |  |   |                                       |
| TUFTS MEDICAL CENTER                               |                 |                               |                          |                                   |  |   |                                       |
| 800 WASHINGTON STREET                              | 04 2400515      | E01/G)/3)                     | E0 460                   |                                   |  |   | DEGEARAN                              |
| BOSTON, MA 02111                                   | 04-3400617      | 501(C)(3)                     | 78,468.                  | 0.                                |  |   | RESEARCH                              |
| TUFTS UNIVERSITY                                   |                 |                               |                          |                                   |  |   |                                       |
| 169 HOLLAND STREET                                 |                 |                               |                          |                                   |  |   |                                       |
| SOMERVILLE, MA 02144                               | 04-2103634      | 501(C)(3)                     | 177,360.                 | 0.                                |  |   | RESEARCH                              |

| Part II Continuation of Grants and Other                       | Assistance to Go | overnments and Organ          | nizations in the U       | nited States (Scho                      | edule I (Form 990), Pa   | rt II.)                                   |                                       |
|--|------------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government             | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| TULANE UNIVERSITY, NEW ORLEANS                                 |                  |                               |                          |   |  |   |                                       |
| 800 EAST COMMERCE ROAD, SUITE 203<br>HARAHAN, LA 70123         | 72-0423889       | 501(C)(3)                     | 259,260.                 | 0.                                      |  |   | RESEARCH                              |
| TYLER HEALTHCARE CENTER INC                                    |                  |                               |                          |   |  |   |                                       |
| 240 WILLOW STREET  |                  |                               |                          |   |  |   | EMERGENCY EQUIPMENT                   |
| TYLER, MN 56178  | 41-0853163       | 501(C)(3)                     | 25,720.                  | 0.                                      |  |   | UPGRADE                               |
| UNITED HOSPITAL DISTRICT AMBULANCE                             |                  |                               |                          |   |  |   |                                       |
| SERVICES - 515 SOUTH MOORE STREET                              |                  |                               |                          |   |  |   | DEFIBRILLATORS AND                    |
| - BLUE EARTH, MN 56013   | 41-0910520       | CITY OF BLUE EAR              | 22,737.                  | 0.                                      |  |   | MONITORS                              |
| ·  |                  |                               | -                        |   |  |   |                                       |
| UNIVERSITY OF AKRON  |                  |                               |                          |   |  |   |                                       |
| 302 BUCHTEL AVENUE   |                  |                               |                          |   |  |   |                                       |
| AKRON, OH 44325  | 34-6002924       | STATE OF OH                   | 137,946.                 | 0.                                      |  |   | RESEARCH                              |
| INTUEDITMY OF ALADAMA AM                                       |                  |                               |                          |   |  |   |                                       |
| UNIVERSITY OF ALABAMA AT<br>BIRMINGHAM - 1720 2ND AVENUE SOUTH |                  |                               |                          |   |  |   |                                       |
| - BIRMINGHAM, AL 35294   | 63-6005396       | STATE OF AL                   | 6,513,946.               | 0.                                      |  |   | RESEARCH                              |
| Brianness , in 35251   | 03 0003330       |                               | 0,313,310.               | <u> </u>                                |  |   |                                       |
| UNIVERSITY OF ALASKA AT FAIRBANKS                              |                  |                               |                          |   |  |   |                                       |
| PO BOX 757880  |                  |                               |                          |   |  |   |                                       |
| FAIRBANKS, AK 99775  | 92-6000147       | STATE OF AK                   | 77,035.                  | 0.                                      |  |   | RESEARCH                              |
|  |                  |                               |                          |   |  |   |                                       |
| UNIVERSITY OF ARIZONA  |                  |                               |                          |   |  |   |                                       |
| PO BOX 3520  | 74-2652689       | STATE OF AZ                   | 336,722.                 | 0.                                      |  |   | RESEARCH                              |
| TUCSON, AZ 85722   | 74-2052009       | STATE OF AZ                   | 330,722.                 | 0.                                      |  |   | RESEARCH                              |
| UNIVERSITY OF ARKANSAS   |                  |                               |                          |   |  |   |                                       |
| 305 ADMINISTRATION BUILDING                                    |                  |                               |                          |   |  |   |                                       |
| FAYETTEVILLE, AR 72701   | 71-6003252       | STATE OF AR                   | 46,579.                  | 0.                                      |  |   | RESEARCH                              |
| UNIVERSITY OF ARKANSAS FOR MEDICAL                             |                  |                               | ,                        |   |  |   |                                       |
| SCIENCES - 4301 WEST MARKHAM                                   |                  |                               |                          |   |  |   |                                       |
| STREET, SUITE 560 - LITTLE ROCK,                               |                  |                               |                          |   |  |   |                                       |
| AR 72205   | 71-6046242       | STATE OF AR                   | 250,812.                 | 0.                                      |  |   | RESEARCH                              |

| Part II Continuation of Grants and Other                           | Assistance to Go | overnments and Orga           | inizations in the U      | <b>nited States</b> (Sch          | edule I (Form 990), Pa<br>r                                    | art II.)                               | <u> </u>                              |
|--|------------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| (a) Name and address of organization or government                 | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| UNIVERSITY OF CALIFORNIA, BERKELEY                                 |                  |                               |                          |                                   |  |  |                                       |
| 2195 HEARST AVENUE, SUITE 130                                      |                  |                               |                          |                                   |  |  |                                       |
| BERKELEY, CA 94720   | 94-6002123       | STATE OF CA                   | 214,981.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF CALIFORNIA, DAVIS                                    |                  |                               |                          |                                   |  |  |                                       |
| РО ВОХ 989062  |                  |                               |                          |                                   |  |  |                                       |
| WEST SACRAMENTO, CA 95798  | 94-6036494       | STATE OF CA                   | 1,249,567.               | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF CALIFORNIA, IRVINE                                   |                  |                               |                          |                                   |  |  |                                       |
| 260 ALDRICH HALL   |                  |                               |                          |                                   |  |  |                                       |
| IRVINE, CA 92697   | 95-2226406       | STATE OF CA                   | 175,568.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF CALIFORNIA, LOS                                      |                  |                               |                          |                                   |  |  |                                       |
| ANGELES - 405 HILGARD AVENUE - LOS                                 |                  |                               |                          |                                   |  |  |                                       |
| ANGELES, CA 90095  | 95-6006143       | STATE OF CA                   | 1,184,189.               | 0.                                |  |  | RESEARCH                              |
| ·  |                  |                               |                          |                                   |  |  |                                       |
| UNIVERSITY OF CALIFORNIA, SAN                                      |                  |                               |                          |                                   |  |  |                                       |
| DIEGO - 9500 GILMAN DRIVE - LA                                     |                  |                               |                          |                                   |  |  |                                       |
| JOLLA, CA 92093  | 95-6006144       | STATE OF CA                   | 1,698,890.               | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF CALIFORNIA, SAN                                      |                  |                               |                          |                                   |  |  |                                       |
| FRANCISCO - 1855 FOLSOM STREET -                                   |                  |                               |                          |                                   |  |  |                                       |
| SAN FRANCISCO, CA 94143  | 94-6036493       | STATE OF CA                   | 1,473,249.               | 0.                                |  |  | RESEARCH                              |
|  |                  |                               |                          |                                   |  |  |                                       |
| JNIVERSITY OF CENTRAL FLORIDA<br>12424 RESEARCH PARKWAY, SUITE 300 |                  |                               |                          |                                   |  |  |                                       |
| DRLANDO, FL 32726  | 59-2924021       | STATE OF FL                   | 134,363.                 | 0.                                |  |  | RESEARCH                              |
|  | 03 2321022       |                               | 101,000.                 |                                   |  |  |                                       |
| UNIVERSITY OF CHICAGO  |                  |                               |                          |                                   |  |  |                                       |
| 1427 EAST 60TH STREET  |                  |                               |                          |                                   |  |  |                                       |
| CHICAGO, IL 60637  | 36-2177139       | 501(C)(3)                     | 361,341.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF CINCINNATI   |                  |                               |                          |                                   |  |  |                                       |
| PO BOX 691031  |                  |                               |                          |                                   |  |  |                                       |
| CINCINNATI, OH 45269   | 31-6000989       | STATE OF OH                   | 657,159.                 | 0.                                |  |  | RESEARCH                              |

| (a) Name and address of organization or government | <b>(b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|----------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| UNIVERSITY OF COLORADO                             |                |                               |                          |                                   |  |  |                                       |
| PO BOX 910238                                      |                |                               |                          |                                   |  |  |                                       |
| DENVER, CO 80291                                   | 84-6000555     | STATE OF CO                   | 4,073,776.               | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF CONNECTICUT                          |                |                               |                          |                                   |  |  |                                       |
| 438 WHITNEY ROAD EXTENSION, UNIT 1                 |                |                               |                          |                                   |  |  | CHILDHOOD OBESITY                     |
| STORRS, CT 06269                                   | 06-0772160     | 501(C)(3)                     | 277,925.                 | 0.                                |  |  | INITIATIVE                            |
| UNIVERSITY OF CONNECTICUT,                         |                |                               |                          |                                   |  |  |                                       |
| FARMINGTON - 263 FARMINGTON AVENUE                 |                |                               |                          |                                   |  |  |                                       |
| - FARMINGTON, CT 06030                             | 52-1725543     | STATE OF CT                   | 680,273.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF FLORIDA, GAINESVILLE                 |                |                               |                          |                                   |  |  |                                       |
| 219 GRINTER HALL                                   |                |                               |                          |                                   |  |  |                                       |
| GAINESVILLE, FL 32611                              | 59-6002052     | STATE OF FL                   | 574,810.                 | 0.                                |  |  | RESEARCH                              |
|  | 33 0002032     | J 01 11                       | 3,1,010.                 | •••                               |  |  | ribbiniton                            |
| UNIVERSITY OF GEORGIA RESEARCH                     |                |                               |                          |                                   |  |  |                                       |
| FOUNDATION, INC 324 BUSINESS                       |                |                               |                          |                                   |  |  |                                       |
| SERVICES STREET - ATHENS, GA 30602                 | 58-1353149     | 501(C)(3)                     | 180,943.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF GEORGIA, ATHENS                      |                |                               |                          |                                   |  |  |                                       |
| 475 NORTH LUMPKIN STREET                           |                |                               |                          |                                   |  |  |                                       |
| ATHENS, GA 30601                                   | 58-6001998     | STATE OF GA                   | 141,264.                 | 0.                                |  |  | RESEARCH                              |
| ,  |                |                               |                          |                                   |  |  |                                       |
| UNIVERSITY OF HAWAII                               |                |                               |                          |                                   |  |  |                                       |
| 2600 CAMPUS ROAD                                   |                |                               |                          |                                   |  |  |                                       |
| HONOLULU, HI 96822                                 | 99-6000354     | STATE OF HI                   | 22,394.                  | 0.                                |  |  | RESEARCH                              |
| INTUEDCIMY OF HOUGHON HOUGHON                      |                |                               |                          |                                   |  |  |                                       |
| UNIVERSITY OF HOUSTON, HOUSTON                     |                |                               |                          |                                   |  |  |                                       |
| 4800 CALHOUN ROAD                                  | 74-6001399     | CUIVUE OE UA                  | 125 406                  | 0.                                |  |  | RESEARCH                              |
| HOUSTON, TX 77004                                  | /4-0001333     | STATE OF TX                   | 125,406.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF ILLINOIS                             |                |                               |                          |                                   |  |  |                                       |
| PO BOX 20787                                       |                |                               |                          |                                   |  |  |                                       |
| SPRINGFIELD, IL 62708                              | 37-6000511     | STATE OF IL                   | 1,835,256.               | 0.                                |  |  | RESEARCH                              |

| Part II Continuation of Grants and Other  | Assistance to Go | overnments and Orga           | nizations in the U       | nited States (Sch                 | edule I (Form 990), Pa   | rt II.)                                |                                    |
|---|------------------|-------------------------------|--------------------------|-----------------------------------|--|--|------------------------------------|
| (a) Name and address of organization or government  | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| UNIVERSITY OF IOWA<br>125 NORTH MADISON STREET<br>IOWA CITY, IA 52242                       | 42-6004813       | STATE OF IA                   | 70,000.                  | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF IOWA, IOWA CITY<br>125 NORTH MADISON STREET<br>IOWA CITY, IA 52242            | 42-6004813       | STATE OF IA                   | 5,377,167.               | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF KANSAS MEDICAL CENTER - 3901 RAINBOW BOULEVARD - KANSAS CITY, KS 66160        | 48-1108830       | STATE OF KS                   | 128,093.                 | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF KENTUCKY PO BOX 931113 CLEVELAND, OH 44193                                    | 61-6033693       | STATE OF KY                   | 357,406.                 | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF LOUISVILLE 2301 SOUTH 3RD STREET LOUISVILLE, KY 40292                         | 61-1029626       | STATE OF KY                   | 567,636.                 | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF MARYLAND, BALTIMORE<br>PO BOX 41428<br>BALTIMORE, MD 21203                    | 52-6002033       | STATE OF MD                   | 1,689,372.               | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL - 55 LAKE AVENUE NORTH - WORCESTER, MA 01655     | 04-3167352       | STATE OF MA                   | 446,265.                 | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF MIAMI<br>PO BOX 405803<br>ATLANTA, GA 30384                                   | 59-0624458       | 501(C)(3)                     | 847,834.                 | 0.                                |  |  | RESEARCH                           |
| UNIVERSITY OF MICHIGAN MEDICAL<br>CENTER - 3003 SOUTH STATE STREET -<br>ANN ARBOR, MI 48109 | 38-6006309       | STATE OF MI                   | 1,935,578.               | 0.                                |  |  | RESEARCH                           |

| Part II Continuation of Grants and Other           | Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) |   |                          |   |  |   |   |  |  |  |
|--|---|---|--------------------------|---|--|---|---|--|--|--|
| (a) Name and address of organization or government | (b) EIN   | (c) IRC section if applicable           | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | <b>(h)</b> Purpose of grant or assistance |  |  |  |
| INTUEDCINA OF MINNECOMA                            |   |   |                          |   |  |   |   |  |  |  |
| UNIVERSITY OF MINNESOTA                            |   |   |                          |   |  |   |   |  |  |  |
| 200 OAK STREET SOUTHEAST                           | 41-6007513  | CMAME OF MA                             | 1 701 044                | 0                                       |  |   | DEGEA DOLL                                |  |  |  |
| MINNEAPOLIS, MN 55455                              | 41-600/513  | STATE OF MN                             | 1,781,844.               | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNIVERSITY OF MISSISSIPPI, JACKSON                 |   |   |                          |   |  |   |   |  |  |  |
| 2500 NORTH STATE STREET                            |   |   |                          |   |  |   |   |  |  |  |
| JACKSON, MS 39216                                  | 64-6008520  | STATE OF MS                             | 483,629.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| JACKSON, MS 39210                                  | 04-0000520  | STATE OF MS                             | 403,029.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNIVERSITY OF MISSOURI                             |   |   |                          |   |  |   |   |  |  |  |
| 310 JESSE HALL                                     |   |   |                          |   |  |   |   |  |  |  |
| COLUMBIA, MO 65211                                 | 43-6003859  | STATE OF MO                             | 747,508.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNIVERSITY OF NEBRASKA MEDICAL                     | 43-0003033  | STATE OF MO                             | 747,300.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |
|  |   |   |                          |   |  |   |   |  |  |  |
| CENTER, OMAHA - 985100 NEBRASKA                    |   |   |                          |   |  |   |   |  |  |  |
| MEDICAL CENTER DRIVE - OMAHA, NE                   | 47 0040122  | CONTRACT OF ME                          | EE0 212                  | 0                                       |  |   | DEGEARAN                                  |  |  |  |
| 68198  | 47-0049123  | STATE OF NE                             | 550,313.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNIVERSITY OF NEVADA                               |   |   |                          |   |  |   |   |  |  |  |
|  |   |   |                          |   |  |   |   |  |  |  |
| 1664 NORTH VIRGINIA STREET                         | 00 6000004  | G 3 3 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 | 77 025                   | 0                                       |  |   | DEGENERAL                                 |  |  |  |
| RENO, NV 89557                                     | 88-6000024  | STATE OF NV                             | 77,035.                  | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNIVERSITY OF NEW MEXICO - HEALTH                  |   |   |                          |   |  |   |   |  |  |  |
| SCIENCES CENTER - 1 UNIVERSITY OF                  |   |   |                          |   |  |   |   |  |  |  |
| NEW MEXICO DRIVE - ALBUQUERQUE, NM                 |   |   | 40F                      |   |  |   | L   |  |  |  |
| 87131  | 85-6000642  | STATE OF NM                             | 752,435.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNITED STORY OF MODELL CAROLINA                    |   |   |                          |   |  |   |   |  |  |  |
| UNIVERSITY OF NORTH CAROLINA,                      |   |   |                          |   |  |   |   |  |  |  |
| CHAPEL HILL - 104 AIRPORT DRIVE,                   | 56 6001202  | G 3 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 | 0 112 002                |   |  |   |   |  |  |  |
| STE 2200 - CHAPEL HILL, NC 27599                   | 56-6001393  | STATE OF NC                             | 2,113,983.               | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNITED STEEL OF MODELL DAVOES                      |   |   |                          |   |  |   |   |  |  |  |
| UNIVERSITY OF NORTH DAKOTA                         |   |   |                          |   |  |   |   |  |  |  |
| 264 CENTENNIAL DRIVE                               | 45 6000401  | G                                       | 100.000                  |   |  |   |   |  |  |  |
| GRAND FORKS, ND 58202                              | 45-6002491  | STATE OF ND                             | 128,093.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |
| UNIVERSITY OF NORTH TEXAS HEALTH                   |   |   |                          |   |  |   |   |  |  |  |
| SCIENCE CENTER, FORT WORTH - 3500                  |   |   |                          |   |  |   |   |  |  |  |
| CAMP BOWIE BOULEVARD - FORT WORTH,                 |   |   |                          |   |  |   |   |  |  |  |
| TX 76107   | 75-6064033  | STATE OF TX                             | 138,552.                 | 0.                                      |  |   | RESEARCH                                  |  |  |  |

| Part II Continuation of Grants and Other           | Assistance to Go | overnments and Orga           | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | art II.)                                  |                                       |
|--|------------------|-------------------------------|--------------------------|---|--|---|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of<br>non-cash assistance | (h) Purpose of grant<br>or assistance |
| UNIVERSITY OF NOTRE DAME                           |                  |                               |                          |   |  |   |                                       |
| 836 GRACE HALL                                     |                  |                               |                          |   |  |   |                                       |
| NOTRE DAME, IN 46556                               | 35-0868188       | 501(C)(3)                     | 46,579.                  | 0.                                      |  |   | RESEARCH                              |
| UNIVERSITY OF OKLAHOMA HEALTH                      | 33-000100        | 501(0)(3)                     | 40,373.                  | 0.                                      |  |   | RESEARCH                              |
| SCIENCES CENTER - 1100 NORTH                       |                  |                               |                          |   |  |   |                                       |
| LINDSAY STREET - OKLAHOMA CITY, OK                 |                  |                               |                          |   |  |   |                                       |
| 73104  | 73-6017987       | 501(C)(3)                     | 669,011.                 | 0.                                      |  |   | RESEARCH                              |
|  |                  |                               | ,                        | - •                                     |  |   |                                       |
| UNIVERSITY OF OREGON, EUGENE                       |                  |                               |                          |   |  |   |                                       |
| 5219 UNIVERSITY OF OREGON DRIVE                    |                  |                               |                          |   |  |   |                                       |
| EUGENE, OR 97403                                   | 48-1278531       | STATE OF OR                   | 214,981.                 | 0.                                      |  |   | RESEARCH                              |
| ·  |                  |                               | ·                        |   |  |   |                                       |
| UNIVERSITY OF PENNSYLVANIA                         |                  |                               |                          |   |  |   |                                       |
| 3451 WALNUT STREET                                 |                  |                               |                          |   |  |   |                                       |
| PHILADELPHIA, PA 19104                             | 23-1352685       | 501(C)(3)                     | 300,000.                 | 0.                                      |  |   | RESEARCH                              |
|  |                  |                               |                          |   |  |   |                                       |
| UNIVERSITY OF PENNSYLVANIA                         |                  |                               |                          |   |  |   |                                       |
| 3451 WALNUT STREET                                 |                  |                               |                          |   |  |   |                                       |
| PHILADELPHIA, PA 19104                             | 23-1352685       | 501(C)(3)                     | 2,727,576.               | 0.                                      |  |   | RESEARCH                              |
|  |                  |                               |                          |   |  |   |                                       |
| UNIVERSITY OF PITTSBURGH                           |                  |                               |                          |   |  |   |                                       |
| PO BOX 371220                                      | 05 0065504       | 504 (5) (2)                   | 4 405 570                |   |  |   | L                                     |
| PITTSBURGH, PA 15251                               | 25-0965591       | 501(C)(3)                     | 1,426,679.               | 0.                                      |  |   | RESEARCH                              |
| UNIVERSITY OF ROCHESTER MEDICAL                    |                  |                               |                          |   |  |   |                                       |
| CENTER - 910 GENESEE STREET -                      |                  |                               |                          |   |  |   |                                       |
| ROCHESTER, NY 14611                                | 16-0743209       | 501(C)(3)                     | 664,830.                 | 0.                                      |  |   | RESEARCH                              |
| ROCHEDIEK, NI 14011                                | 10 0743203       | 501(0)(3)                     | 004,030.                 | ٠.                                      |  |   | KESEARCH                              |
| UNIVERSITY OF SOUTH ALABAMA,                       |                  |                               |                          |   |  |   |                                       |
| MOBILE - 307 UNIVERSITY BOULEVARD                  |                  |                               |                          |   |  |   |                                       |
| - MOBILE, AL 36688                                 | 63-0477348       | STATE OF AL                   | 274,638.                 | 0.                                      |  |   | RESEARCH                              |
|  |                  |                               |                          | -                                       |  |   |                                       |
| UNIVERSITY OF SOUTH CAROLINA,                      |                  |                               |                          |   |  |   |                                       |
| COLUMBIA - 1600 HAMPTON STREET,                    |                  |                               |                          |   |  |   |                                       |
| SUITE 612 - COLUMBIA, SC 29208                     | 57-6001153       | STATE OF SC                   | 551,785.                 | 0.                                      |  |   | RESEARCH                              |

| Part II Continuation of Grants and Other           | Assistance to Go | overnments and Orga           | nizations in the U       | <b>nited States</b> (Sche         | edule I (Form 990), Pa   | art II.)                               |                                       |
|--|------------------|-------------------------------|--------------------------|-----------------------------------|--|--|---------------------------------------|
| (a) Name and address of organization or government | (b) EIN          | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| JNIVERSITY OF SOUTH FLORIDA, TAMPA                 |                  |                               |                          |                                   |  |  |                                       |
| PO BOX 864568                                      |                  |                               |                          |                                   |  |  |                                       |
| DRLANDO, FL 32886                                  | 59-3102112       | STATE OF FL                   | 1,129,756.               | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF SOUTHERN CALIFORNIA                  |                  |                               |                          |                                   |  |  |                                       |
| 900 WEST 34TH STREET                               |                  |                               |                          |                                   |  |  |                                       |
| LOS ANGELES, CA 90074                              | 95-1642394       | 501(C)(3)                     | 662,698.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF TENNESSEE HEALTH                     |                  |                               |                          |                                   |  |  |                                       |
| SCIENCE CENTER MEMPHIS - 62 SOUTH                  |                  |                               |                          |                                   |  |  |                                       |
| DUNLAP STREET, SUITE 300 -                         |                  |                               |                          |                                   |  |  |                                       |
| MEMPHIS, TN 38163                                  | 62-6001636       | STATE OF TN                   | 677,191.                 | 0.                                |  |  | RESEARCH                              |
|  |                  |                               |                          |                                   |  |  |                                       |
| UNIVERSITY OF TEXAS                                |                  |                               |                          |                                   |  |  |                                       |
| LO1 EAST 27TH STREET                               | T4 600000        | G                             | 401 000                  | 0                                 |  |  | D T G T L D G I                       |
| AUSTIN, TX 78713                                   | 74-6000203       | STATE OF TX                   | 401,299.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF TEXAS HEALTH SCIENCE                 |                  |                               |                          |                                   |  |  |                                       |
| CENTER AT HOUSTON - PO BOX 301418                  |                  |                               |                          |                                   |  |  |                                       |
| - DALLAS, TX 75303                                 | 74-1761309       | STATE OF TX                   | 1,393,283.               | 0.                                |  |  | RESEARCH                              |
| 21122115, 211 70000                                | 71 1701003       |                               | 2,000,200.               |                                   |  |  |                                       |
| UNIVERSITY OF TEXAS HEALTH SCIENCE                 |                  |                               |                          |                                   |  |  |                                       |
| CENTER AT SAN ANTONIO - 7703 FLOYD                 |                  |                               |                          |                                   |  |  |                                       |
| CURL DRIVE - SAN ANTONIO, TX 78229                 | 74-1586031       | STATE OF TX                   | 1,505,288.               | 0.                                |  |  | RESEARCH                              |
|  |                  |                               |                          |                                   |  |  |                                       |
| UNIVERSITY OF TEXAS HEALTH SCIENCE                 |                  |                               |                          |                                   |  |  |                                       |
| CENTER AT TYLER - 11937 US HIGHWAY                 |                  |                               |                          |                                   |  |  |                                       |
| 271 - TYLER, TX 75708                              | 75-6001354       | STATE OF TX                   | 250,812.                 | 0.                                |  |  | RESEARCH                              |
|  |                  |                               |                          |                                   |  |  |                                       |
| UNIVERSITY OF TEXAS MD ANDERSON                    |                  |                               |                          |                                   |  |  |                                       |
| CANCER CENTER - PO BOX 4486 -                      |                  | gmamp or                      | 105 405                  | _                                 |  |  |                                       |
| HOUSTON, TX 77210                                  | 74-6001118       | STATE OF TX                   | 125,406.                 | 0.                                |  |  | RESEARCH                              |
| UNIVERSITY OF TEXAS MEDICAL BRANCH                 |                  |                               |                          |                                   |  |  |                                       |
| PO BOX 660120                                      |                  |                               |                          |                                   |  |  |                                       |
| DALLAS, TX 75266                                   | 74-6000949       | STATE OF TX                   | 263,273.                 | 0.                                |  |  | RESEARCH                              |

| (a) Name and address of organization or government  | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|---|------------|-------------------------------|--------------------------|---|--|--|---------------------------------------|
| UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER - PO BOX 841753 - DALLAS, TX 75284        | 75-6002868 | STATE OF TX                   | 807,436.                 | 0.                                      |  |  | RESEARCH                              |
| UNIVERSITY OF TEXAS, ARLINGTON<br>219 WEST MAIN STREET<br>ARLINGTON, TX 76019             | 75-6000121 | STATE OF TX                   | 125,406.                 | 0.                                      |  |  | RESEARCH                              |
| UNIVERSITY OF TEXAS, DALLAS<br>800 WEST CAMPBELL ROAD<br>RICHARDSON, TX 75080             | 75-1305566 | STATE OF TX                   | 22,394.                  | 0.                                      |  |  | RESEARCH                              |
| UNIVERSITY OF TEXAS, SAN ANTONIO<br>ONE UTSA CIRCLE<br>SAN ANTONIO, TX 78249              | 74-1717115 | STATE OF TX                   | 46,579.                  | 0.                                      |  |  | RESEARCH                              |
| UNIVERSITY OF TEXAS, TYLER 3900 UNIVERSITY BOULEVARD TYLER, TX 75799                      | 75-1396988 | STATE OF TX                   | 125,406.                 | 0.                                      |  |  | RESEARCH                              |
| UNIVERSITY OF TOLEDO HEALTH<br>SCIENCE CAMPUS - PO BOX 72327 -<br>CLEVELAND, OH 44192     | 34-6401483 | STATE OF OH                   | 93,159.                  | 0.                                      |  |  | RESEARCH                              |
| UNIVERSITY OF UTAH<br>201 PRESIDENTS CIRCLE, SUITE 408<br>SALT LAKE CITY, UT 84112        | 87-6000525 | STATE OF UT                   | 628,820.                 | 0.                                      |  |  | RESEARCH                              |
| UNIVERSITY OF UTAH HEALTHCARE<br>540 ARAPEEN DRIVE, SUITE 250<br>SALT LAKE CITY, UT 84108 | 87-6000525 | 501(C)(3)                     | 14,650.                  | 0.                                      |  |  | EMERGENCY EQUIPMENT<br>UPGRADE        |
| UNIVERSITY OF VIRGINIA,<br>CHARLOTTESVILLE - PO BOX 400195 -<br>CHARLOTTESVILLE, VA 22904 | 54-6001796 | STATE OF VA                   | 1,331,989.               | 0.                                      |  |  | RESEARCH                              |

| Part II Continuation of Grants and Other  | Assistance to G | overnments and Orga           | nizations in the U       | nited States (Sch                       | edule I (Form 990), Pa   | urt II.)                               |                                       |
|---|-----------------|-------------------------------|--------------------------|---|--|--|---------------------------------------|
| (a) Name and address of organization or government  | (b) EIN         | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
| UNIVERSITY OF WASHING ROC CLINICAL  |                 |                               |                          |   |  |  |                                       |
| TRIAL CENTER - 1107 NORTHEAST 45TH  |                 |                               |                          |   |  |  |                                       |
| STREET, SUITE 505 - SEATTLE, WA   |                 |                               |                          |   |  |  |                                       |
| 98105   | 91-6001537      | 501(C)(3)                     | 500,000.                 | 0.                                      |  |  | OUTCOME DATABASE REGISTRY             |
| UNIVERSITY OF WASHINGTON<br>12455 COLLECTIONS DRIVE<br>CHICAGO, IL 60693                  | 91-6001537      | STATE OF WA                   | 1,606,986.               | 0.                                      |  |  | RESEARCH                              |
| ,   |                 |                               |                          |   |  |  |                                       |
| UNIVERSITY OF WISCONSIN, MADISON<br>21 NORTH PARK STREET<br>MADISON, WI 53715             | 39-6006492      | STATE OF WI                   | 1,029,725.               | 0.                                      |  |  | RESEARCH                              |
|   |                 |                               | , ,                      |   |  |  |                                       |
| UNIVERSITY OF WYOMING   |                 |                               |                          |   |  |  |                                       |
| 1000 EAST UNIVERSITY AVENUE   |                 |                               |                          |   |  |  |                                       |
| LARAMIE, WY 82071   | 83-6000331      | STATE OF WY                   | 125,406.                 | 0.                                      |  |  | RESEARCH                              |
| UPSTREAM PUBLIC HEALTH 240 NORTH BROADWAY, SUITE 215 PORTLAND, OR 97227                   | 42-1579435      | 501(C)(3)                     | 114,681.                 | 0.                                      |  |  | CHILDHOOD OBESITY<br>INITIATIVE       |
|   |                 |                               |                          |   |  |  |                                       |
| VALLEY AMBULANCE SERVICES INC<br>422 SOUTH BELTLINE HIGHWAY EAST<br>SCOTTSBLUFF, NE 69361 | 47-0555059      | CITY OF SCOTTSBL              | 26,331.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| VALLEY COUNTY AMBULANCE<br>125 SOUTH 15TH STREET<br>ORD, NE 68862                         | 47-6006515      |                               | 25,467.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| -   |                 |                               | , ,                      |   |  |  |                                       |
| VALLEY COUNTY HEALTH SYSTEM   |                 |                               |                          |   |  |  |                                       |
| 2707 L STREET   |                 |                               |                          |   |  |  | EMERGENCY EQUIPMENT                   |
| ORD, NE 68862   | 47-0485275      | 501(C)(3)                     | 11,996.                  | 0.                                      |  |  | UPGRADE                               |
| VANDERBILT UNIVERSITY 1400 18TH AVENUE SOUTH  | 62 0476022      | F01/G)/2)                     | 2 102 012                |   |  |  |                                       |
| NASHVILLE, TN 31192   | 62-0476822      | POT(C)(3)                     | 2,183,813.               | 0.                                      |  |  | RESEARCH                              |

| (a) Name and address of organization or government  | <b>(b)</b> EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of<br>non-cash<br>assistance | (f) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|---|----------------|-------------------------------|--------------------------|---|--|--|---------------------------------------|
| VIRGINIA COMMONWEALTH UNIVERSITY,<br>RICHMOND - PO BOX 843039 -<br>RICHMOND, VA 23284       | 54-6001758     | STATE OF VA                   | 137,946.                 | 0.                                      |  |  | RESEARCH                              |
| VIRGINIA POLYTECHNIC INSTITUTE<br>300 TURNER STREET NORTHWEST<br>BLACKSBURG, VA 24061       | 54-6001805     | STATE OF VA                   | 452,357.                 | 0.                                      |  |  | RESEARCH                              |
| VOICES FOR ALABAMA'S CHILDREN<br>PO BOX 4576<br>MONTGOMERY, AL 36103                        | 58-2020321     | 501(C)(3)                     | 321,616.                 | 0.                                      |  |  | CHILDHOOD OBESITY<br>INITIATIVE       |
| WAKE FOREST UNIVERSITY<br>MEDICAL CENTER BOULEVARD<br>WINSTON-SALEM, NC 27157               | 22-3849199     | 501(C)(3)                     | 458,450.                 | 0.                                      |  |  | RESEARCH                              |
| WARROAD RESCUE UNIT<br>121 MAIN AVENUE NORTHEAST<br>WARROAD, MN 56763                       | 41-6005618     |                               | 27,663.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |
| WASHINGTON UNIVERSITY, SCHOOL OF<br>MEDICINE - 700 ROSEDALE AVENUE -<br>ST. LOUIS, MO 63112 | 43-0653611     | 501(C)(3)                     | 534,655.                 | 0.                                      |  |  | RESEARCH                              |
| WAYNE STATE UNIVERSITY<br>5057 WOODWARD STREET, 13TH FLOOR<br>DETROIT, MI 48202             | 38-6028429     | STATE OF MI                   | 387,658.                 | 0.                                      |  |  | RESEARCH                              |
| WEILL MEDICAL COLLEGE OF CORNELL<br>UNIVERSITY - 1300 YORK AVENUE -<br>NEW YORK, NY 10065   | 13-1623978     | 501(C)(3)                     | 292,733.                 | 0.                                      |  |  | RESEARCH                              |
| WELLS COMMUNITY AMBULANCE SERVICE<br>35 WEST FRANKLIN PO BOX 2<br>WELLS, MN 56097           | 41-1757045     |                               | 26,440.                  | 0.                                      |  |  | DEFIBRILLATORS AND<br>MONITORS        |

| (a) Name and address of                | (b) EIN    | (c) IRC section | (d) Amount of | (e) Amount of          | (f) Method of                                 | (g) Description of  | (h) Purpose of grant |
|--|------------|-----------------|---------------|------------------------|---|---------------------|----------------------|
| organization or government             | \\         | if applicable   | cash grant    | non-cash<br>assistance | valuation<br>(book, FMV,<br>appraisal, other) | non-cash assistance | or assistance        |
| WEST PARK HOSPITAL DISTRICT            |            |                 |               |                        |   |                     |                      |
| 707 SHERIDAN AVENUE                    |            |                 |               |                        |   |                     | DEFIBRILLATORS AND   |
| CODY, WY 82414                         | 83-0321641 | 501(C)(3)       | 17,067.       | 0.                     |   |                     | MONITORS             |
| WEGERPROOF AMPLIEANCE GERVICE          |            |                 |               |                        |   |                     |                      |
| WESTBROOK AMBULANCE SERVICE PO BOX 367 |            |                 |               |                        |   |                     | DEFIBRILLATORS AND   |
| WESTBROOK, MN 56183                    | 41-6005636 |                 | 26,440.       | 0.                     |   |                     | MONITORS             |
| WESTBROOK, MN 30103                    | 41 0003030 |                 | 20,440.       | 0.                     |   |                     | MONITORS             |
| WIDENER UNIVERSITY                     |            |                 |               |                        |   |                     |                      |
| 1 UNIVERSITY PLACE                     |            |                 |               |                        |   |                     |                      |
| CHESTER, PA 19013                      | 23-1386178 | 501(C)(3)       | 118,240.      | 0.                     |   |                     | RESEARCH             |
| WINNEDAGO EDIDAI                       |            |                 |               |                        |   |                     |                      |
| WINNEBAGO TRIBAL PO BOX 687            |            |                 |               |                        |   |                     | DEFIBRILLATORS AND   |
| WINNEBAGO, NE 68071                    | 47-0489118 |                 | 25,170.       | 0.                     |   |                     | MONITORS             |
| WINNEDAGO, NE 00071                    | 47 0403110 |                 | 25,170.       | 0.                     |   |                     | MONITORS             |
| WINONA HEALTH SERVICES                 |            |                 |               |                        |   |                     |                      |
| PO BOX 5600                            |            |                 |               |                        |   |                     | EMERGENCY EQUIPMENT  |
| WINONA, MN 55987                       | 41-0713914 |                 | 11,996.       | 0.                     |   |                     | UPGRADE              |
| WINDWIDOR INTURDATES HOADIES           |            |                 |               |                        |   |                     |                      |
| WINTHROP-UNIVERSITY HOSPITAL           |            |                 |               |                        |   |                     |                      |
| ASSOCIATION - 259 1ST STREET -         | 11-1633486 | 501(C)(3)       | 177,360.      | 0.                     |   |                     | RESEARCH             |
| MINEOLA, NY 11501                      | 11-1033400 | 501(0)(3)       | 177,300.      | 0.                     |   |                     | RESEARCH             |
| WOLSEY EMS ASSOCIATION INC             |            |                 |               |                        |   |                     |                      |
| PO BOX 336                             |            |                 |               |                        |   |                     | DEFIBRILLATORS AND   |
| WOLSEY, SD 57384                       | 47-1282792 |                 | 24,793.       | 0.                     |   |                     | MONITORS             |
|  |            |                 |               |                        |   |                     |                      |
| WORDEN FIRE DEPARTMENT                 |            |                 |               |                        |   |                     |                      |
| PO BOX 369                             | 23-7050486 | CIMY OF MODDEN  | 22 525        | 0.                     |   |                     | DEFIBRILLATORS AND   |
| WORDEN, MT 59088                       | 23-7030406 | CITY OF WORDEN  | 23,525.       | 0.                     |   |                     | MONITORS             |
| WRIGHT STATE UNIVERSITY, DAYTON        |            |                 |               |                        |   |                     |                      |
| 3640 COLONEL GLENN HIGHWAY             |            |                 |               |                        |   |                     |                      |
| DAYTON, OH 45435                       | 31-0732831 | STATE OF OH     | 161,236.      | 0.                     |   |                     | RESEARCH             |

| (a) Name and address of organization or government | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash | (f) Method of valuation          | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|------------------------|----------------------------------|--|------------------------------------|
| organization or government                         |            | партосые                      | ouon grain               | assistance             | (book, FMV,<br>appraisal, other) | Their each acciditation                | or assistance                      |
| YOMING MEDICAL CENTER AMBULANCE                    |            |                               |                          |                        |                                  |  |                                    |
| 233 EAST 2ND STREET                                |            |                               |                          |                        |                                  |  | DEFIBRILLATORS AND                 |
| ASPER, WY 82601                                    | 83-0279242 | 501(C)(3)                     | 40,475.                  | 0.                     |                                  |  | MONITORS                           |
| ALE UNIVERSITY                                     |            |                               |                          |                        |                                  |  |                                    |
| 09 EDWARDS STREET                                  |            |                               |                          |                        |                                  |  | RESEARCH AND CHILDHOOI             |
| EW HAVEN, CT 06511                                 | 06-0646973 | 501(C)(3)                     | 1,539,528.               | 0.                     |                                  |  | OBESITY INITIATIVE                 |
| MCA OF GREATER BOSTON INC.                         |            |                               |                          |                        |                                  |  |                                    |
| 16 HUNTINGTON AVENUE                               |            |                               |                          |                        |                                  |  |                                    |
| OSTON, MA 02115                                    | 04-2103551 | 501(C)(3)                     | 25,000.                  | 0.                     |                                  |  | COMMUNITY IMPACT GRANT             |
| •  |            |                               | ,                        |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |
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|  |            |                               |                          |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |
|  |            |                               |                          |                        |                                  |  |                                    |

BE FILED WITHIN 90 DAYS OF THE END OF EACH GRANT YEAR AND ARE REVIEWED BY

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed. (e) Method of valuation (book, FMV, appraisal, other) (a) Type of grant or assistance (b) Number of (d) Amount of non-(c) Amount of (f) Description of non-cash assistance recipients cash grant cash assistance LECURE HONORARIA 10 12,500 0. TRAVEL STIPENDS TO SCIENTIFIC CONFERENCES 73 45,475. 0. INVESTIGATOR AND SCIENCE RESEARCH PRIZES 223 214 023 0. STUDENT SCHOLARSHIPS 66 151,250 0. Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information. Part IV PART I, LINE 2: RESEARCH GRANTS ARE AWARDED BY THE AMERICAN HEART ASSOCIATION ANNUALLY AND PAID TO THE GRANTEE'S INSTITUTION QUARTERLY OVER THE MULTI-YEAR LIFE OF THE AWARD. GRANTEES ARE REQUIRED TO SUBMIT REPORTS OF SCIENTIFIC PROGRESS ANNUALLY PRIOR TO ISSUING EACH SUBSEQUENT YEAR'S PAYMENTS. THESE REPORTS MAY BE REVIEWED BY VOLUNTEER COMMITTEES COMPRISED PRIMARILY OF ACTIVE AND EXPERIENCED RESEARCHERS. AN ANNUAL FINANCIAL REPORT IS REQUIRED PRIOR TO ISSUING EACH SUBSEQUENT YEAR'S PAYMENTS. FINANCIAL REPORTS ARE REQUIRED TO

## Part IV | Supplemental Information AHA. PART I, LINE 2 INSTITUTIONAL ELIGIBILITY FOR AWARDS AND LOCATION OF WORK FOR APPLICANTS/AWARDEES ASSOCIATION RESEARCH AWARDS MUST BE LIMITED TO NON-PROFIT INSTITUTIONS. SUCH INSTITUTIONS INCLUDE: MEDICAL, OSTEOPATHIC AND DENTAL SCHOOLS, VETERINARY SCHOOLS, SCHOOLS OF PUBLIC HEALTH, PHARMACY SCHOOLS, NURSING SCHOOLS, UNIVERSITIES AND COLLEGES, PUBLIC AND VOLUNTARY HOSPITALS AND OTHER NON-PROFIT INSTITUTIONS THAT CAN DEMONSTRATE THE ABILITY TO CONDUCT THE PROPOSED RESEARCH. APPLICATIONS WILL NOT BE ACCEPTED FOR WORK WITH FUNDING TO BE ADMINISTERED THROUGH ANY FEDERAL INSTITUTION OR WORK TO BE PERFORMED BY A FEDERAL EMPLOYEE WITH THE EXCEPTION OF THE VETERANS ADMINISTRATION EMPLOYEES. THE RESEARCH COMMITTEE SHOULD SCRUTINIZE THE AVAILABLE RESOURCES AS THEY RELATE TO LOCAL, STATE OR NATIONAL NEEDS. INDIVIDUAL ELIGIBILITY FOR AWARDS THE PRINCIPAL INVESTIGATOR MUST HOLD A DOCTORAL OR APPROPRIATE ADVANCED DEGREE AT THE TIME THE AWARD IS ACTIVATED FOR FELLOWSHIPS AND, FOR GRANTS, AT THE TIME OF APPLICATION. EXCEPTIONS MUST BE DOCUMENTED IN WRITING BY THE RESEARCH COMMITTEE OF REFERENCE AND APPROVED BY THE AHA RESEARCH COMMITTEE. THE BASIC REQUIREMENTS OF ELIGIBILITY FOR ALL AMERICAN HEART ASSOCIATION RESEARCH PROGRAMS. NATIONAL CENTER OR AFFILIATE ARE GIVEN

| Part IV Supplemental Information                                       |
|--|
| BELOW.   |
|  |
| PREDOCTORAL FELLOWSHIPS  |
|  |
| POST BACCALAUREATE, PREDOCTORAL STUDENTS SEEKING A PH.D., M.D., D.O.,  |
| OR EQUIVALENT DEGREE WHO SEEK RESEARCH TRAINING AND EXPERIENCE UNDER   |
| THE SUPERVISION OF A SPONSOR/MENTOR PRIOR TO EMBARKING ON A            |
| POSTGRADUATE RESEARCH CAREER. THIS AWARD IS NOT INTENDED FOR           |
| INDIVIDUALS WHO HAVE ALREADY ATTAINED A DOCTORAL DEGREE, UNLESS THE    |
| INDIVIDUAL IS PURSUING A SECOND DOCTORAL DEGREE (EXAMPLE: M.D. WHO IS  |
| SEEKING A PH.D.).  |
|  |
| POSTDOCTORAL FELLOWSHIPS   |
|  |
| INDIVIDUALS WHO HAVE OBTAINED A PH.D., M.D., D.O. OR EQUIVALENT DEGREE |
| BY THE TIME OF AWARD ACTIVATION AND WHO SEEK ADDITIONAL RESEARCH       |
| TRAINING UNDER THE SUPERVISION OF A SPONSOR/PRECEPTOR/MENTOR PRIOR TO  |
| EMBARKING ON A CAREER OF INDEPENDENT RESEARCH. THIS AWARD IS NOT       |
| INTENDED FOR INDIVIDUALS OF FACULTY RANK. EXCEPTION: MD'S OR           |
| MD/PHD'S WITH CLINICAL RESPONSIBILITIES WHO NEED INSTRUCTOR OR SIMILAR |
| TITLE TO SEE PATIENTS, BUT WHO DEVOTE AT LEAST 80% FULL-TIME TO        |
| RESEARCH TRAINING.   |
|  |
| MENTORED CLINICAL & POPULATION RESEARCH AWARD                          |
|  |
| HEALTH CARE PROFESSIONALS WITH A MASTERS, M.D., D.O. OR PH.D. DEGREE.  |
| INDIVIDUALS ARE NOT ELIGIBLE TO BE THE PRINCIPAL INVESTIGATOR IF THEY  |
| CURRENTLY HOLD OR HAVE HELD, CERTAIN NIH AWARDS (SUCH AS RO1, R21,     |
|  |

## Part IV | Supplemental Information PO1); CERTAIN AHA AWARDS ( BGIA, SDG, EIA, GIA); OR AN AWARD EQUIVALENT TO THE ABOVE (AN INDEPENDENT INVESTIGATOR AWARD). INTERDISCIPLINARY RESEARCH TEAMS ARE ELIGIBLE. ALL PRINCIPAL INVESTIGATORS MUST ALSO IDENTIFY A MENTOR WITH AN EARNED DOCTORATE AND A TRACK RECORD OF HIGH QUALITY CLINICAL INVESTIGATION. NATIONAL FELLOW-TO-FACULTY TRANSITION AWARD - AT THE TIME OF APPLICATION SUBMISSION, PHYSICIANS WHO HOLD AN M.D., M.D./PHD., D.O. OR EQUIVALENT DOCTORAL DEGREE AND WHO SEEK ADDITIONAL RESEARCH TRAINING UNDER THE SUPERVISION OF A SPONSOR/MENTOR PRIOR TO EMBARKING ON A CAREER OF INDEPENDENT RESEARCH. - APPLICANTS MUST BE ENROLLED IN OR HAVE COMPLETED AN ACCREDITATION COUNCIL FOR GRADUATE MEDICAL EDUCATION (ACGME)-APPROVED RESIDENCY OR A CLINICAL FELLOWSHIP PROGRAM ASSOCIATED WITH AN ACGME-APPROVED RESIDENCY. - APPLICANTS MUST HAVE COMPLETED THE CLINICAL PORTION OF THEIR TRAINING PROGRAM BY THE TIME OF AWARD ACTIVATION. THE APPLICANT IS RESPONSIBLE FOR IDENTIFYING AND WORKING WITH A SPONSOR/MENTOR TO DEVELOP THE APPLICATION. - AT THE TIME OF APPLICATION, CANDIDATES MAY HAVE HAD NO MORE THAN FIVE YEARS OF POSTDOCTORAL RESEARCH TRAINING (BEYOND CLINICAL TRAINING). - THE AWARD IS NOT FOR INDIVIDUALS OF FACULTY/STAFF RANK. - AT THE TIME OF AWARD ACTIVATION, APPLICANT MAY NOT HOLD A FACULTY/STAFF APPOINTMENT. (EXCEPTIONS: M.D. OR M.D./PH.D. WITH CLINICAL RESPONSIBILITIES WHO HOLD A TITLE OF INSTRUCTOR OR SIMILAR DUE TO THEIR PATIENT CARE RESPONSIBILITIES BUT WHO DEVOTE AT LEAST 80 PERCENT FULL-TIME EFFORT TO RESEARCH TRAINING.)

| Part IV Supplemental Information  |
|---|
| LEVEL OF ASSISTANT PROFESSOR OR ITS EQUIVALENT. A PIVOTAL REQUIREMENT   |
| IS THE DEMONSTRATION THAT THE AWARD WILL PROMOTE INDEPENDENT STATUS FOR |
| THE APPLICANT. APPLICANT SHALL HAVE RECEIVED NO PRIOR NATIONAL-LEVEL    |
| GRANT AS OF TIME OF SCIENTIST DEVELOPMENT GRANT ACTIVATION.             |
|   |
| ESTABLISHED INVESTIGATOR AWARD  |
|   |
| AT TIME OF APPLICATION, FACULTY/STAFF MEMBERS AT THE MID-LEVEL STAGES   |
| OF THEIR INDEPENDENT RESEARCH CAREERS. AT APPLICATION, APPLICANTS MUST  |
| HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET   |
| INSTITUTIONAL REQUIREMENTS FOR GRANT SUBMISSION. AT THE TIME OF AWARD   |
| ACTIVATION, THE INVESTIGATOR MUST BE AT LEAST FOUR (4) YEARS BUT NO     |
| MORE THAN NINE (9) YEARS (I.E., EIGHT YEARS AND 12 MONTHS SINCE THE     |
| FIRST FACULTY/STAFF APPOINTMENT AT THE LEVEL OF ASSISTANT PROFESSOR OR  |
| EQUIVALENT (INCLUDING, BUT NOT LIMITED TO, RESEARCH ASSISTANT           |
| PROFESSOR, RESEARCH SCIENTIST, STAFF SCIENTIST, ETC.) INSTRUCTOR        |
| POSITIONS (OR EQUIVALENT POSITIONS) DO NOT COUNT TOWARD THE FOUR OR     |
| NINE YEARS OF ELIGIBILITY.  |
|   |
| APPLICANTS MUST HAVE CURRENT NATIONAL-LEVEL FUNDING AS PRINCIPAL        |
| INVESTIGATOR ON AN RO1 GRANT OR ITS EQUIVALENT (E.G. VA MERIT AWARD,    |
| NSF GRANT, OR PI ON PROGRAM PROJECT GRANT FROM NIH). NIH "K" SERIES     |
| AWARDS ARE NOT CONSIDERED EQUIVALENT TO AN R01.                         |
|   |
| GRANT-IN-AID  |
|   |
| FACULTY/STAFF MEMBERS CONDUCTING INDEPENDENT RESEARCH AT TIME OF        |
| APPLICATION. AT APPLICATION, PRINCIPAL INVESTIGATOR MUST HOLD AN M.D.,  |

| Part IV Supplemental Information                                       |
|--|
| PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL  |
| REQUIREMENTS FOR GRANT SUBMISSION.                                     |
|  |
| SPECIAL AWARDS/PILOT PROGRAMS  |
|  |
| ELIGIBILITY IS DETERMINED BY AN AFFILIATE OR THE NATIONAL CENTER BASED |
| UPON SPECIAL LOCAL OR NATIONAL CIRCUMSTANCES. THE FUNDING COMPONENT    |
| MUST REQUEST AND RECEIVE APPROVAL FROM THE AHA RESEARCH COMMITTEE TO   |
| DEVELOP AND IMPLEMENT A PILOT RESEARCH PROGRAM FOR A LIMITED PERIOD OF |
| TIME.  |
|  |
| AFFILIATE SUMMER UNDERGRADUATE RESEARCH FELLOWSHIP                     |
|  |
| TO BE ELIGIBLE FOR THIS PROGRAM, UNDERGRADUATE STUDENTS SHOULD BE      |
| CURRENTLY CLASSIFIED AT THE JUNIOR OR SENIOR ACADEMIC STATUS AT THE    |
| TIME OF AWARD ACTIVATION. STUDENTS MUST BE ENROLLED FULL-TIME IN AN    |
| UNDERGRADUATE DEGREE PROGRAM, AT THE TIME OF APPLICATION, IN EITHER A  |
| FOUR-YEAR COLLEGE OR UNIVERSITY, OR A TWO-YEAR INSTITUTION WITH PLANS  |
| TO TRANSFER TO A FOUR-YEAR COLLEGE OR UNIVERSITY BY THE FALL SEMESTER  |
| IMMEDIATELY FOLLOWING THE SUMMER PROGRAM. STUDENTS MAY EITHER BE       |
| ATTENDING AN INSTITUTION WITHIN THE AFFILIATE, OR BE A RESIDENT OF ONE |
| OF THESE STATES.   |
|  |
| AFFILIATE MEDICAL STUDENT RESEARCH PROGRAM - INSTITUTIONAL             |
|  |
| THIS IS AN INSTITUTIONAL AWARD TO QUALIFIED RESEARCH INSTITUTIONS      |
| WITHIN THE AFFILIATE'S GEOGRAPHIC BOUNDARIES THAT CAN OFFER A          |
| MEANINGFUL RESEARCH EXPERIENCE TO HEALTH SCIENCES STUDENTS. FELLOWSHIP |

## AMERICAN HEART ASSOCIATION, INC. 13-5613797 Schedule I (Form 990) Page 2 Part IV | Supplemental Information TARGETS PRE-DOCTORAL M.D., D.O., D.D.S., PHARM.D. AND D.V.M. (OR EQUIVALENT) HEALTH SCIENCE STUDENTS. AFFILIATE MEDICAL STUDENT RESEARCH PROGRAM INVESTIGATOR INITIATED THIS PROGRAM IS INTENDED FOR FULL-TIME STUDENTS (WITHIN THE AFFILIATE'S GEOGRAPHIC BOUNDARIES) WHO HAVE NOT YET OBTAINED AN MD BUT ARE ENROLLED IN AN MD PROGRAM, HEALTHCARE PROFESSIONALS WITH DOCTORAL DEGREES, PH.D., D.O., D.D.S., PHARM.D. AND D.V.M. (OR EQUIVALENT) IN AN MD PROGRAM WHO SEEK RESEARCH TRAINING WITH A SPONSOR/MENTOR PRIOR TO EMBARKING ON A RESEARCH CAREER. SCHEDULE I, PART IV - CONTINUED NATIONAL INNOVATIVE RESEARCH GRANT ALL LEVELS OF FACULTY/STAFF MEMBERS CONDUCTING RESEARCH AT TIME OF APPLICATION. AT APPLICATION, PRINCIPAL INVESTIGATOR MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL REQUIREMENTS FOR GRANT SUBMISSION. ELIGIBILITY FOR THE INNOVATIVE RESEARCH AWARD IS NOT RESTRICTED BASED UPON EXPERIENCE LEVEL OR SENIORITY. SENIORITY WILL NOT BE USED AS A CRITERION IN EVALUATING AN APPLICATION'S MERIT. NATIONAL COLLABORATIVE SCIENCES AWARD THE PROPOSAL MUST FOCUS ON THE COLLABORATIVE RELATIONSHIP. SUCH THAT THE SCIENTIFIC OBJECTIVES COULD NOT BE ACHIEVED WITHOUT THE EFFORTS OF

AT LEAST TWO CO-PRINCIPAL INVESTIGATORS AND THEIR RESPECTIVE

# Part IV | Supplemental Information DISCIPLINES. AN APPLICATION MUST BE SUBMITTED JOINTLY BY AT LEAST TWO CO-PRINCIPAL INVESTIGATORS. CO-PIS MUST EACH HOLD FACULTY/STAFF APPOINTMENTS OF ANY RANK (OR EQUIVALENT). CO-PIS MUST BE INDEPENDENT RESEARCHERS. (THIS AWARD IS NOT INTENDED FOR INDIVIDUALS IN RESEARCH TRAINING OR FELLOWSHIP POSITIONS.) CO-PIS MUST HOLD A M.D., PH.D., D.O., D.V.M. OR EQUIVALENT POST-BACCALAUREATE TERMINAL DEGREE. NATIONAL MENTOR/AHA MENTEE AWARD AT TIME OF APPLICATION, INDEPENDENT INVESTIGATORS HOLDING A FACULTY/STAFF APPOINTMENT EQUIVALENT TO ASSOCIATE OR FULL PROFESSOR. APPLICANTS MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE. APPLICANTS MUST HAVE CURRENT NATIONAL-LEVEL FUNDING AS PRINCIPAL INVESTIGATOR ON AN R01 GRANT OR ITS EQUIVALENT (E.G. VA MERIT AWARD, NSF GRANT, OR PI ON PROGRAM PROJECT GRANT FROM NIH). NATIONAL STRATEGICALLY FOCUSED RESEARCH NETWORK DIRECTORS AND PRINCIPAL INVESTIGATORS OF PROJECTS OF THE CENTERS MUST POSSESS AN M.D., PH.D., D.O., D.V.M., OR EQUIVALENT DOCTORAL DEGREE AT TIME OF APPLICATION. THEY SHOULD BE FACULTY OR STAFF MEMBERS OF THE NON-PROFIT APPLICANT ORGANIZATION AT APPLICATION. AHA CARDIOVASCULAR GENOME PHENOME STUDY PATHWAY GRANT AND GRAND CHALLENGE AWARDS FACULTY/ STAFF MEMBERS CONDUCTING INDEPENDENT RESEARCH AT TIME OF APPLICATION. AT APPLICATION, PRINCIPAL INVESTIGATOR MUST HOLD AN M.D., PH.D., D.O. OR EQUIVALENT DOCTORAL DEGREE AND MUST MEET INSTITUTIONAL

## **SCHEDULE J** (Form 990)

**Compensation Information** 

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

Attach to Form 990.

► Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

Department of the Treasury

Internal Revenue Service

AMERICAN HEART ASSOCIATION, INC.

**Employer identification number** 13-5613797

|    | AMERICAN HEART ASSOCIATION, INC. 13-36137   | <i>)</i> / |     |    |
|----|---|------------|-----|----|
| Pa | art I Questions Regarding Compensation  |            |     |    |
|    |   |            | Yes | No |
| 1a | Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990,    |            |     |    |
|    | Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.                |            |     |    |
|    | First-class or charter travel Housing allowance or residence for personal use   |            |     |    |
|    | Travel for companions Payments for business use of personal residence   |            |     |    |
|    | Tax indemnification and gross-up payments  Health or social club dues or initiation fees                                  |            |     |    |
|    | Discretionary spending account Personal services (e.g., maid, chauffeur, chef)  |            |     |    |
| h  | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or             |            |     |    |
| b  | reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain                  | 1b         | х   |    |
| 2  |   |            |     |    |
| 2  | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,          | 2          | х   |    |
|    | trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?                     | 2          | ^   |    |
| •  |   |            |     |    |
| 3  | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's |            |     |    |
|    | CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to        |            |     |    |
|    | establish compensation of the CEO/Executive Director, but explain in Part III.  |            |     |    |
|    | X Compensation committee X Written employment contract  |            |     |    |
|    | Independent compensation consultant   |            |     |    |
|    | Form 990 of other organizations  X Approval by the board or compensation committee  |            |     |    |
| 4  | During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing              |            |     |    |
|    | organization or a related organization:   |            |     |    |
| а  | Receive a severance payment or change-of-control payment?   | 4a         |     | х  |
| b  | Participate in, or receive payment from, a supplemental nonqualified retirement plan?                                     | 4b         | х   |    |
|    | Participate in, or receive payment from, an equity-based compensation arrangement?  | 4c         |     | Х  |
| Ū  | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.             |            |     |    |
|    |   |            |     |    |
|    | Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.                                  |            |     |    |
| 5  | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation         |            |     |    |
|    | contingent on the revenues of:  |            |     |    |
| а  | The organization?   | 5a         | Х   |    |
| b  | Any related organization?   | 5b         |     | Х  |
|    | If "Yes" to line 5a or 5b, describe in Part III.  |            |     |    |
| 6  | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation         |            |     |    |
|    | contingent on the net earnings of:  |            |     |    |
| а  | The organization?   | 6a         |     | Х  |
| b  | Any related organization?   | 6b         |     | Х  |
|    | If "Yes" to line 6a or 6b, describe in Part III.  |            |     |    |
| 7  | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments         |            |     |    |
| ·  | not described in lines 5 and 6? If "Yes," describe in Part III  | 7          |     | х  |
| 8  | Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the           |            |     |    |
| •  | initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III               | 8          |     | х  |
| 9  | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in                    |            |     |    |
| 9  | Regulations section 53.4958-6(c)?   | 9          |     |    |
|    | negalations section 50.4300°0(c):   | 1 3        |     |    |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2014

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title                 |      | (B) Breakdown of         | W-2 and/or 1099-MI                        | SC compensation                           | (C) Retirement and other deferred | (D) Nontaxable benefits | (E) Total of columns<br>(B)(i)-(D) | (F) Compensation in column (B)         |
|------------------------------------|------|--------------------------|---|---|-----------------------------------|-------------------------|------------------------------------|--|
|                                    |      | (i) Base<br>compensation | (ii) Bonus &<br>incentive<br>compensation | (iii) Other<br>reportable<br>compensation | compensation                      | Dellents                | (15)(1)-(15)                       | reported as deferred in prior Form 990 |
| (1) NANCY BROWN                    | (i)  | 695,571.                 | 384,569.                                  | 32,153.                                   | 303,622.                          | 27,512.                 | 1,443,427.                         | 0.                                     |
| CEO                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (2) SUNDER JOSHI                   | (i)  | 385,411.                 | 142,450.                                  | 8,492.                                    | 50,477.                           | 13,001.                 | 599,831.                           | 0.                                     |
| CAO/CFO                            | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (3) LYNNE DARROUZET                | (i)  | 224,034.                 | 62,438.                                   | 0.  | 27,692.                           | 17,351.                 | 331,515.                           | 0.                                     |
| EVP - CORP SEC/GENERAL COUNSEL     | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (4) ROSE MARIE ROBERTSON           | (i)  | 433,706.                 | 159,100.                                  | 28,538.                                   | 36,400.                           | 10,133.                 | 667,877.                           | 24,058.                                |
| CHIEF SCIENCE OFFICER              | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (5) MEIGHAN GIRGUS                 | (i)  | 388,719.                 | 143,560.                                  | 4,975.                                    | 50,400.                           | 1,201.                  | 588,855.                           | 0.                                     |
| CHIEF MISSION OFFICER              | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (6) LESLIE UPTON                   | (i)  | 366,266.                 | 135,050.                                  | 2,146.                                    | 47,405.                           | 6,878.                  | 557,745.                           | 0.                                     |
| CHIEF DEVELOPMENT OFFICER          | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (7) MICHAEL WEAMER                 | (i)  | 450,574.                 | 118,965.                                  | 33,303.                                   | 36,400.                           | 18,722.                 | 657,964.                           | 27,451.                                |
| EVP                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (8) DAVID MARKIEWICZ               | (i)  | 397,598.                 | 0.  | 8,000.                                    | 51,724.                           | 12,874.                 | 470,196.                           | 0.                                     |
| EVP                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (9) KATHLEEN ROGERS                | (i)  | 387,275.                 | 84,056.                                   | 114,049.                                  | 50,588.                           | 17,351.                 | 653,319.                           | 0.                                     |
| EVP                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (10) KEVIN HARKER                  | (i)  | 379,975.                 | 102,297.                                  | 938.                                      | 50,038.                           | 18,722.                 | 551,970.                           | 0.                                     |
| EVP                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (11) JEREMY BEAUCHAMP              | (i)  | 293,352.                 | 68,250.                                   | 8,000.                                    | 38,298.                           | 17,351.                 | 425,251.                           | 0.                                     |
| EVP                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (12) MIDGE EPSTEIN                 | (i)  | 396,501.                 | 111,000.                                  | 23,736.                                   | 36,400.                           | 18,722.                 | 586,359.                           | 20,117.                                |
| EVP                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (13) NICOLE SAPIO                  | (i)  | 322,064.                 | 84,988.                                   | 8,780.                                    | 41,711.                           | 13,001.                 | 470,544.                           | 0.                                     |
| EVP                                | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (14) JOHN J. MEINERS               | (i)  | 339,732.                 | 95,183.                                   | 33.                                       | 44,192.                           | 13,001.                 | 492,141.                           | 0.                                     |
| EVP - ECC PROGRAMS                 | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (15) GERALD JOHNSON                | (i)  | 345,925.                 | 87,413.                                   | 0.  | 6,101.                            | 13,001.                 | 452,440.                           | 0.                                     |
| CHIEF DIVERSITY OFFICER            | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |
| (16) EDUARDO SANCHEZ               | (i)  | 293,816.                 | 81,169.                                   | 297.                                      | 0.                                | 12,342.                 | 387,624.                           | 0.                                     |
| CHIEF MEDICAL OFFICER - PREVENTION | (ii) | 0.                       | 0.  | 0.  | 0.                                | 0.                      | 0.                                 | 0.                                     |

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title              |      | (B) Breakdown of         | W-2 and/or 1099-MI                  | SC compensation                           | (C) Retirement and other deferred | (D) Nontaxable benefits | (E) Total of columns | (F) Compensation in column (B)         |
|---------------------------------|------|--------------------------|-------------------------------------|---|-----------------------------------|-------------------------|----------------------|--|
|                                 |      | (i) Base<br>compensation | (ii) Bonus & incentive compensation | (iii) Other<br>reportable<br>compensation | compensation                      | benefits                | (B)(i)-(D)           | reported as deferred in prior Form 990 |
| (17) ROGER SANTONE              | (i)  | 280,000.                 | 74,055.                             | 2,179.                                    | 0.                                | 18,722.                 | 374,956.             | 0.                                     |
| EVP - TECHNOLOGY                | (ii) | 0.                       | 0.                                  | 0.  | 0.                                | 0.                      | . 0.                 | 0.                                     |
| (18) MARK SCHOEBERL             | (i)  | 272,535.                 | 77,256.                             | 2,179.                                    | 36,400.                           | 18,722.                 | 407,092.             | 0.                                     |
| EVP - ADVOCACY & HEALTH QUALITY | (ii) | 0.                       | 0.                                  | 0.  | 0.                                | 0.                      | 0.                   | 0.                                     |
| (19) TANYA EDWARDS              | (i)  | 254,421.                 | 92,885.                             | 2,146.                                    | 36,400.                           | 17,351.                 | 403,203.             | 0.                                     |
| SVP - FIELD CAMPAIGNS           | (ii) | 0.                       | 0.                                  | 0.  | 0.                                | 0.                      | . 0.                 | 0.                                     |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |
|                                 | (i)  |                          |                                     |   |                                   |                         |                      |  |
|                                 | (ii) |                          |                                     |   |                                   |                         |                      |  |

#### Part III | Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A

TO ENCOURAGE GOOD HEALTH PRACTICES. AMERICAN HEART ASSOCIATION (AHA)

MAKES AVAILABLE A MEMBERSHIP TO A LOCAL FITNESS CENTER TO SENIOR

MANAGEMENT. OF THE OFFICERS AND KEY EMPLOYEES LISTED. THE FOLLOWING

PARTICIPATE IN THE PROGRAM - NANCY BROWN, TANYA EDWARDS, SUNDER JOSHI,

KATHLEEN ROGERS ROGER SANTONE MARK SCHOEBERL AND LESLIE UPTON.

THESE BENEFITS ARE TREATED AS TAXABLE INCOME.

PART I, LINE 4B

NONQUALIFIED RETIREMENT PLAN: AHA PROVIDES A 457(F) RETIREMENT

RESTORATION PLAN TO CERTAIN MEMBERS OF SENIOR MANAGEMENT. WHILE AHA

EMPLOYEES ARE GENERALLY ELIGIBLE TO PARTICIPATE IN THE QUALIFIED

RETIREMENT PLAN AND THE 403(B) PLAN. CONTRIBUTIONS BY AHA TO THE

QUALIFIED RETIREMENT PLAN AND THE 403(B) PLAN ARE CAPPED PURSUANT TO

IRS REGULATIONS. UNDER THE RETIREMENT RESTORATION PLAN AHA IS ALLOWED

TO MAKE CONTRIBUTIONS BASED ON THE AMOUNT A PARTICIPANT WOULD HAVE BEEN

ALLOWED TO RECEIVE IF THE RETIREMENT CONTRIBUTIONS BY AHA WERE NOT

CAPPED. THE RETIREMENT RESTORATION PLAN SEEKS TO MAKE WHOLE, UPON A

SPECIFIED VESTING DATE. THOSE PARTICIPANTS WHOSE COMPENSATION IS SUCH

#### Part III | Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

THAT THE ALLOWABLE QUALIFIED RETIREMENT CONTRIBUTION IS CAPPED DURING

THEIR SERVICE TO AHA. ONCE A PARTICIPANT IS VESTED. THE RESTORATION

PLAN BALANCE (THAT ACCUMULATED OVER MANY YEARS AND INCLUDES

GAINS/LOSSES FROM THE MARKET) IS PAID OUT TO THE PARTICIPANT IN A LUMP

SUM. AFTER THE PARTICIPANT HAS PASSED HIS OR HER VESTING DATE. ANY

CONTRIBUTION THAT WOULD HAVE BEEN MADE TO THE RESTORATION PLAN IS PAID

TO THE EMPLOYEE AT THE END OF THE YEAR IN A LUMP SUM. THE PAYMENT IS

CONSIDERED EARNED INCOME WITH APPLICABLE TAXES WITHHELD. IF THE

EMPLOYEE LEAVES AHA PRIOR TO REACHING HIS OR HER VESTING DATE. THE

ACCOUNT BALANCE IS FORFEITED. DURING THE CALENDAR YEAR, SOME ELIGIBLE

PARTICIPANTS IN AHA'S RETIREMENT RESTORATION PLAN REACHED THEIR VESTING

DATE OR HAD PREVIOUSLY REACHED THEIR VESTING DATE AND RECEIVED LUMP SUM

PAYMENTS FROM THE PLAN. PREVIOUSLY VESTED. MICHAEL WEAMER RECEIVED

\$27,451, MIDGE EPSTEIN RECEIVED \$20,117 AND ROSE MARIE ROBERTSON

RECEIVED \$24,058.

PART I, LINE 5

THE SENIOR MANAGEMENT OF AHA PARTICIPATES IN AN INCENTIVE PLAN DESIGNED

TO MOTIVATE AND REWARD SIGNIFICANT GROWTH AND PERFORMANCE OF THE

#### Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

ASSOCIATION AND CREATE A SENSE OF SHARED OWNERSHIP TO ACHIEVE THE

STRATEGIC PLAN AND FURTHER THE MISSION. THE INCENTIVE PLAN IS DESIGNED

AS PART OF THE TOTAL CASH COMPENSATION PROVIDED TO THE SENIOR

EXECUTIVES. THE TOTAL CASH COMPENSATION HAS BEEN DETERMINED AS

REASONABLE BY THE COMPENSATION AND BENEFITS COMMITTEE AND OUTSIDE

INDEPENDENT COMPENSATION CONSULTANTS. THE INCENTIVE PLAN FOCUSES ON

BROAD CRITERIA, WHICH ARE QUALITATIVE IN NATURE - ASSOCIATION REVENUE

GOALS, AND A MISSION GOAL. AWARD OPPORTUNITIES FOR SENIOR MANAGEMENT

AND THE CEO RANGE FROM 0%-40% AND 0%-60%, RESPECTIVELY.

THE BOARD HAS APPROVED THE IMPLEMENTATION OF A LONG TERM INCENTIVE PLAN

FOR THE SENIOR EXECUTIVE TEAM TO ENSURE A LONG-TERM FOCUS AND THE

CONTINUED DEDICATION TO ACHIEVE KEY PRIORITIES THAT WILL HELP THE

ORGANIZATION GROW AND SERVE THE COMMUNITY IN PURSUIT OF THE MISSION.

THE LONG TERM INCENTIVE PLAN ESTABLISHES COMMON PERFORMANCE OBJECTIVES

FOR EACH PARTICIPANT TO ENSURE A UNIFIED FOCUS FOR THE SENIOR EXECUTIVE

TEAM. ALL GOALS ARE ESTABLISHED AT THE ORGANIZATION-WIDE LEVEL. THE

INCENTIVE IS BASED ON TWO CRITERIA, ASSOCIATE REVENUE GOALS AND MISSION

GOALS. AWARD OPPORTUNITIES UNDER THE LONG TERM INCENTIVE PLAN RANGE

#### Part III | Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

FROM 0%-15% FOR THE SENIOR EXECUTIVE TEAM AND 0% - 70% FOR THE CEO. NO

AMOUNTS HAVE BEEN PAID OR ACCRUED UNDER THE LONG TERM INCENTIVE PLAN AS

THE MEASUREMENT DATE AND/OR GOALS HAVE NOT YET OCCURED.

SCHEDULE J. PART II

THE BOARD APPROVED A RETENTION AGREEMENT FOR NANCY BROWN TO ALLOW FOR

LEADERSHIP STABILITY. A SATISFACTORY DEGREE OF SUCCESSION PLANNING. AND

IN RECOGNITION OF EXTERNAL MARKET PRESSURES FOR EXECUTIVE TALENT.

\$213,333 OF THE AMOUNT THAT IS REFLECTED IN SCHEDULE J. PART II. LINE

(I) COLUMN (C) IS AN ANNUALIZED ACCRUAL OF THE BOARD-APPROVED RETENTION

AGREEMENT. NO AMOUNT WAS ACTUALLY PAID TO THE CEO DURING THE YEAR UNDER

THIS AGREEMENT AS THE TERMS OF THAT AGREEMENT HAVE NOT YET BEEN

SATISFIED.

SCHEDULE J PART II

IN 2014 KATHLEEN ROGERS ASSUMED THE ROLE OF EVP FOR THE WESTERN STATES

AFFILIATE. SHE RECEIVED TAXABLE RELOCATION ASSISTANCE OF \$106.143.

## **SCHEDULE M** (Form 990)

**Noncash Contributions** 

OMB No. 1545-0047

**Open To Public** Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

► Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

► Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

Attach to Form 990.

AMERICAN HEART ASSOCIATION, INC.

**Employer identification number** 

13-5613797

| Pai | rt I Types of Property  |                               |                                |   |   |          |     |    |
|-----|---|-------------------------------|--------------------------------|---|---|----------|-----|----|
|     |   | (a)<br>Check if<br>applicable | (b) Number of contributions or | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d)<br>Method of de<br>noncash contribu | etermini | •   | :s |
| 1   | Art - Works of art  | X                             | 1,161                          |   | FAIR MARKET VALU                        | E        |     |    |
| 2   | Art - Historical treasures  |                               | 1,101                          | 110,001.  | THE THREE THE                           | _        |     |    |
| 3   | Art - Fractional interests  |                               |                                |   |   |          |     |    |
| 4   | Books and publications  | X                             |                                | 5,350.  | FAIR MARKET VALU                        | E        |     |    |
| 5   | Clothing and household goods  |                               |                                | 5,000.  |   | _        |     |    |
| 6   |   | X                             | 301                            | 363,749.  | FAIR MARKET VALU                        | E        |     |    |
| 7   | Cars and other vehicles   | Α.                            | 301                            | 303,743.  | THE PERKET VILLE                        |          |     |    |
| 8   | Boats and planes Intellectual property  |                               |                                |   |   |          |     |    |
| 9   | Securities - Publicly traded  | X                             | 374                            | 7,979,978.  | FAIR MARKET VALU                        | E.       |     |    |
| 10  | Securities - Closely held stock   |                               | 371                            | 7,373,370,  | THE THREE THE                           | _        |     |    |
| 11  | l l   |                               |                                |   |   |          |     |    |
| "   | Securities - Partnership, LLC, or trust interests   |                               |                                |   |   |          |     |    |
| 12  | Securities - Miscellaneous  |                               |                                |   |   |          |     |    |
| 13  | Qualified conservation contribution -   |                               |                                |   |   |          |     |    |
| 13  | •   |                               |                                |   |   |          |     |    |
| 14  | Historic structures  Qualified conservation contribution - Other  |                               |                                |   |   |          |     |    |
| 15  | Real estate - Residential   |                               |                                |   |   |          |     |    |
| 16  | Real estate - Commercial  |                               |                                |   |   |          |     |    |
| 17  | Real estate - Other   |                               |                                |   |   |          |     |    |
| 18  | Collectibles  | X                             | 2,111                          | 771,831.  | FAIR MARKET VALU                        | E        |     |    |
| 19  | Food inventory  |                               |                                | ,   |   |          |     |    |
| 20  | Drugs and medical supplies  |                               |                                |   |   |          |     |    |
| 21  | Taxidermy   |                               |                                |   |   |          |     |    |
| 22  | Historical artifacts  |                               |                                |   |   |          |     |    |
| 23  | Scientific specimens  |                               |                                |   |   |          |     |    |
| 24  | Archeological artifacts   |                               |                                |   |   |          |     |    |
| 25  | Other (AD COUNCIL AD)   | Х                             | 1                              | 70,051,533.   | FAIR MARKET VALU                        | E        |     |    |
| 26  | Other (TRAVEL)  | Х                             | 1,844                          | · · ·   | FAIR MARKET VALU                        | E        |     |    |
| 27  | Other (RECREATION)  | Х                             | 5,383                          | ' '   | FAIR MARKET VALU                        | E        |     |    |
| 28  | Other (FOOD & DRINK)  | Х                             | 5,873                          |   | FAIR MARKET VALU                        | E        |     |    |
| 29  | Number of Forms 8283 received by the organiz  | zation durin                  | the tax vear for c             | <del>' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' </del>                         |   |          |     |    |
|     | for which the organization completed Form 828   |                               |                                |   |   |          | 0   |    |
|     |   | , ,                           | ·                              |   |   |          | Yes | No |
| 30a | During the year, did the organization receive by  | y contributio                 | on any property rep            | oorted in Part I, lines 1 throu   | gh 28, that it                          |          |     |    |
|     | must hold for at least three years from the date  |                               |                                |   |   |          |     |    |
|     | exempt purposes for the entire holding period?  |                               |                                |   |   | 30a      |     | х  |
| b   | b If "Yes," describe the arrangement in Part II.  |                               |                                |   |   |          |     |    |
| 31  |   |                               |                                |   |   |          | х   |    |
|     | 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash |                               |                                |   |   |          |     |    |
|     |   |                               | •                              |   |   | 32a      | х   |    |
| b   | If "Yes," describe in Part II.  |                               |                                |   |   |          |     |    |
| 33  | If the organization did not report an amount in   | column (c) f                  | or a type of prope             | rty for which column (a) is ch  | necked,                                 |          |     |    |
|     | describe in Part II.  |                               |                                |   |   |          |     |    |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) (2014)

| Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information. |
|---|
| PART I, OTHER TYPES OF PROPERTY:  |
| TANGIBLE PERSONAL PROPERTY  |
| (A) CHECK IF APPLICABLE = X   |
| (B) NUMBER OF CONTRIBUTIONS = 7497  |
| (C) REVENUE REPORTED ON FORM 990, PART VIII \$ 1307666.   |
| (D) METHOD OF DETERMINING REVENUE: FAIR MARKET VALUE  |
|   |
| PERSONAL SERVICES   |
| (A) CHECK IF APPLICABLE = X   |
| (B) NUMBER OF CONTRIBUTIONS = 3234  |
| (C) REVENUE REPORTED ON FORM 990, PART VIII \$ 644960.  |
| (D) METHOD OF DETERMINING REVENUE: FAIR MARKET VALUE  |
|   |
| MISCELLANEOUS   |
| (A) CHECK IF APPLICABLE = X   |
| (B) NUMBER OF CONTRIBUTIONS = 1862  |
| (C) REVENUE REPORTED ON FORM 990, PART VIII \$ 421937.  |
| (D) METHOD OF DETERMINING REVENUE: FAIR MARKET VALUE  |
|   |
|   |
|   |
| SCHEDULE M, LINE 32B:   |
| THE ASSOCIATION RECEIVES THE PROCEEDS FROM THE SALE OF DONATED VEHICLES   |
| THAT ARE RECEIVED AND PROCESSED BY INSURANCE AUTO AUCTIONS.   |
|   |
|   |
|   |

#### **SCHEDULE 0**

Internal Revenue Service

(Form 990 or 990-EZ)

Department of the Treasury

## Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

► Attach to Form 990 or 990-EZ.

Open to Public

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

Employer identification number

13-5613797 AMERICAN HEART ASSOCIATION, INC. FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS: RESEARCH SUPPORT SINCE 1949. THE AMERICAN HEART ASSOCIATION HAS FUNDED MORE THAN \$3.7 BILLION FOR PROJECTS THAT EXPLORE THE PREVENTION, DETECTION AND TREATMENT OF CARDIOVASCULAR DISEASES AND STROKE. IN 2014-15: THE AHA PROVIDED MORE THAN \$133 MILLION IN FUNDING FOR NEARLY 1,000 NEW RESEARCH AWARDS. THE AHA ANNOUNCED THE FIRST PATHWAY GRANTEES AND CHALLENGE GRANTEES FOR OUR CARDIOVASCULAR GENOME PHENOME STUDY. THESE ARE EXCITING STEPS FORWARD FOR THIS GROUNDBREAKING INITIATIVE THAT IS BUILDING ON THE STRONG LEGACIES OF THE FRAMINGHAM HEART STUDY AND THE JACKSON HEART STUDY TO CREATE BETTER-TARGETED, SAFER AND MORE EFFECTIVE TREATMENTS IN FIGHTING COMMON DISEASES SUCH AS ATHEROSCLEROSIS AND HYPERTENSION. THE AHA ALSO ANNOUNCED TWO NEW NETWORKS FOR OUR STRATEGICALLY FOCUSED RESEARCH PLATFORM FOCUSED ON WOMEN'S HEALTH AND HEART FAILURE. THESE TWO NETWORKS JOIN PREVIOUSLY ANNOUNCED NETWORKS FOR PREVENTION DISPARITIES AND HYPERTENSION, FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS: PUBLIC HEALTH MULTICULTURAL MARKETS THE AMERICAN HEART ASSOCIATION IS COMMITTED TO IMPROVING THE CARDIOVASCULAR HEALTH OF EVERY MEMBER OF OUR NATION'S INCREASINGLY DIVERSE POPULATION.

| Schedule O (Form 990 or 990-EZ) (2014)  Name of the organization        | Employer identification number |
|---|--------------------------------|
| AMERICAN HEART ASSOCIATION, INC.  | 13-5613797                     |
| INITIATIVE FOCUSED ON HYPERTENSION IN AFRICAN-AMERICANS, WHO HAVE AN    |                                |
| ESPECIALLY HIGH RISK OF DEVELOPING THIS KEY RISK FACTOR. THE INITIATIVE |                                |
| IS A COLLABORATION BETWEEN CLINICS, HEALTHCARE PROVIDERS, COMMUNITY     |                                |
| ORGANIZATIONS, AND VOLUNTEER HEALTH MENTORS. IT HELPS PATIENTS TRACK    |                                |
| THEIR BLOOD PRESSURE NUMBERS AND MONITOR THEM OVER TIME.                |                                |
| - A SCIENTIFIC STATEMENT PUBLISHED IN CIRCULATION: JOURNAL OF THE       |                                |
| AMERICAN HEART ASSOCIATION WAS THE FIRST COMPREHENSIVE OVERVIEW OF      |                                |
| CARDIOVASCULAR DISEASE IN HISPANICS IN THE UNITED STATES. THE REPORT    |                                |
| EMPHASIZED THE NEED FOR CULTURALLY APPROPRIATE HEALTH CARE TO ADDRESS   |                                |
| RISK AMONG HISPANICS.   |                                |
| - THE AHA WAS PROUD TO CONTRIBUTE AS A SCIENTIFIC ADVISOR FOR THE 2015  |                                |
| GREEN COMMUNITIES CRITERIA, WHICH PROVIDE A NATIONAL STANDARD FOR       |                                |
| AFFORDABLE HOUSING DEVELOPERS TO PROMOTE HEALTH AND WELL-BEING THROUGH  |                                |
| REDUCED EXPOSURE TO ENVIRONMENTAL POLLUTANTS, AND IMPROVED CONNECTIVITY |                                |
| TO SERVICES AND WALKABLE NEIGHBORHOODS. THE CRITERIA ALSO HARNESS THE   |                                |
| POWER OF DESIGN TO IMPROVE RESIDENTS' HEALTH THROUGH NEW MANDATORY      |                                |
| "ACTIVE DESIGN" REQUIREMENTS INCLUDING SIMPLE, COST-EFFECTIVE MEASURES  |                                |
| LIKE IMPROVING STAIRWELL ACCESS AND VISIBILITY.                         |                                |
| - THE AHA BEGAN WORKING WITH TELEMUNDO ON A NEW HEALTH AWARENESS        |                                |
| CAMPAIGN CALLED PREVENIR ES VIVIR. THE CAMPAIGN IS DESIGNED TO HELP     |                                |
| CONSUMERS LEARN SIMPLE STEPS TO PREVENT MAJOR CHRONIC ILLNESSES. WE'RE  |                                |
| SERVING AS SCIENCE ADVISORS FOR CAMPAIGN CONTENT FOCUSED ON             |                                |
| CARDIOVASCULAR DISEASES AND STROKE.                                     |                                |
| - THE AHA PRESENTED 16 SCHOLARSHIPS OF \$2,500 EACH THROUGH OUR GO RED  |                                |
| FOR WOMEN MULTICULTURAL SCHOLARSHIP PROGRAM. THIS IS THE FOURTH         |                                |
| CONSECUTIVE YEAR OF THIS CAMPAIGN TO SUPPORT THE CAREERS OF DIVERSE     |                                |
| NURSING AND MEDICAL STUDENTS AND ADDRESS IMPORTANT GAPS IN TREATMENT.   |                                |
|   |                                |

| Name of the organization  AMERICAN HEART ASSOCIATION, INC.              | Employer identification number 13-5613797 |
|---|---|
| STRATEGIC ALLIANCES & PARTNERSHIPS                                      | -   |
| - IN 2014-15, THE AHA CELEBRATED ITS 30TH ANNIVERSARY OF ITS WORK WITH  |   |
| THE AMERICAN COLLEGE OF CARDIOLOGY PUBLISHING JOINT GUIDELINES FOR THE  |   |
| DIAGNOSIS AND TREATMENT OF HEART DISEASE. TO MARK THE OCCASION, THE AHA |   |
| PUBLISHED A SPECIAL REPORT ON "THE EVOLUTION AND FUTURE OF OUR          |   |
| GUIDELINES."  |   |
| - THE AHA JOINED FORCES WITH THE CHILDREN'S HEART FOUNDATION FOR A      |   |
| TWO-YEAR COLLABORATION THAT WILL FOCUS ON INNOVATIVE RESEARCH ON        |   |
| CONGENITAL HEART DEFECTS.   |   |
| - THE AHA'S PARTNERSHIP WITH THE NATIONAL FOOTBALL LEAGUE CONTINUED TO  |   |
| MAKE A STRONG CONNECTION WITH KIDS AS WE PROMOTED THE BENEFITS OF       |   |
| PHYSICAL ACTIVITY THROUGH THE PLAY 60 INITIATIVE. ALSO, THE AHA         |   |
| DEVELOPED ENHANCEMENTS TO THE PLAY 60 APP, WHICH BECAME THE MOST        |   |
| DOWNLOADED APP AMONG CHILDREN ON ITUNES.                                |   |
|   |   |
| CONSUMER PUBLICATIONS   |   |
| - THE AHA CREATED A NEW ONLINE SUPPORT NETWORK TO ADDRESS THE EMOTIONAL |   |
| NEEDS OF PATIENTS, CAREGIVERS AND FAMILY MEMBERS. THE NETWORK INCLUDES  |   |
| A MONITORED ONLINE COMMUNITY FOR PEOPLE TO ASK QUESTIONS, DISCUSS THEIR |   |
| CONCERNS, AND FIND ENCOURAGEMENT AND INSPIRATION.                       |   |
| - THE AHA FOOD CERTIFICATION PROGRAM ISSUED NEW, MORE STRINGENT         |   |
| CERTIFICATION CRITERIA FOR ADDED SUGAR, SODIUM, TOTAL CALORIES AND      |   |
| DIETARY FIBER.  |   |
| - THE AHA'S TEACHING GARDENS INITIATIVE CONTINUED TO REACH NEW          |   |
| COMMUNITIES ACROSS THE COUNTRY, AND NOW HAS BEEN IMPLEMENTED IN MORE    |   |
| THAN 330 SCHOOLS. ALSO, WE CREATED AN ONLINE GARDEN COMMUNITY FOR       |   |
| GARDENING ENTHUSIASTS TO CONNECT AND SHARE TIPS.                        |   |
| - THE AMERICAN HEART ASSOCIATION'S CEO ROUNDTABLE PUBLISHED AN ADVISORY |   |

| Name of the organization  AMERICAN HEART ASSOCIATION, INC.                                | Employer identification number       |
|---|--------------------------------------|
| ·   |                                      |
| IN CIRCULATION: JOURNAL OF THE AMERICAN HEART ASSOCIATION, SUPPORTING                     |                                      |
| THE EFFECTIVENESS OF WORKPLACE WELLNESS PROGRAMS TO IMPROVE THE HEALTH                    |                                      |
| OF THE 155 MILLION AMERICANS EMPLOYED IN OUR COUNTRY TODAY. ALSO, THE                     |                                      |
| CEO ROUNDTABLE RELEASED THE RESULTS OF A NIELSEN SURVEY, WHICH FOUND A                    |                                      |
| DISCONNECT BETWEEN PEOPLE'S PERCEPTIONS OF THEIR HEALTH AND THEIR                         |                                      |
| ACTUAL HEALTH STATUS. THREE-QUARTERS OF EMPLOYEES REPORT BEING IN VERY                    |                                      |
| GOOD OR GOOD HEALTH, BUT 42% OF THESE EMPLOYEES HAVE BEEN DIAGNOSED                       |                                      |
| WITH A CHRONIC CONDITION, INCLUDING HIGH CHOLESTEROL OR HIGH BLOOD                        |                                      |
| PRESSURE. AT THE SAME TIME, THE SURVEY ALSO REVEALED THAT CEOS AND                        |                                      |
| SENIOR LEADERSHIP HAVE A SIGNIFICANT IMPACT WHEN IT COMES TO GETTING                      |                                      |
| EMPLOYEES ENGAGED AND REAPING THE BENEFITS OF WORKPLACE HEALTH                            |                                      |
| PROGRAMS.   |                                      |
| -IN 2014-15, THE AMERICAN HEART ASSOCIATION ADDED TWO NEW HEART-HEALTHY                   |                                      |
| COOKBOOKS TO OUR PORTFOLIO OF AWARD-WINNING CONSUMER PUBLICATIONS.                        |                                      |
| "GRILL IT, BRAISE IT, BROIL IT" AND "GO FRESH."   |                                      |
|   |                                      |
| FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:                             |                                      |
| PROFESSIONAL EDUCATION  |                                      |
|   |                                      |
| EMERGENCY CARDIOVASCULAR CARE   |                                      |
| - IN 2014-15, OUR EMERGENCY CARDIOVASCULAR CARE (ECC) PROGRAM TRAINED                     |                                      |
| MORE THAN 17 MILLION PEOPLE ACROSS THE WORLD IN CARDIOPULMONARY                           |                                      |
| RESUSCITATION, THE USE OF AUTOMATED EXTERNAL DEFIBRILLATORS AND OTHER                     |                                      |
| LIFESAVING TECHNIQUES.  |                                      |
| - IN FEBRUARY 2015 IN DALLAS, ECC HOSTED THE INTERNATIONAL CONSENSUS ON                   |                                      |
| CPR AND ECC SCIENCE CONFERENCE (ILCOR), WHICH BROUGHT TOGETHER MORE                       |                                      |
| THAN 200 OF THE WORLD'S LEADING EXPERTS IN THE FIELD. THEIR DISCUSSIONS                   |                                      |
|   |                                      |
| WILL FORM THE BASIS FOR INTERNATIONAL CPR GUIDELINES TO BE PUBLISHED  432212 08-27-14 Sch | nedule O (Form 990 or 990-EZ) (2014) |

| Name of the organization  AMERICAN HEART ASSOCIATION, INC.              | Employer identification number 13-5613797 |
|---|---|
| LATE IN 2015.   |   |
| - IN JUNE, AHA STAFF AND VOLUNTEERS CONVENED IN NEW YORK'S TIMES SQUARE |   |
| TO SET A NEW GUINNESS WORLD RECORD FOR THE MOST PEOPLE EVER 700 TO      |   |
| TAKE PART IN A CPR TRAINING RELAY.                                      |   |
|   |   |
| QUALITY OF CARE/SYSTEMS OF CARE   |   |
| THE AMERICAN HEART ASSOCIATION IS CONSTANTLY WORKING TO PUT SYSTEMS IN  |   |
| PLACE TO GUARANTEE THE BEST POSSIBLE CARE FOR EVERY PATIENT, EVERY DAY. |   |
|   |   |
| - OUR GET WITH THE GUIDELINES INITIATIVE, WHICH ENSURES THAT HOSPITALS  |   |
| FOLLOW THE LATEST EVIDENCE-BASED TREATMENT PROTOCOLS, CONTINUED TO      |   |
| GROW, AND HAS NOW BEEN IMPLEMENTED IN MORE THAN 2,100 HOSPITALS, WITH   |   |
| MODULES FOCUSED ON ATRIAL FIBRILLATION, HEART FAILURE, STROKE, AND      |   |
| RESUSCITATION.  |   |
| - MISSION: LIFELINE, WHICH IMPROVES COORDINATION BETWEEN HOSPITALS AND  |   |
| LOCAL EMS SYSTEMS TO ACCELERATE TREATMENT TIMES FOR HEART ATTACK        |   |
| PATIENTS, HAS BEEN IMPLEMENTED IN MORE THAN 800 LOCAL EMS SYSTEMS AND   |   |
| NOW REACHES MORE THAN 83% OF THE U.S. POPULATION.                       |   |
| - OUR PROFESSIONAL SCIENTIFIC MEMBERSHIP CONTINUED TO GROW, REACHING    |   |
| ITS CURRENT TOTAL OF MORE THAN 33,000 MEMBERS REPRESENTING 74           |   |
| SPECIALTIES AND 114 COUNTRIES.  |   |
|   |   |
| FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:                    |   |
| COMMUNITY SERVICE   |   |
|   |   |
| PUBLIC ADVOCACY   |   |
| - OUR OFFICE OF ADVOCACY WORKS AT THE LOCAL, STATE AND FEDERAL LEVELS   |   |
| TO DRIVE PUBLIC POLICY DESIGNED TO IMPROVE CARDIOVASCULAR HEALTH. IN    |   |

| Schedule O (Form 990 or 990-EZ) (2014)                                  | Page 2                                    |
|---|---|
| Name of the organization  AMERICAN HEART ASSOCIATION, INC.              | Employer identification number 13-5613797 |
| 2014-15, OUR EFFORTS CONTRIBUTED TO PROGRESS IN KEY AREAS INCLUDING:    |   |
| - THE ADOPTION IN FIVE STATES OF LEGISLATION REQUIRING CPR TRAINING AS  |   |
| A PREREQUISITE FOR HIGH SCHOOL GRADUATION.                              |   |
| - LAWS PASSED IN 10 STATES AND THE DISTRICT OF COLUMBIA REQUIRING THE   |   |
| DESIGNATION OF HOSPITALS CERTIFIED AS STROKE TREATMENT CENTERS, WHICH   |   |
| HELPS LOCAL EMS SYSTEMS RAPIDLY IDENTIFY QUALIFIED TO PROVIDE OPTIMAL   |   |
| EMERGENCY CARE.   |   |
| - THE PASSAGE OF TOBACCO TAX INCREASES IN THREE STATES, AND LEGISLATION |   |
| BANNING SMOKING IN RESTAURANTS, BARS AND CASINOS IN NEW ORLEANS.        |   |
| - THE FOOD AND DRUG ADMINISTRATION'S DETERMINATION THAT PARTIALLY       |   |
| HYDROGENATED OILS, THE PRIMARY DIETARY SOURCE OF ARTIFICIAL TRANS FAT   |   |
| IN PROCESSED FOODS, ARE NOT "GENERALLY RECOGNIZED AS SAFE."             |   |
| - VOICES FOR HEALTHY KIDS, THE AMERICAN HEART ASSOCIATION'S INITIATIVE  |   |
| WITH THE ROBERT WOOD JOHNSON FOUNDATION, COMPLETED ITS SECOND FULL YEAR |   |
| OF WORK TO FIGHT CHILDHOOD OBESITY. THROUGH ITS FIRST TWO YEARS, VOICES |   |
| FOR HEALTHY KIDS HAS FUNDED MORE THAN 50 COALITIONS WORKING TO OPEN     |   |
| MORE GROCERY STORES IN LOW-INCOME COMMUNITIES, UNLOCK SCHOOLYARD GATES  |   |
| SO FAMILIES COULD HAVE A SAFE PLACE TO PLAY, ENSURE SUGARY DRINKS WERE  |   |
| NO LONGER SERVED IN CHILDCARE CENTERS, AND SECURE FUNDING FOR SIDEWALKS |   |
| AND BIKE PATHS IN COMMUNITIES OF NEED. WE HAVE ALSO PROVIDED GUIDANCE   |   |
| TO MORE THAN 100 CAMPAIGNS ACROSS 26 STATES.                            |   |
| - IN SEPTEMBER, THE AHA WAS ANNOUNCED AS ONE OF FIVE RECIPIENTS OF      |   |
| GRANTS AWARDED BY THE CENTERS FOR DISEASE CONTROL AND PREVENTION TO     |   |
| NATIONALLY IMPLEMENT COMMUNITY-BASED CHRONIC DISEASE PROGRAMS. THE AHA  |   |
| INITIATIVE, ACCELERATING NATIONAL COMMUNITY HEALTH OUTCOMES THROUGH     |   |
| REINFORCING PARTNERSHIPS PROGRAM (ANCHOR PARTNERSHIPS PROGRAM), WILL    |   |
| RECEIVE \$3 MILLION ANNUALLY OVER THE NEXT THREE YEARS, FOR A TOTAL OF  |   |
| \$9 MILLION. WORKING IN TARGETED MARKETS, WE'LL SUPPORT COMPREHENSIVE   |   |

| Name of the organization  | Employer identification number 13-5613797 |
|---|---|
| AMERICAN HEART ASSOCIATION, INC.  | 13-3013797                                |
| COMMUNITY-LEVEL PUBLIC HEALTH PLANS TO REDUCE TOBACCO USE, IMPROVE      |   |
| NUTRITION, AND INCREASE PHYSICAL ACTIVITY.                              |   |
|   |   |
| GLOBAL INITIATIVES  |   |
| - CARDIOVASCULAR DISEASES AND STROKE AREN'T STOPPED BY NATIONAL         |   |
| BORDERS. WE'RE SHARING OUR KNOWLEDGE AND RESOURCES TO CONTRIBUTE TO     |   |
| SOLUTIONS TO HELP REDUCE SUFFERING AND MORTALITY AROUND THE WORLD.      |   |
| - WE CONTINUED TO OPEN NEW INTERNATIONAL TRAINING CPR TRAINING CENTERS, |   |
| REACHING A TOTAL OF MORE THAN 660 FACILITIES IN 72 COUNTRIES. AND WE    |   |
| OPENED NEW REGIONAL OFFICES IN DUBAI AND INDIA.                         |   |
| - THE AHA IMPLEMENTED OUR GET WITH THE GUIDELINES INITIATIVE TO IMPROVE |   |
| QUALITY OF CARE AT MORE THAN 150 HOSPITALS IN CHINA.                    |   |
| - WE EXPANDED OUR ONLINE EDUCATIONAL OFFERINGS TO INCLUDE CONTENT IN    |   |
| EIGHT LANGUAGES - ARABIC, ENGLISH, GERMAN, ITALIAN, JAPANESE, POLISH,   |   |
| PORTUGUESE AND SPANISH.   |   |
| - AMERICAN HEART ASSOCIATION CEO NANCY BROWN WAS A MEMBER OF THE U.S.   |   |
| GOVERNMENT DELEGATION FOR THE 2014 UNITED NATIONS GENERAL ASSEMBLY'S    |   |
| HIGH-LEVEL MEETING ON THE PREVENTION AND CONTROL OF NONCOMMUNICABLE     |   |
| DISEASES. ADDITIONALLY, THE FOLLOWING AHA VOLUNTEER LEADERS TOOK PART   |   |
| IN THE MEETING: AHA 2014-15 PRESIDENT ELLIOTT ANTMAN, MD; AHA 2013-14   |   |
| PRESIDENT MARIELL JESSUP, MD; AND AHA 2010-11 PRESIDENT RALPH SACCO,    |   |
| MD. TO COINCIDE WITH THE MEETING, THE ASSOCIATION PUBLISHED A TASK      |   |
| FORCE STATEMENT ON "SUSTAINABLE DEVELOPMENT GOALS AND THE FUTURE OF     |   |
| CARDIOVASCULAR HEALTH" IN CIRCULATION: JOURNAL OF THE AMERICAN HEART    |   |
| ASSOCIATION. THE PAPER URGED THE UNITED NATIONS' 193 MEMBER STATES TO   |   |
| INCLUDE NONCOMMUNICABLE DISEASES AS A PRIORITY IN THE POST-2015         |   |
| SUSTAINABLE DEVELOPMENT GOALS.  |   |

| Name of the organization  AMERICAN HEART ASSOCIATION, INC.                  | Employer identification number  |
|---|---------------------------------|
| CUSTOMER RELATIONS  | •                               |
| - THE ASSOCIATION STRIVES TO ANTICIPATE, UNDERSTAND, MEET AND EXCEED        |                                 |
| OUR CUSTOMERS' NEEDS AND EXPECTATIONS. IN 2014-2015, THE MULTI-CHANNEL      |                                 |
| NATIONAL ENGAGEMENT CENTER SERVED MORE THAN 227,000 MULTIMEDIA CONTACTS     |                                 |
| AND 18,000 PROFESSIONAL CUSTOMERS, ASSISTED MORE THAN 97,000 PEOPLE         |                                 |
| SEEKING INFORMATION ON CPR CLASSES, AND PROCESSED MORE THAN 34,000 DATA     |                                 |
| ENTRY FORMS, BUSINESS REPLY CARDS AND EMAILS.                               |                                 |
| EXPENSES \$ 49,414,424. INCL GRANTS OF \$ 4,261,777. REVENUE \$ 25,432,226. |                                 |
|   |                                 |
| FORM 990, PART VI, SECTION A, LINE 4:                                       |                                 |
| IN ACCORDANCE WITH WIDELY ACCEPTED BEST PRACTICES, THE BOARD APPOINTED A    |                                 |
| TASK FORCE TO REVIEW ITS BYLAWS IN FEBRUARY OF 2014. ACCORDINGLY, THE       |                                 |
| BYLAWS TASK FORCE RECOMMENDED CHANGES TO STREAMLINE GOVERNANCE OPERATIONS   |                                 |
| OF THE AHA AND TO ENSURE COMPLIANCE WITH RECENT CHANGES IN NEW YORK LAW.    |                                 |
|   |                                 |
| FORM 990, PART VI, SECTION B, LINE 11:                                      |                                 |
| IN EARLY NOVEMBER, MANAGEMENT DISTRIBUTED A DRAFT OF THE FORM 990 TO THE    |                                 |
| AUDIT COMMITTEE APPOINTED BY THE AMERICAN HEART ASSOCIATION'S BOARD OF      |                                 |
| DIRECTORS. THE AUDIT COMMITTEE MEMBERS REVIEWED THE DRAFT. PRIOR TO         |                                 |
| FINALIZATION OF THE RETURN, A FINAL DRAFT OF FORM 990 WAS PROVIDED TO ALL   |                                 |
| MEMBERS OF THE BOARD OF DIRECTORS. THE FORM DISTRIBUTED TO THE BOARD OF     |                                 |
| DIRECTORS REFLECTS THE RETURN ULTIMATELY FILED WITH THE INTERNAL REVENUE    |                                 |
| SERVICE.  |                                 |
|   |                                 |
| FORM 990, PART VI, SECTION B, LINE 12C:                                     |                                 |
| THE AMERICAN HEART ASSOCIATION (AHA) HAS ESTABLISHED A CONFLICT OF INTEREST |                                 |
| POLICY WHICH HAS BEEN REVIEWED AND APPROVED BY THE BOARD OF DIRECTORS. THE  |                                 |
| POLICY IS BINDING ON ALL VOLUNTEERS, STAFF AND COMPONENTS OF AHA. A         | . t. l. O (5 000 000 E7) (0044) |

| Name of the organization  | Employer identification number |
|---|--------------------------------|
| AMERICAN HEART ASSOCIATION, INC.  | 13-5613797                     |
| CONFLICT OF INTEREST QUESTIONNAIRE WHICH INCLUDES THE CONFLICT OF INTEREST  |                                |
| POLICY, STANDARDS AND ETHICS POLICY, IS REQUIRED TO BE COMPLETED BY ALL AHA |                                |
| BOARD OF DIRECTORS MEMBERS, COMMITTEE, SUBCOMITTEE, TASK FORCE, WRITING     |                                |
| GROUP MEMBERS, DESIGNATED STAFF, AND AHA SPOKESPERSONS UPON THEIR           |                                |
| APPOINTMENT, AND TO OFFICERS AND JOURNAL EDITORS PRIOR TO THEIR ELECTION OR |                                |
| APPOINTMENT. AFTER THE INITIAL COMPLETION OF THE CONFLICT OF INTEREST       |                                |
| DISCLOSURE QUESTIONNAIRE, VOLUNTEERS AND DESIGNATED STAFF ARE REQUESTED TO  |                                |
| UPDATE IT WHENEVER MATERIAL CHANGES OCCUR IN THEIR AHA ROLE, EMPLOYMENT OR  |                                |
| OTHER RELATIONSHIP IDENTIFIED AS RELEVANT ON THE DISCLOSURE QUESTIONNAIRE.  |                                |
|   |                                |
| AHA HAS IDENTIFIED THE FOLLOWING AREAS IN ITS POLICY TO BE POTENTIAL        |                                |
| CONFLICTS OF INTEREST: DIRECT OR INDIRECT INTEREST IN, OR RELATIONSHIP      |                                |
| WITH, ANY INDIVIDUAL OR ORGANIZATION THAT PROPOSES TO ENTER INTO ANY        |                                |
| ·   |                                |
| TRANSACTION WITH AHA; THE SALE, PURCHASE, LEASE OR RENTAL OF ANY PROPERTY   |                                |
| OR OTHER ASSET; EMPLOYMENT, OR RENDITION OF SERVICES, PERSONAL OR           |                                |
| OTHERWISE; THE AWARD OF ANY GRANT, CONTRACT, OR SUBCONTRACT; OR THE         |                                |
| INVESTMENT OR DEPOSIT OF ANY FUNDS OF AHA.                                  |                                |
|   |                                |
| FORM 990, PART VI, SECTION B, LINE 15:                                      |                                |
| AHA'S BOARD OF DIRECTORS CHARGES A COMPENSATION AND BENEFITS                |                                |
| COMMITTEE TO PROVIDE RECOMMENDATIONS REGARDING COMPENSATION-RELATED MATTERS |                                |
| WITHIN THE ORGANIZATION. THE COMPENSATION COMMITTEE IS RESPONSIBLE FOR      |                                |
| REVIEWING AND PROVIDING RECOMMENDATIONS FOR THE CHIEF EXECUTIVE OFFICER'S   |                                |
| (CEO) COMPENSATION TO THE OFFICERS OF THE BOARD OF DIRECTORS. THE OFFICERS  |                                |
| OF THE BOARD OF DIRECTORS REVIEW AND MAKE FINAL RECOMMENDATIONS ON THE      |                                |
| CHIEF EXECUTIVE OFFICER'S COMPENSATION TO THE BOARD OF DIRECTORS FOR FINAL  |                                |
| APPROVAL. THE COMPENSATION COMMITTEE IS COMPRISED OF MEMBERS WHO ARE        |                                |
| CONSIDERED INDEPENDENT OF MANAGEMENT PURSUANT TO AHA'S CONFLICT OF INTEREST |                                |

| Name of the organization  AMERICAN HEART ASSOCIATION, INC.   | Employer identification numbe         |
|--|---------------------------------------|
| POLICY. THE COMPENSATION COMMITTEE ENGAGES AN OUTSIDE INDEPENDENT                                  | 20 0020177                            |
| CONSULTANT TO PROVIDE EXTERNAL BENCHMARKING WITH RESPECT TO COMPENSATION                           |                                       |
|  |                                       |
| LEVELS AND PROVISION OF BENEFITS.  |                                       |
|  |                                       |
| THE COMPENSATION COMMITTEE'S OUTSIDE INDEPENDENT CONSULTANT PROVIDES                               |                                       |
| INFORMATION WITH RESPECT TO THE APPROPRIATENESS OF THE CEO'S COMPENSATION                          |                                       |
| AS COMPARED TO THE EXTERNAL BENCHMARKING AS WELL AS THE METHODOLOGY IN                             |                                       |
| DEVELOPING CURRENT COMPENSATION. THE INDEPENDENT CONSULTANT ALSO EVALUATES                         |                                       |
| THE COMPENSATION RANGE OF OTHER OFFICERS AND SENIOR EXECUTIVES. SEVERAL                            |                                       |
| SURVEYS WERE UTILIZED IN DEVELOPING THE COMPARISON INCLUDING SURVEYS FROM                          |                                       |
| VARIOUS COMPENSATION CONSULTING FIRMS. ADDITIONALLY, THE OUTSIDE                                   |                                       |
| INDEPENDENT CONSULTANT PROVIDED A REASONABLENESS OPINION IN ORDER TO INSURE                        |                                       |
| THAT AHA COMPLIES WITH THE INTERMEDIATE SANCTION & REBUTTABLE PRESUMPTION                          |                                       |
| POLICY. FOR PURPOSES OF THE 2014-15 FISCAL YEAR, THE COMPENSATION REVIEW                           |                                       |
| OF THE CEO BY THE COMPENSATION COMMITTEE WAS LAST COMPLETED IN SEPTEMBER OF                        |                                       |
|  |                                       |
| 2014.  |                                       |
|  |                                       |
| KEY FACTORS THAT ARE CONSIDERED BY THE COMPENSATION COMMITTEE WITH RESPECT                         |                                       |
| TO COMPENSATION ARE AS FOLLOWS: COMPENSATION PHILOSOPHY, EXPERIENCE AND                            |                                       |
| QUALIFICATIONS OF THE CANDIDATE, MARKET COMPETITIVENESS, AND COMPENSATION                          |                                       |
| REQUIREMENTS AND HISTORY OF THE CANDIDATE, COMPONENTS OF COMPENSATION THAT                         |                                       |
| ARE ROUTINELY REVIEWED BY THE COMPENSATION COMMITTEE INCLUDE BASE SALARY,                          |                                       |
| INCENTIVE OPPORTUNITY BOTH SHORT AND LONG TERM, RETIREMENT, BENEFITS AND                           |                                       |
| PERQUISITES.   |                                       |
|  |                                       |
| FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:                             |                                       |
| MD, ME, MI, MN, MS, NC, ND, NH, NJ, NM, NY, OH, OR, OK, PA, RI, SC, TN, UT, VA, WA, WI, WV, AK, AL |                                       |
| AR, CA, CT, FL, GA, HI, IL, IN, KS, KY, LA, MA   |                                       |
| 432212<br>08-27-14   | Schedule O (Form 990 or 990-EZ) (2014 |

| Name of the organization  AMERICAN HEART ASSOCIATION, INC.                 | Employer identification number 13-5613797 |
|--|---|
| AMERICAN HEART ADDOCTATION, INC.   | 13 3013737                                |
|  |   |
| FORM 990, PART VI, SECTION C, LINE 19:                                     |   |
| THE AMERICAN HEART ASSOCIATION (AHA) MAKES AVAILABLE THE THREE MOST RECENT |   |
| YEARS OF AUDITED FINANCIAL STATEMENTS, THREE MOST RECENT YEARS OF THE FORM |   |
| 990 AND THE CONFLICT OF INTEREST POLICY ON AHA'S INTERNET WEBSITE,         |   |
| WWW.HEART.ORG. THE AHA DOES NOT MAKE ITS GOVERNING DOCUMENTS AVAILABLE TO  |   |
| THE GENERAL PUBLIC.  |   |
|  |   |
| FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:                          |   |
| POST-RETIREMENT ADJUSTMENT (ASC 715) -203,445.                             |   |
|  |   |
|  |   |
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### SCHEDULE R (Form 990)

## **Related Organizations and Unrelated Partnerships**

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

➤ Attach to Form 990.

Department of the Treasury Internal Revenue Service

Name of the organization

►Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open to Public Inspection

Employer identification number

13-5613797

| Part I Identification of Disregarded Entitles Comple                            | The organization answered Tes          |   | ·•                            |                    |                  |                                     |        |  |
|---|--|---|-------------------------------|--------------------|------------------|-------------------------------------|--------|--|
| (a) Name, address, and EIN (if applicable) of disregarded entity                | <b>(b)</b> Primary activity            | (c) Legal domicile (state o foreign country)  | r (d)                         |                    | e)<br>ear assets | ssets (f) Direct controlling entity |        | 9  |
| AMHAS, LLC - 13-5613797   |  |   |                               |                    |                  |                                     |        |  |
| 7272 GREENVILLE AVENUE  |  |   |                               |                    |                  | AMERICAN HE                         | ART    |  |
| DALLAS, TX 75231  | INVESTMENTS                            | DELAWARE                                      | -217                          | ,908. 64,          | 087,714          | ASSOCIATION                         | , INC. |  |
|   |  |   |                               |                    |                  |                                     |        |  |
|   |  |   |                               |                    |                  |                                     |        |  |
|   |  |   |                               |                    |                  |                                     |        |  |
| Part II Identification of Related Tax-Exempt Organizations during the tax year. | zations Complete if the organization a | answered "Yes" on Form 990                    | , Part IV, line 34 b          | ecause it had or   | ne or more       | related tax-exe                     | mpt    |  |
| (a)  Name, address, and EIN  of related organization                            | (b) Primary activity                   | (c) Legal domicile (state or foreign country) | (d)<br>Exempt Code<br>section | (e) Public charity |                  | (f)<br>ect controlling<br>entity    | cont   | <b>g)</b><br>512(b)(13)<br>rolled<br>tity? |
| -   |  | ,y,   |                               | 501(c)(3))         |                  |                                     | Yes    | No   |
|   |  |   |                               |                    |                  |                                     |        |  |
|   |  |   |                               |                    |                  |                                     |        |  |
|   |  |   |                               |                    |                  |                                     |        |  |
|   |  |   |                               |                    |                  |                                     |        |  |

AMERICAN HEART ASSOCIATION, INC.

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| <u> </u>                                       |                  | <u> </u>          | 1                  |  |                    | 1                     |          |         |  |            |           |                         |
|--|------------------|-------------------|--------------------|--|--------------------|-----------------------|----------|---------|--|------------|-----------|-------------------------|
| (a)  | (b)              | (c)               | (d)                | (e)  | (f)                | (g)                   | (h)      |         | (i)  | (j)        | (k)       |                         |
| Name, address, and EIN of related organization | Primary activity | Legal<br>domicile | Direct controlling | Predominant income   | Predominant income | Share of total        | Share of | Disprop | ortionate  | Code V-UBI | General   | Percentage<br>ownership |
| of related organization                        |                  | (state or foreign | entity             | Predominant income<br>(related, unrelated,<br>excluded from tax under<br>sections 512-514) | income             | end-of-year<br>assets | alloca   | itions? | amount in box<br>20 of Schedule<br>K-1 (Form 1065) | partner    | ownership |                         |
|  |                  | country)          |                    | sections 512-514)  |                    | 400010                | Yes      | No      | K-1 (Form 1065)                                    | Yes No     | <u> </u>  |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            | +         |                         |
|  | 1                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  | -                |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            |           |                         |
|  |                  |                   |                    |  |                    |                       |          |         |  |            |           |                         |

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a)  | (b)                | (c)                                    | (d)                       | (e)   | (f)                   | (g)                               | (h)                     | (              | (i)<br>etion              |
|--|--------------------|--|---------------------------|---|-----------------------|-----------------------------------|-------------------------|----------------|---------------------------|
| Name, address, and EIN of related organization | Primary activity   | Legal domicile<br>(state or<br>foreign | Direct controlling entity | Type of entity<br>(C corp, S corp,<br>or trust) | Share of total income | Share of<br>end-of-year<br>assets | Percentage<br>ownership | 512(l<br>conti | b)(13)<br>rolled<br>tity? |
|  | country) Of trust) |  |                           |   |                       |                                   |                         | Yes            | No                        |
| 23 VARIOUS PERPETUAL TRUSTS - 99-9999999       |                    |  | AMERICAN HEART            |   |                       |                                   |                         |                |                           |
| 7272 GREENVILLE AVENUE                         |                    |  | ASSOCIATION,              |   |                       |                                   |                         |                |                           |
| DALLAS, TX 75231                               | FIDUCIARY          | TX                                     | INC.                      | TRUST   |                       |                                   |                         | Х              |                           |
| 11 CHARITABLE REMAINDER TRUSTS - 99-9999999    |                    |  | AMERICAN HEART            |   |                       |                                   |                         |                |                           |
| 7272 GREENVILLE AVENUE                         | 1                  |  | ASSOCIATION,              |   |                       |                                   |                         |                |                           |
| DALLAS, TX 75231                               | FIDUCIARY          | ТX                                     | INC.                      | TRUST   |                       |                                   |                         | Х              |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |
|  | ]                  |  |                           |   |                       |                                   |                         |                |                           |
|  |                    |  |                           |   |                       |                                   |                         |                |                           |

## Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

| Not | e. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.   |    | Yes | No |
|-----|--|----|-----|----|
|     | During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?                          |    |     |    |
| а   | Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity  | 1a |     | Х  |
| b   | Gift, grant, or capital contribution to related organization(s)  | 1b | Х   |    |
| С   | Gift, grant, or capital contribution from related organization(s)  | 1c | Х   |    |
|     | Loans or loan guarantees to or for related organization(s)   | 1d |     | Х  |
|     | Loans or loan guarantees by related organization(s)  | 1e |     | Х  |
|     |  |    |     |    |
| f   | Dividends from related organization(s)   | 1f |     | Х  |
| g   | Sale of assets to related organization(s)  | 1g |     | Х  |
|     | Purchase of assets from related organization(s)  | 1h |     | Х  |
| i   | Exchange of assets with related organization(s)  | 1i |     | Х  |
| j   | Lease of facilities, equipment, or other assets to related organization(s)   | 1j |     | Х  |
|     |  |    |     |    |
| k   | Lease of facilities, equipment, or other assets from related organization(s)   | 1k |     | Х  |
| -1  | Performance of services or membership or fundraising solicitations for related organization(s)   | 11 |     | Х  |
| m   | Performance of services or membership or fundraising solicitations by related organization(s)  | 1m |     | Х  |
|     | Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)  | 1n |     | Х  |
| 0   | Sharing of paid employees with related organization(s)   | 10 |     | Х  |
|     |  |    |     |    |
| р   | Reimbursement paid to related organization(s) for expenses   | 1p |     | Х  |
|     | Reimbursement paid by related organization(s) for expenses   | 1q |     | Х  |
|     |  |    |     |    |
| r   | Other transfer of cash or property to related organization(s)  | 1r |     | X  |
| s   | Other transfer of cash or property from related organization(s)  | 1s |     | Х  |
| 2   | If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds. |    |     |    |
|     |  |    |     |    |

| (a)<br>Name of related organization | (b)<br>Transaction<br>type (a-s) | (c)<br>Amount involved | (d) Method of determining amount involved |
|-------------------------------------|----------------------------------|------------------------|---|
| (1) 11 CHARITABLE REMAINDER TRUSTS  | С                                | 1,171,526.             | CASH CONTRIBUTIONS RECEIVED               |
| (2) 23 VARIOUS PERPETUAL TRUSTS     | С                                | 1,242,435.             | CASH CONTRIBUTIONS RECEIVED               |
| (3) AMHAS, LLC                      | В                                | 2,800,000.             | CAPITAL CONTRIBUTION                      |
| (4)                                 |                                  |                        |   |
| <u>(5)</u>                          |                                  |                        |   |
| (6)                                 |                                  |                        |   |

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a) Name, address, and EIN of entity | (b) Primary activity | (c) | (e)<br>Are all<br>partners s<br>501(c)(3<br>orgs.?<br>Yes N | (g)<br>Share of<br>end-of-year<br>assets | Disprotionallocati | opor-<br>ate<br>ions? | (i)<br>Code V-UBI<br>amount in box 20<br>of Schedule K-1<br>(Form 1065) | (j) General managir partner Yes N | (k) or Percentage ownership |
|--------------------------------------|----------------------|-----|---|--|--------------------|-----------------------|---|-----------------------------------|-----------------------------|
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |
|                                      |                      |     |   |  |                    |                       |   |                                   |                             |